

Sent via Electronic Mail

January 2024

#### NOTICE OF CIVIL SERVICE COMMISSION MEETING



SUBJECT: REQUEST FOR A HEARING BY REGINALD R. ROGERS, FORMER TRANSIT OPERATOR (9163) MUNICIPAL TRANSPORTATION AGENCY ON THEIR FUTURE EMPLOYMENT RESTRICTIONS.

Dear Reginald Rogers:

The above matter will be considered by the Civil Service Commission at a hybrid meeting (in-person and virtual) in Room 400, City Hall, 1 Dr. Goodlett Place, San Francisco, California 94102 and through Cisco WebEx to be held on <u>February 5, 2024, at 1:00 p.m.</u> You will receive a separate email invite from a Civil Service Commission staff member to join and participate in the meeting.

The agenda will be posted for your review on the Civil Service Commission's website at <a href="https://www.sf.gov/CivilService">www.sf.gov/CivilService</a> under "Meetings" no later than end of day on Wednesday, January 31, 2024. Please refer to the attached Notice for procedural and other information about Commission hearings. A copy of the department's staff report on your appeal is attached to this email.

In the event that you wish to submit any additional documents in support of your appeal, please submit one hardcopy 3-hole punch, double-sided and numbered at the bottom of each page to the CSC Office at 25 Van Ness Ave., Suite 720 and email a PDF version to the Civil Service Commission's email at <a href="mailto:civilservice@sfgov.org">civilservice@sfgov.org</a> by 5:00 p.m. on <a href="mailto:Tuesday, January 30, 2024">Tuesday, January 30, 2024</a>, please be sure to redact your submission for any confidential or sensitive information that is not relevant to your appeal (e.g., home addresses, home or cellular phone numbers, social security numbers, dates of birth, etc.), as it will be considered a public document.

Attendance by you or an authorized representative is recommended. You will have up to 10 minutes for your presentation unless your time is extended by the Commission. Should you or a representative not attend, the Commission will rule on the information previously submitted and any testimony provided at its meeting. Where applicable, the Commission has the authority to uphold, increase, reduce, or modify any restrictions recommended by the department. All calendared items will be heard and resolved at this time unless good reasons are presented for a continuance.

You may contact me at (628) 652-1100 or at Sandra. Eng@sfgov.org if you have any questions.

CIVIL SERVICE COMMISSION

SANDRA ENG Executive Officer

#### Attachment

Cc: Jeffrey Tumlin, Municipal Transportation Agency
Kimberly Ackerman, Municipal Transportation Agency
Yaya Holmes, Municipal Transportation Agency
Shana Dines, Municipal Transportation Agency
David Garcia, Municipal Transportation Agency
Aleta Washington-Williams, Municipal Transportation Agency
Anthony Ballester, Local 250-A
Pete Wilson, Local 250-A
Commission File
Commissioners' Binder
Chron

#### NOTICE OF COMMISSION HEARING POLICIES AND PROCEDURES

#### A. Commission Office

The Civil Service Commission office is located at, 25 Van Ness Avenue, Suite 720, San Francisco, CA 94102. The telephone number is (628) 652-1100. The fax number is (628) 652-1109. The email address is civilservice@sfgov.org and the web address is www.sfgov.org/civilservice/. Office hours are from 8:00 a.m. to 5:00 p.m., Monday through Friday.

# B. Policy Requiring Written Reports

It is the policy of the Civil Service Commission that except for appeals filed under Civil Service Commission Rule 111A Position-Based Testing, all items appearing on its agenda be supported by a written report prepared by Commission or departmental staff. All documents referred to in any Agenda Document are posted adjacent to the Agenda, or if more than one (1) page in length, available for public inspection and copying at the Civil Service Commission office. Reports from City and County personnel supporting agenda items are submitted in accordance with the procedures established by the Executive Officer. Reports not submitted according to procedures, in the format and quantity required, and by the deadline, will not be calendared.

#### C. Policy on Written Submissions by Appellants

All written material submitted by appellants to be considered by the Commission in support of an agenda item shall be submitted to the Commission office, no later than 5:00 p.m. on the fourth (4<sup>th</sup>) business day preceding the Commission meeting for which the item is calendared (ordinarily, on Tuesday). An original copy on 8 1/2-inch X 11 inch paper, three-hole punched on left margin, and page numbered in the bottom center margin, shall be provided. Written material submitted for the Commission's review becomes part of a public record and shall be open for public inspection.

#### D. Policy on Materials being Considered by the Commission

Copies of all staff reports and materials being considered by the Civil Service Commission are available for public view 72 hours prior to the Civil Service Commission meeting on the Civil Service Commission's website at <a href="https://sf.gov/civilservice">https://sf.gov/civilservice</a> and in its office located at 25 Van Ness Avenue, Suite 720, San Francisco, CA 94102. If any materials related to an item on this agenda have been distributed to the Civil Service Commission after distribution of the agenda packet, those materials will be available for public inspection at the Civil Service Commission's during normal office hours (8:00 a.m. to 5:00 p.m. Monday through Friday).

#### E. Policy and Procedure for Hearings to be Scheduled after 5:00 p.m. and Requests for Postponement

A request to hear an item after 5:00 p.m. should be directed to the Executive Officer as soon as possible following the receipt of notification of an upcoming hearing. Requests may be made by telephone at (628) 652-1100 and confirmed in writing or by fax at (628) 652-1109.

A request for a postponement (continuance) to delay an item to another meeting may be directed to the Commission Executive Officer by telephone or in writing. Before acting, the Executive Officer may refer certain requests to another City official for recommendation. Telephone requests must be confirmed in writing prior to the meeting. Immediately following the "Announcement of Changes" portion of the agenda at the beginning of the meeting, the Commission will consider a request for a postponement that has been previously denied. Appeals filed under Civil Service Commission Rule 111A Position-Based Testing shall be considered on the date it is calendared for hearing except under extraordinary circumstances and upon mutual agreement between the appellant and the Department of Human Resources.

#### F. Policy and Procedure on Hearing Items Out of Order

Requests to hear items out of order are to be directed to the Commission President at the beginning of the agenda. The President will rule on each request. Such requests may be granted with mutual agreement among the affected parties.

#### G. Procedure for Commission Hearings

All Commission hearings on disputed matters shall conform to the following procedures: The Commission reserves the right to question each party during its presentation and, in its discretion, to modify any time allocations and requirements.

If a matter is severed from the *Consent Agenda* or the *Ratification Agenda*, presentation by the opponent will be for a maximum time limit of five (5) minutes and response by the departmental representative for a maximum time limit of five (5) minutes. Requests by the public to sever items from the [*Consent Agenda* or] *Ratification Agenda* must be provided with justification for the record.

For items on the *Regular Agenda*, presentation by the departmental representative for a maximum time of five (5) minutes and response by the opponent for a maximum time limit of five (5) minutes.

For items on the Separations Agenda, presentation by the department followed by the employee's

representative shall be for a maximum time limit of ten (10) minutes for each party unless extended by the Commission.

Each presentation shall conform to the following:

- 1. Opening summary of case (brief overview);
- 2. Discussion of evidence;
- 3. Corroborating witnesses, if necessary; and
- 4. Closing remarks.

The Commission may allocate five (5) minutes for each side to rebut evidence presented by the other side.

#### H. Policy on Audio Recording of Commission Meetings

As provided in the San Francisco Sunshine Ordinance, all Commission meetings are audio recorded in digital form. These audio recordings of open sessions are available starting on the day after the Commission meeting on the Civil Service Commission website at www.sfgov.org/civilservice/.

#### I. Speaking before the Civil Service Commission

Speaker cards are not required. The Commission will take in-person public comment on all items appearing on the agenda at the time the item is heard. The Commission will take public comment on matters not on the Agenda, but within the jurisdiction of the Commission during the "Requests to Speak" portion of the regular meeting. Maximum time will be three (3) minutes. A subsequent comment after the three (3) minute period is limited to one (1) minute. The timer shall be in operation during public comment. Upon any specific request by a Commissioner, time may be extended. People who have received an accommodation due to a disability (as described below) may provide their public comments remotely. The Commission will also allow public comment from members of the public who choose to participate remotely. It is possible that the Commission may experience technical challenges that interfere with the ability of members of the public to participate in the meeting remotely. If that happens, the Commission will attempt to correct the problem, but may continue the hearing so long as people attending in-person are able to observe and offer public comment.

#### J. Public Comment and Due Process

During general public comment, members of the public sometimes wish to address the Civil Service Commission regarding matters that may come before the Commission in its capacity as an adjudicative body. The Commission does not restrict this use of general public comment. To protect the due process rights of parties to its adjudicative proceedings, however, the Commission will not consider, in connection with any adjudicative proceeding, statements made during general public comment. If members of the public have information that they believe to be relevant to a mater that will come before the Commission in its adjudicative capacity, they may wish to address the Commission during the public comment portion of that adjudicative proceeding. The Commission will not consider public comment in connection with an adjudicative proceeding without providing the parties an opportunity to respond.

#### K. Policy on use of Cell Phones, Pagers and Similar Sound-Producing Electronic Devices at and During Public Meetings

The ringing and use of cell phones, pagers and similar sound-producing electronic devices are prohibited at this meeting. Please be advised that the Chair may order the removal from the meeting room of any person(s) responsible for the ringing or use of a cell phone, pager, or other similar sound-producing electronic devices.

#### **Information on Disability Access**

The Civil Service Commission normally meets in Room 400 (Fourth Floor) City Hall, 1 Dr. Carlton B. Goodlett Place. However, meetings not held in this room are conducted in the Civic Center area. City Hall is wheelchair accessible. The closest accessible BART station is the Civic Center, located 2 ½ blocks from City Hall. Accessible MUNI lines serving City Hall are 47 Van Ness Avenue, 9 San Bruno and 71 Haight/Noriega, as well as the METRO stations at Van Ness and Market and at Civic Center. For more information about MUNI accessible services, call (415) 923-6142. Accessible curbside parking has been designated at points in the vicinity of City Hall adjacent to Grove Street and Van Ness Avenue.

The following services are available on request 48 hours prior to the meeting; except for Monday meetings, for which the deadline shall be 4:00 p.m. of the last business day of the preceding week. For American Sign Language interpreters or the use of a reader during a meeting, a sound enhancement system, and/or alternative formats of the agenda and minutes, please contact the Commission office to make arrangements for the accommodation. Late requests will be honored, if possible.

Individuals with severe allergies, environmental illness, multiple chemical sensitivity or related disabilities should call our ADA coordinator at (628) 652-1100 or email civilservice @sfgov.org to discuss meeting accessibility. In order to assist the City's efforts to accommodate such people, attendees at public meetings are reminded that other attendees may be sensitive to various chemical-based products. Please help the City to accommodate these individuals.

#### Know your Rights under the Sunshine Ordinance (Chapter 67 of the San Francisco Administrative Code)

Government's duty is to serve the public, reaching its decisions in full view of the public. Commissions, boards, councils, and other agencies of the City and County exist to conduct the people's business. This ordinance assures that deliberations are conducted before the people and that City operations are open to the people's review. For more information on your rights under the Sunshine Ordinance or to report a violation of the ordinance, or to obtain a free copy of the Sunshine Ordinance, contact Victor Young, Administrator of the Sunshine Ordinance Task Force, 1 Dr. Carlton B. Goodlett Place, Room 244, San Francisco, CA 94102-4689 at (415) 554-7724, by fax: (415) 554-7854, by e-mail: sotf@sfgov.org, or on the City's website at www.sfgov.org/bdsupvrs/sunshine.

# San Francisco Lobbyist Ordinance

Individuals and entities that influence or attempt to influence local legislative or administrative action may be required by the San Francisco Lobbyist Ordinance (San Francisco Campaign and Governmental Conduct Code Section 2.100) to register and report lobbying activity. For more information about the Lobbyist Ordinance, please contact the San Francisco Ethics Commission at 25 Van Ness Ave., Suite 220, San Francisco, CA 94102, telephone (415) 252-3100, fax (415) 252-3112 and web site <a href="https://sfethics.org/">https://sfethics.org/</a>.



CSC-22MTA (9/00)

# CIVIL SERVICE COMMISSION CITY AND COUNTY OF SAN FRANCISCO

CIVIL SERVICE COMMISSION REPORT TRANSMITTAL (FORM 22MTA)
Applicable to Municipal Transportation Agency Service-Critical Classes

Refer to Civil Service Commission Procedure for Staff - Submission of Written Reports MTA for Instructions on completing and processing this Form

1.	Civil Service Commiss	sion Register Number:	<u> 192 - 23 - 7</u>	<u> </u>
2.	For Civil Service Com	mission Meeting of:	February 5, 2024	
3.	Check One:	Ratification Agenda	:	<del></del>
		Consent Agenda		
		Regular Agenda	_	X
4.	Subject: Request by I	Reginald Rogers re: F	Restrictions on Futu	re Employability
5.		ept the report, deny to cel any current exami		ove proposed restrictions, and vistatus.
6.	Report prepared by:	Yaya Holmes	Telephone numl	per: 415-701-5050
7.		a list of the person(s nission Report Forms	5	ne format described in IV.
8.	Reviewed and approve	ed for Civil Service Con	mmission Agenda:	*
	Municipal Transportati	ion Agency Director: Kimberly W. Ackerm Date: January 23, 20	an, Chief People Offic	udy cer, SFMTA
9.	Submit the original tin with the required copic	그리네 내전 15	s form and person(s)	to be notified (see Item 7 above) along
	Executive Of Civil Service 25 Van Ness San Francisc	Commission Avenue, Suite 720		
10.		m in the ACSC RECE	SC Office.	CSC RECEIPT STAMP  RECEIVED
Attach	ment			2024 JAN 23 09:36:09  EXECUTIVE OFFICER  UIL SERVICE COMMISSION



London Breed, Mayor

Amanda Eaken, Chair Stephanie Cajina, Vice Chair Steve Heminger, Director Dominica Henderson, Director **Fiona Hinze**, Director **Lydia So**, Director **Manny Yekutiel**, Director

Jeffrey Tumlin, Director of Transportation

#### **MEMORANDUM**

DATE: February 5, 2024

TO: The Civil Service Commission

THROUGH: Kimberly W. Ackerman, Chief People Officer, SFMTA

From: David Garcia, Labor Relations Manager, SFMTA

SUBJECT: Appeal of Future Employability Restrictions by Reginald Rogers – Former 9163

Transit Operator (Register No.0192-23-7)

#### I. BACKGROUND

The San Francisco Municipal Transit Agency (Agency) hired Reginald Roger (Appellant) as a Permanent Civil Service (PCS) Transit Operator (Job Code 9163) on July 21, 2014. The Agency dismissed him from his position on August 22, 2023. The Agency recommends permanently restricting his future employability. Specifically, the Agency and the City and County of San Francisco (CCSF) should cancel his current examinations and eligibility status and offer no future employment with the Agency or the CCSF. **(EXHIBIT A)** 

On March 31, 2023, the Appellant was at the terminal station located at Beach and Jones. The Appellant alighted several passengers and one passenger remained on the bus. The Appellant let the passenger know it was the last stop; after a brief exchange, the passenger refused to exit the coach. Coach video shows the Appellant exit the coach. When he returns, he has a pink canister in his hands. The Appellant tried again to coax the passenger off the coach. As the Appellant passed the passenger, who was lying down on the seat, he pepper-sprayed the passenger in the face. The passenger immediately reacted by screaming and holding his face. The passenger was seen rolling on the coach floor and lying near the rear door. The passenger fell off the coach and onto the ground.

The Appellant closed the coach door and drove off but was still near enough to hear and see the passenger. The Appellant offered no assistance even though the passenger could be heard screaming. Another passenger heard his screams for help and asked for someone to call 911. The Appellant tried to interfere with the passenger receiving help. The Appellant said, "Miss, don't help him, he may attack you." The Appellant called the Transit Management Center (TMC) to report feces on the coach yet did not report the incident.



TMC gave Roger orders to pull into the Woods Division and swap his coach. SFMTA inspectors boarded the coach to look for the feces. The inspector observed feces near where the passenger was lying down. SFPD (San Francisco Police Department) came to Woods to review the coach's video. SFPD arrested the Appellant while at the division.

#### A. Investigation

The San Francisco Fire Department paramedic arrived and transported the passenger to St. Francis Hospital. The passenger was heard screaming that his face was burning, with snot coming from his nose and he said he was pepper sprayed.

The San Francisco Police Department was also on the scene. A Transit Inspector who happened to be in the area noticed the emergency vehicle at the terminal and inquired about what happened. The SFPD informed the Inspector that a Muni operator (Appellant) had pepper sprayed the passenger. The Inspector contacted TMC to hold the Appellant and the coach at the division. The Inspector requested the video be pulled for review. The Inspector and SFPD reviewed the video, and it was determined that the employee pepper-sprayed the passenger. SFPD arrested the Appellant while he was still at the division.

On April 1, 2023, the Transit Inspector reviewed the incident and completed an incident report. The onboard video shows the Appellant exiting the bus and after returning to the coach, holding a pink object in his hand with his thumb on the top of it as if to spray something. The video shows the Appellant motion his hand with the pink object toward the passenger, and the passenger's immediate reaction to the Appellant's motion. The video is convincing proof that the passenger was sprayed in the face with a caustic substance.

On May 1, 2023, the Appellant attended an investigative conference with his manager and two union representatives. During that conference, the Appellant said he understood the reason for the investigation but denied using pepper spray on a passenger and denied doing any wrong.

# B. Grievance & Dismissal

On May 9, 2023, the Agency issued the Appellant a "Proposed Recommended Dismissal for Conduct on 3/31/2023" from his 9163 Transit Operator Permanent Civil Service (PCS) position. The Appellant obtained Union representation. **(EXHIBIT B)** 

On May 11, 2023, the Agency held a Skelly meeting so the Appellant could fully respond to the charges.

The charges against the Appellant were as follows:



The Appellant must comply with all the policies and rules contained in the <u>SFMTA Rules and</u> Instructions Handbook as set forth below:

#### SFMTA Rules and Instructions Handbook

#### Rule 2.8 Conduct

- Rule 2.8.1 Polite, respectful behavior is required of all employees in their dealings with the public, their subordinates, and each other.
- Rule 2.8.10 Immoral employees will not be retained in the service.
- Rule 2.8.12 Vicious employees will not be retained in the service.

# Rule 2.13 Discipline

- Rule 2.13.1 Violation of any of the following rules will be sufficient cause for charges for disciplinary action involving suspension or, if appropriate, dismissal.
  - A. Inattention to duties
  - D. Immoral Conduct
  - F. Discourteous treatment of the public or other employees of the SF Muni Railway.

#### Rule 2.21 Safety

Rule 2.21.15 Employees must be careful to avoid any act or situation that could cause injury to themselves or others.

The Appellant attended the meeting and was represented by the TWU 250A Service Critical. On May 17, 2023, The Skelly officer upheld the recommendation to dismiss the Appellant. **(EXHIBIT C)** 

On June 5, 2023, the Union, TWU 250A Service Critical submitted a grievance on behalf of the Appellant asserting there was no evidence and the Appellant's proposed termination was based on false claims. The Union also asserted the Agency did not follow due process and progressive discipline. **(EXHIBIT D)** 

On June 13, 2023, the manager denied the grievance. (EXHIBIT E)

On June 22, 2023, TWU 250A Service Critical filed a Step 2 on behalf of the Appellant asserting that due process and progressive discipline were not followed. **(EXHIBIT F)** 



On August 2, 2023, the Appellant attended a Step 2 meeting and was represented by TWU 250A. The Step 2 officer reviewed the Skelly packet, heard the Union's arguments, and on August 16, 2023, upheld the dismissal. **(EXHIBIT G)** 

The appellant dismissal was adopted, and he was dismissed on August 22, 2023. (EXHIBIT H)

On August 23, 2023, The Union elevated the grievance to arbitration and the hearing was held on August 30, 2023. The impartial arbitrator found sufficient cause to terminate the Appellant for using pepper spray on a passenger, especially when unprovoked. The arbitrator found the Agency presented convincing evidence. The arbitrator was convinced by the video present at the hearing, that the Appellant did use pepper spray. The arbitrator rejected the Appellant's claim that he was holding a cutting tool. The arbitrator stated, "The Grievant's claim that he was holding a cutting tool instead of a spraying device while not accepted by me, is in and of itself inappropriate, as he should have not approached a passenger who was obviously impaired with a dangerous cutting tool in his hand." (EXHIBIT I)

On September 1, 2023, the arbitrator denied the Appellant's grievance and upheld the dismissal. **(EXHIBIT J)** 

# C. <u>Civil Service Appeal</u>

On September 7, 2023, the Appellant sent a request for appeal to the Civil Service Commission (CSC). The Appellant requested a hearing to review his future employability with the City and County of San Francisco following his release from the position of Transit Operator (Job Code 9163).

On November 1, 2023, the Appellant submitted a "demand letter" via email. The document's subject line was "Unjust Arrest and Unfair Arbitration Proceedings." The document outlines how the Appellant believed his arrest was unjust and the lack of effective union representation.

#### II. ISSUE ON APPEAL TO THE CIVIL SERVICE COMMISSION

Is it reasonable to preclude the Appellant permanently from future employment with the SFMTA and the CCSF, and to cancel his examination and eligibility status given the severity of the Appellant's offense?

# III. AUTHORITY AND STANDARDS

Under Civil Service Commission Rule 422.1.3, states:



The employee has the right to a hearing before the Civil Service Commission provided that a request for a hearing is made in writing and is received by the Executive Officer within twenty (20) calendar days from the date of termination of appointment or from the date of mailing of the Notice of Termination whichever is later. In the event the 20th day falls on a non-business day, the deadline shall be extended to the close of business of the first business day following the 20th day.

Under CSC Memorandum No. 2014-10 Policy & Guidelines re: Future Employment Restrictions, the Commission does not determine if the negative release itself was appropriate. The Commission's role is to determine if the proposed restriction on future employment is appropriate (i.e., whether the circumstances surrounding the individual's negative separation merits a restriction of his or her future employment with the City/department; and whether the scope, duration, and type of restriction itself is appropriate under the circumstances.)

Civil Service Adviser No. 21/2003 Appeals on Future Employment Restrictions also provides Permanent Civil Service-Discharge: The decision of the appointing authority/department head to discharge an employee may be subject to the applicable grievance/arbitration procedures found in the collective bargaining agreement. Appeals to the Civil Service Commission on the recommendation of the appointing authority/department head on future employment must be filed within 20 days as described in the Notice of Separation form.

# IV. FINDINGS

A. The SFMTA's Decision to Impose Permanent Future Employment Restrictions Is Justified

The SFMTA's May 9, 2023, Skelly notice includes the factual basis for the charges, and describes the procedure and rationale the SFMTA used to determine that dismissal was the appropriate consequence for the Appellant's behavior. Each charge therein supports the dismissal and restrictions on his future employment with the City and SFMTA.

B. Permanent Citywide Employment Restrictions Are Appropriate and Necessary

Under CSC Memo 2014-10 Policy & Guidelines re: Future Employment Restrictions, departments have an affirmative duty to their employees, other departments, and the taxpayers of the City, and the individuals to whom the city provides services to. This includes the obligation to review the circumstances of any negative separation to determine whether it would be appropriate to restrict a former employee's future employment with the City.

This evaluation should be based on a case-by-case basis, based on the totality of circumstances (e.g., the egregiousness of the conduct, whether it was repeated or a one-time occurrence, etc.) Generally speaking, and depending on the circumstances, one or more of the following situations would likely merit a future employment restriction of some kind:



Egregious misconduct (malfeasance or nonfeasance); Serious unethical conduct which may mar the Department's reputation and/or the public's trust in the Department/City; or Mistreatment of persons (e.g., sexual harassment, violence in the workplace)

Pepper spray contains an inflammatory compound called capsaicin. When pepper spray comes into contact with a person's eyes, it causes immediate eye closure, acute eye pain, and temporary blindness. Some people describe a bubbling or boiling sensation and severe discomfort. While the effects of pepper spray may resolve within 30 minutes there are instances of long-term effects depending on a person's medical conditions.

Unprovoked, the Appellant sprayed the passenger. The passenger was lying down on the seat with his pants halfway down. The passenger appeared intoxicated although he made no aggressive moves toward the Appellant. He continued lying down until the Appellant pepper sprayed him. The Appellant made no attempts to help the passenger afterward. Instead, he exited the bus and went to use the restroom. When he returned from the restroom he could still see and hear the passengers screaming in pain and yet offered no assistance. The Appellant did not report the incident even though he contacted TMC to report feces on the bus, nor did he ask the TMC to send paramedics.

The Appellant gave no regard to the passenger's health conditions and did not assist the passenger even though the passenger was screaming in pain. The Appellant actively tried to discourage a pedestrian from helping. Given the severity of the incident, the restrictions are appropriate and are consistent with the Agency's practice of not retaining employees who violate the workplace violence policy. The Permanent restriction was imposed because the Appellant's action merited such a severe restriction.

# C. Appellant Contest His Future City Employment Restrictions

The Appellant was afforded all rights under his collective bargain agreement TWU 250 A (9163). The Appellant was issued a Skelly notice, that included the charges and the materials upon which the charges are based, he was advised of the Skelly meeting date and time and his right to Union representation. The Appellant attended the Skelly meeting with his Union, and he and the Union could respond to the charges. The Union requested and received a Step 1 and Step 2 grievance process, including an arbitration before an impartial arbitrator on the Appellant's behalf. The Union was present with the Appellant throughout his appeal.

The Agency relied on the Transit Inspector's report and the onboard video that provided clear and convincing evidence that the Appellant unprovoked pepper sprayed the passenger. The Agency did not need to rely on the police report to bring charges against the Appellant, nor did it do so.

#### V. DISCUSSION & ANALYSIS



The appeal was timely. The Appellant was dismissed from his position on August 22, 2023. The Appellant filed the CSC appeal on September 7, 2023, and he had until September 11, 2023, to file.

The SFMTA is committed to maintaining a workplace free from violence and threats of violence. Its zero-tolerance policy strictly prohibits any act or threat of violence towards employees or in the workplace. Undeniably, the Appellant's action of pepper spraying a passenger unprovoked and intoxicated was egregious. His behavior was dangerous, and unethical, and showed a lack of care for the community and the public he was tasked to serve.

The Appellant violated the CCSF Employee Handbook – Policy Prohibiting Employee Violence in the Workplace and Policy: **(EXHIBIT K)** 

The City and County of San Francisco (CCSF) is committed to maintaining a workplace free from violence and threats of violence and will not tolerate any acts or threats of violence in the workplace. Any act or threat of violence in the workplace is strictly prohibited... Failure to comply with these policies may result in employee discipline up to and including termination as well as criminal prosecution.

The Appellant violated the Equitable Fair and Respectful Workplace Policy: (EXHIBIT L)

The City will not tolerate Disrespectful Behavior in any City workplace and seeks to intervene at the earliest sign or state of Disrespectful Behavior in misconduct and prevent its recurrence. Any employee or officer who violates this policy will be subject to disciplinary actions up to and including termination.

The Appellant violated the SFMTA Rules and Instructions Handbook. According to the SFMTA Rules and Instructions handbook disputes with any person, no matter what the provocation are to be avoided. Further, it says that immoral and vicious employees will not be retained in service. SFMTA employees must be careful to avoid any act or situation that could cause injury to themselves or others. Adherence to the rules outlined in the handbook is essential to the safe operation of the SFMTA railway and streets. Violation of any of the rules in the handbook is considered sufficient cause for disciplinary action up to and including dismissal.

#### CONCLUSION

For the reasons mentioned herein, the SFMTA dismissed the Appellant from his position. The Appellant's disrespectful, confrontational, unprovokedly pepper spraying and not offering assistance prompted his dismissal on August 22, 2023.

#### RECOMMENDATION



The SFTMA recommends the Commission accept its report, deny the appeal, and approve the proposed restrictions on the Appellant's future employment.

# Attachments:

CSC letters dated September 19, 2022 – Register No: 0192-23-7

Memorandum from SFMTA to CSC

Exhibit A: Job Appointment Summary

Exhibit B: May 9, 2023 - Notice of Proposed Disciplinary Action/Skelly Meeting

Exhibit C: May 17, 2023 - Skelly Decision Exhibit D: June 5, 2023 - Step 1 Request

Exhibit E: June 13, 2023 - Step 1 Grievance Response

Exhibit F: June 22, 2023 - Step 2 Grievance Request

Exhibit G: August 16, 2023 - Step 2 Decision

Exhibit H: August 22, 2023 - Notice of Dismissal

Exhibit I: August 23, 2023 - Arbitration Request

Exhibit J: September 1, 2023 - Arbitration Decision

Exhibit K: CCSF Employee Handbook - Policy Prohibiting Employee Violence in the Workplace and

Policy

Exhibit L: Equitable Fair and Respectful Workplace Policy

Exhibit M: November 3, 2023 – Additional Information from Appellant

#### NOTIFICATIONS

Reginald R. Rogers Jr.



Jeffrey Tumlin -Director of Transportation 1 South Van Ness Ave. 8th Floor San Francisco, CA 94103 Email: Jeffery.Tumlin@sfmta.com

Kimberly W. Ackerman – Chief People Officer, SFMTA Human Resources

1 South Van Ness Ave. 6th Floor San Francisco, CA 94103 Email: Kimberly.Ackerman@sfmta.com

Yaya Holmes – Labor Relations Analyst 1 South Van Ness Ave. 6th Floor San Francisco, CA 94103 Email: Yaya.HOlmes@sfinta.com

Shana Dines - Employee & Labor Relations Manager 1 South Van Ness Ave. 6th Floor San Francisco, CA 94103 Email: Shana.Dines@sfmta.com

David Garcia - Labor Relations Manager 1 South Van Ness Ave. 6th Floor San Francisco, CA 94103 Email: David.Garcia@sfmta.com

Aleta Washington-Williams 1001 22nd Street San Francisco, CA 94107 Email: aleta.washington-williams@sfmta.com

Anthony Ballester – Local 250-A, President 1508 Fillmore St. #211 San Francisco, CA 94115 Email: aballester@twusf.org

Pete Wilson- Local 250-A, Vice President 1508 Fillmore St. #211 San Francisco, CA 94115 Email 1: pwilson@twusf.org Email 2: vicepresident@twusf.org Email 3: discipline@twusf.org

#### **NOTICE OF RECEIPT OF APPEAL**

DATE: September 19, 2023

REGISTER NO.: 0192-23-7

APPELLANT: REGINALD ROGERS

Jeffrey Tumlin
Director of Transportation
Municipal Transportation Agency
1 South Van Ness Avenue, 7<sup>th</sup> Floor
San Francisco, CA 94103

Dear Jeffrey Tumlin:

The Civil Service Commission has received the attached letter from Reginald Rogers, requesting a hearing on their future employability with the City and County of San Francisco. Your review and action are required.

If this matter is not timely or appropriate, please submit CSC Form 13 "Action Request on Pending Appeal/Request," with supporting information and documentation to my attention by email at <a href="mailto:civilservice@sfgov.org">civilservice@sfgov.org</a>. CSC Form 13 is available on the Civil Service Commission's website at <a href="mailto:www.sf.gov/CivilService">www.sf.gov/CivilService</a> on the "File an action request for a Civil Service Commission hearing page."

In the event that Reginald Rogers' appeal is timely and appropriate, the department is required to submit a staff report in response to the appeal within sixty (60) days so that the matter may be resolved in a timely manner. Accordingly, the staff report is due no later than 11 a.m. on December 7, 2023, so that it may be heard by the Civil Service Commission at its meeting on December 18, 2023. If you will be unable to transmit the staff report by the December 7<sup>th</sup> deadline, or if required departmental representatives will not be available to attend the December 18<sup>th</sup> meeting, please notify me by use of CSC Form 13 as soon as possible, with information regarding the reason for the postponement and a proposed alternate submission and/or hearing date.

Appellant: Reginald Rogers September 19, 2023

Page 2

You may contact me at <u>Sandra.Eng@sfgov.org</u> or (628) 652-1100 if you have any questions. For more information regarding staff report requirements, meeting procedures or future meeting dates, please visit the Commission's website at <u>www.sf.gov/CivilService</u>.

Sincerely,

**CIVIL SERVICE COMMISSION** 

/s/

SANDRA ENG Executive Officer

# Attachment

Cc: Kimberly Ackerman, Municipal Transportation Agency Shana Dines, Municipal Transportation Agency David Garcia, Municipal Transportation Agency Romika Williams, Municipal Transportation Agency



Sent via Email

September 19, 2023

Reginald Rogers

Subject: Register No. 0192-23-7: Requesting a Hearing on their Future Employability with

the City and County.

Dear Reginald Rogers:

This is in response to your appeal submitted to the Civil Service Commission on September 7, 2023, requesting a hearing on your future employability with the City and County of San Francisco. Your appeal has been forwarded to the Municipal Transportation Agency for investigation and response to the Civil Service Commission.

If your appeal is timely and appropriate, the department will submit its staff report on this matter to the Civil Service Commission in the near future to request that it be scheduled for hearing. The Civil Service Commission generally meets on the 1st and 3rd Mondays of each month. You will receive notice of the meeting and the department's staff report on your appeal two Fridays before the hearing date via email, as you have requested on your appeal form.

The Civil Service Commission has the authority to remove restrictions or impose additional restrictions on your future employability. However, the Commission <u>CANNOT</u> reverse the department's decision to terminate your employment. In the meantime, you may wish to compile any additional information you would like to submit to the Commission in support of your position. The deadline for receipt in the Commission office of any additional information you may wish to submit is 5:00 p.m. on the Tuesday preceding the meeting date by email to <u>civilservice@sfgov.org</u>. Please be sure to redact your submission for any confidential or sensitive information (e.g., home addresses, home or cellular phone numbers, social security numbers, dates of birth, etc.), as it will be considered a public document.

You may contact me by email at <a href="mailto:Sandra.Eng@sfgov.org">Sandra.Eng@sfgov.org</a> or by phone at (628) 652-1100 if you have any questions. You may also access the Civil Service Commission's meeting calendar, and information regarding staff reports and meeting procedures, on the Commission's website at <a href="https://www.sf.gov/CivilService">www.sf.gov/CivilService</a>.

Sincerely,

CIVIL SERVICE COMMISSION

/s/

SANDRA ENG Executive Officer

Page 12

019223 7

11- Trumlias C to K Acherman C to R Williams C to S Dims C to D Garcia

Civil Service Commission 25 Van Ness Avenue, Suite 720 San Francisco, CA 94102

Greetings,

My name is Reginald Rogers, I'm requesting a hearing for review before the Civil Service Commission about my future employability with the City and County of San Francisco.

Sincerely,

**Reginald Rogers** 

RECEIVED
2023 SEP 07 15:19:53
EXECUTIVE OFFICER
CIVIL SERVICE COMMISSION
SAN FRANCISCO

# Exhibit A

CCSF Job Appointment Summary	pointment	Summa	Į,																	
Reginald R	Reginald R Rogers Jr (Reginald)	eginald)	Emp		=			Empl	Empl Record 0	0										
Job Appoi	Job Appointment Summary	mmary														Persona	Personalize   Find   View All     First 1-3 of 3 Last	iew All	First 1	3 of 3 Last
Eff Date	Eff Date Appt Date Action Description	Action		Payroll Status	Job Code	Union	Empl		Full/Par Time	Exmpt Full/Part Elig List# Rank POS#	Rank	# SOA	Dept Sen Date	Dept	ocation	Dept Location Cert Date	Ctywd Snrty Seniority Date Date	ompany eniority ate	Vacation Sick Anniversary Anniversary Date Date	Sick Anniversary Date
08/22/2023	08/22/2023 07/21/2014 TER		Dismissal of Permanent Terminated 9163 Employe	Terminated	9163	253	PCS	NA	ш	058996	119.00	119.00 01039966		MTA	MTA006	07/07/2014	07/07/2014 MTA MTA006 07/07/2014 07/07/2014 07/21/2014 07/21/2014	7/21/2014		07/21/2014
07/01/2017	07/21/2014	POS	07/01/2017 07/21/2014 POS Position Data Update	Active	9163	253	PCS	N A	ш	966890	119.00	119.00 01039966	07/07/2014 MTA MTA001 07/07/2014 07/07/2014	MTA	MTA001	07/07/2014	07/07/2014			
07/21/2014	07/21/2014 07/21/2014 HIR New Hire	Ή		Active	9163	253	PCS	NA	ш	966850	119.00	01039966	119.00 01039966 07/07/2014 MTA MTA001 07/07/2014 07/07/2014	MTA	WTA001	07/07/2014	07/07/2014			

Return to Search

Save

# Exhibit B



# **WOODS DIVISION**

1001 22<sup>nd</sup> Street San Francisco, CA 94107 London Breed, Mayor

Gwyneth Borden, Chair Amanda Eaken, Vice Chair Stephanie Cajina, Director Steve Heminger, Director Fiona Hinze, Director Manny Yekutiel, Director

Jeffrey Tumlin, Director of Transportation

May 9, 2023

Mail # 3/13

Skelly Meeting

Rogers, Reginald Jr. 9163 Transit Operator

# SUBJECT: Proposed Recommended Dismissal for Conduct on 3/31/2023

Dear Operator Rogers,

This is to advise you that I am proposing that you be dismissed from your position as a 9163 Transit Operator.

# This action is based on the following charges:

# San Francisco Municipal Railway Rules and Instructions Handbook

Rule 2	General Rules
<b>Rule 2.1</b> Rule 2.1.3	Application of Rules  All employees will be held accountable for compliance with all rules in the San Francisco Municipal Railway Rules and Instructions Handbook.
Rule 2.1.5	Violation of any rule in this Rules and Instruction Handbook is sufficient cause for disciplinary action up to and including dismissal.
Rule 2.1.7	Adherence to these rules is essential to safety and safety is the primary importance in the performance of duties.
<b>Rule 2.8</b> Rule 2.8.1	Conduct Polite, respectful behavior is required of all employees in their dealings with the public, their subordinates and each other.
Rule 2.8.10	Immoral employees will not be retained in the service.
Rule 2.8.12	Vicious employees will not be retained in the service.
Rule 2.8.17	Employees must not possess a weapon while on duty.



# Rule 2.13 Discipline

- Rule 2.13.1 Violation of any of the following rules will be sufficient cause for charges for disciplinary action involving suspension, or if appropriate, dismissal:
  - A. Inattention to Duties;
  - D. Immoral Conduct;
  - F. Discourteous treatment of the public or other employees of the SF MUNI Railway.

#### Rule 2.21 Safety

- Rule 2.21.15 Employees must be careful to avoid any act or situation that could cause injury to themselves or others.
- Rule 2.21.16 Employees must protect their own personal safety, the safety of others, and the safety of equipment and property.

# The Facts Upon Which These Charges Are Based

On March 31, 2023, Operator Rogers, was assigned to the L-Line on Coach 8748. At approximately 11:34 pm, while at the terminal located at Beach and Jones Street, Operator alighted several passengers. Operator Rogers said to one remaining passenger, "this is the last stop". After a brief exchange of words with the passenger who refused to exit the coach, operator Rogers stepped off the coach thru the rear door. Operator Rogers then turned around and stepped back onto the coach with a pink canister located in his right back. As the passenger was laying across the seats, operator Rogers pass the passenger and he stated, "come on folks get up, get off, I have to use the bathroom." While passing the passenger at from the rear doors, Operator Rogers pepper sprayed the passenger in the face. The passenger began to scream in pain and fell to the floor, while holding his face. Operator Rogers went to the driver's area, as the passenger was rolling on the floor laying in the rear doorway. Operator Rogers then attempted to close the rear doors, but the door would not close due to the passenger laying in the doorway. Then the passenger fell off the coach, onto the ground. Operator Rogers then closed the rear door and drove off. The passenger stumbled onto the street, with one shoe on and the other shoe on the coach and screamed in pain. Operator Rogers left the coach and the passenger in the street screaming. Once Operator Roger returned to the coach, he walked to the driver's side window in the street with no safety vest and got back on the coach leaving the passenger in the street still screaming. Operator Rogers then notified TMC alleging he had some feces on his coach, while waiting on TMC to respond to the call, operator Rogers seen a Lady. She walked over to the passenger to help the passenger who was yelling for help and 911 in the middle of the street. Operator Rogers yelled to the lady "Miss don't help him he may attack you, don't trust him". The passenger then responded, "no I won't, HELP!" While operator Rogers attempted to contact TMC again another passenger approached the coach and wanted to board but was told by operator Rogers "no passengers." After several minutes TMC contacted operator



Rogers and gave him orders to pull in and swap out his coach. As operator Rogers was leaving the terminal a passenger walked up to the coach and is yelled "wait, are you leaving." Operator Rogers answered the passenger in an aggressive tone, "I just told you NO PASSENGERS, this bus is messed up, I got to get another bus." The passenger asked again, "are you going to go or what?" Operator Rogers repeated his statement again and said, "there is going to be another bus coming, calm down." As the passenger is attempting to ask another question, operator Rogers closed the door and drove off.

Operator Rogers arrived at the division at 12:04 am and began to exchange his coach with the yard starter on duty. At approximately 12:49 am, the SFMTA inspectors boarded the coach and was looking for the feces. After a little search they located the feces on the seat where the passenger was sitting. During the search they stated there was some type of spray on the floor. SFPD came to Woods division to review the coach's video. SFPD arrested Operator Rogers while at the division. Operator Rogers called in sick on Monday April 3, 2023, while incarcerated. The SFMTA employee handbook states as follows:

You may not use sick leave for reasons not set forth in this Handbook, the Civil Service Rules, the applicable collective bargaining agreement or other applicable laws. Misuse of sick leave is grounds for discipline, up to and including termination.

The following are examples of proper uses of sick leave:

- · Inability to work due to illness or disability;
- Medical and dental appointments;
- Absence due to the death of a member of your immediate family or other persons as defined in the Civil Service Rules;
- Absence due to pregnancy or convalescence period following childbirth;
- Absence due to illness or medical appointment of a dependent child, parent, spouse or registered domestic partner;
- Leave to care for a spouse, parent, child (including an adult child) or next of kin with a serious injury or illness related to active military service;
- Paid parental leave; or
- To supplement Workers' Compensation or State Disability Insurance ("SDI") benefits.

The following are some examples of improper uses of sick leave:

- · Calling in sick to extend an approved vacation;
- Using sick leave when your arrival at work is delayed by traffic or car trouble; Claiming you cannot work due to illness, when you are not ill; and
- Using sick leave to cover a period of incarceration.



# Inquiry/Investigation

An Investigative Conference was scheduled for Monday, May 1st, 2023, with yourself and your union representatives Karl Cato and Jose Batton. I explained the reason why you were brought into the office for an investigative conference. I asked Operator Rogers did he understand the reason why he was attending the conference. Operator Rogers stated, "I understand however I did not do anything wrong, and I did not pepper spray anyone". That is all I have to say, and I have been instructed not to say anything else.

# Materials Upon Which Charges are Based.

The following materials, attached hereto, provide the written evidentiary basis for the charges and proposed recommendations:

- Discipline Tracking (Employee History) Records
- On Board Video

















# **Basis for Recommendation/Conclusions**

This incident was a result of Operator Rogers not following procedures, while at the terminal needing to use the restroom. While I do understand you needed to take a break you violated conduct rule 2.8 which states, polite, respectful behavior is required of all employees in their dealings with the public, their subordinates and each other. Operator Rogers aggressive and unprofessional behavior towards the passenger does not represent SFMTA's policies or acceptable professional behavior. Your actions were unacceptable and will not be tolerated. It is your responsibility to always maintain professionalism while you are operating a coach and while in uniform. Your delivery to the patron does not meet the standards of SFMTA. Therefore, I am proposing a corrective action of dismissal from your position as a 9163 with SFMTA.

# Right of Response

You have the right to provide a response to this proposed recommendation. Your response may be written or oral. A meeting is scheduled for May 11, 2023, at 8:15 AM at Woods Division. This stage of the process is your opportunity to respond to the charges and proposed recommended action. This is not a formal hearing and therefore there is no examination of witnesses nor a court reporter or transcript of the process.

You are entitled to bring a representative of your choosing with you to the meeting. Your Union representative is **Karl Cato**.

If you choose to respond in writing, your written response should be directed to **Greg Valentine** and must be received no later than May 11, 2023, at 8:15 AM

If you fail to appear as scheduled or respond in writing, the disciplinary process will proceed.

Sincerely,

Greg Valentine Division Assistant Manager Woods Bus Operations

cc: Labor Relations

Division Union Chairperson

Operator's File

### DC E ign DC E this)	Violation     Violation Comment       Drive Cam - Stop Sign     DC EXLX83434 - rolling stop       CSC - Pass Ups     CSC #661671       CSC - Pass Ups     CSC #647503       CSC - Pass Ups     CSC #647503       AWOL #1 (in 8 months)     CSC #647503       Cell Phone     12/1/21 - PED Violation, Conduct 12/2/21 - Pass-up, Refusing orders while he went to use the restroom       Commendation     CSC #422764 - left coach running while he went to use the restroom	7	0	OI	4	ω	N	_		
Wiolation Comment  DC EXLX83434 - rolling stop  CSC #661671  CSC #647503  CSC #647503  CSC #422764 - left coach running while he went to use the restroom	Violation Comment  DC EXLX83434 - rolling stop  CSC #661671  CSC #661671  2. Conferenced  CSC #647503  2. Conferenced  6. Suspension  12/1/21 - PED Violation, Conduct 12/2/21 - Pass-up, Refusing orders  CSC #422764 - left coach running while he went to use the restroom  CSC #422764 - left coach running while he went to use the restroom  Commendation  Commendation	6/3/2021	8/6/2021	12/1/2021	6/1/2022	8/10/2022	9/1/2022	1/30/2023	Date	
	Action  5. Written Warning  2. Conferenced  2. Conferenced  3. Caution & Reinstruct  3. Caution & Reinstruct  3. Commendation	Commendation	Inattention to Duties	Cell Phone	AWOL #1 (in 8 months)	CSC - Pass Ups	CSC - Pass Ups	Drive Cam - Stop Sign	Violation	Nogers, or.
Action 5. Written Warning 2. Conferenced 2. Conferenced 3. Caution & Reinstruct 3. Caution & Reinstruct 3. Commendation			CSC #422764 - left coach running while he went to use the restroom	12/1/21 - PED Violation, Conduct 12/2/21 - Pass-up, Refusing orders		CSC #647503	CSC #661671	DC EXLX83434 - rolling stop	Violation Comment	
	Action Comment  2 DAYS 2 DAYS 8/16 8/23  10 DAYS Proposed Termination Step 2 Hearing Decision 10-Day Suspension	Commendation	3. Caution & Reinstruct	6. Suspension	6. Suspension	2. Conferenced	2. Conferenced	5. Written Warning	Action	

Date         Violation         Violation Comment         Action         Action Comment           8         8/258/2020         Drive Cam - Stop Sign         DriveCam EUP/22/404 - failed to         6. Suspension         1 DAY 1/13/20           9         11/22/2018         Drive Cam - Stop Sign         DriveCam EUP/22/404 - failed to         No Action         passup           9         11/22/2018         PSR #554722         No Merit         No Merit         No Action         passup           10         10/2/2018         PSR #554722         No Merit         No Merit         No Merit         No Merit         No Action         CSG - Cautioned and the Coach.         Cautioned and the Coach.         CSM - 2/20/18; No merit         PSR #537288         No Action         CSM - 2/20/18; No merit         CSM - 2/20/18; No merit         CSG - 2/6/18         CSG - 2/6/18         CSM - 2/20/18; No merit         SSM - 2/20/1
Reginald  Violation Comment  Action  No Action  No Merit  Operator asked passenger to leave Reinstructed  ### Coach.  ### 537298  PSR # 537298  Commendation  Commendation  Commendation
Action  6. Suspension  No Action  No Merit  No Merit  Cautioned and Reinstructed  Canferenced  2. Conferenced  Commendation
Action Comment 1 DAY 11/13/20 passup passup CSM - 2/20/18; No men CSM - 2/20/18; No men issued 4/3/17

Date   Violation   Violation Comment   Action   Action Comment   Rule Violation
Reginald       Violation Comment       Action       Action Comment         #ESND52698       6. Suspension       Sk Meeting - 4/4/17       Sk Meeting - 4/4/17       Sk Meeting - 4/4/17       Propose day suspension       Sk Meeting - 4/4/17       Sk Meeting - 4/4/17       Propose day suspension       NC         494336       No Action       No Action       NC         NP       Determination Letter       Letter - 04/01/16         Pass/opr verbal altercation       No Action       NC         Email complaint       3. Caution & Reinstruct       CSM - 02/21/16         CR issued 03/03/16       CR issued 03/03/16
Action Comment  6. Suspension  6. Suspension  CSM - 4/4/17  Sk Meeting - 4/12/17; propose day suspension Sk Dec - Sustained  NC  Commendation  Commendation  Commendation  Letter - 04/01/16  Determination Letter  CSM - 02/21/16 CR issued 03/03/16  t  NO Action  NO
Action Comment  CSM - 4/4/17 Sk Meeting - 4/12/17: propose day suspension Sk Dec - Sustained NC  Letter - 04/01/16  CSM - 02/21/16 CR issued 03/03/16  NC  NC
Action Comment Rule  CSM - 4/12/17: propose 3 day suspension Sk Dec - Sustained  NC  Issued 1/17/17  Letter - 04/01/16  CSM - 02/21/16 CR issued 03/03/16
1.57%

#Name?

		23	23	24	25	26	27	28
	Date	2/6/2016	1/22/2016	12/4/2015	12/3/2015	11/7/2015	11/7/2015	10/30/2015
Rogers, Jr.	Violation	CSC - Conduct	INCIDENT	INCIDENT	INCIDENT	RED LIGHT	SPEED	INCIDENT
Reginald	Violation Comment	CSC # 492518	CSM - 2/3/16 (Altercation with Liem, Jefry4193)			CSM 11/25/15	CSM 11/18/15	opr/pass verbal altercation
	Action	3. Caution & Reinstruct		NO	NC	5. Written Warning		N/C
	Action Comment	CSM - 03/21/16 CR issued 03/03/16	Conference held - Operator instruted to put in a Miscellaneous Report; Do not approach Co-workers	vandalism	unruly pass	12/7/15; Reissue - 12/10/15		
	Rule Violation							2

Tuesday, May 9, 2023

#Name?

Date         Violation         Violation Comment         Action           29         3/22/2015         Preventable Accident Skelly - 5/5/15 Skelly Dec - 5/6/15 Skep 2 - 6/10/15 Skep 2 - 6/10/15 Skep 3 - 8/15/15         6. Suspension Skelly Dec - 5/6/15 Skep 2 - 6/10/15 Skep 3 - 8/15/15           30         2/26/2015         PASSENGER SERVICE REPORT         ADA         Hearing	Preventable Accident Preventable Accident Skelly - 5/5/15 Skelly Dec - 5/6/15 Skelly Dec - 5/6/15 Step 2 - 6/10/15 Step 3 - 8/15/15 ADA REPORT ADA		ಜ	29	T <sub>V</sub>	
Reginald  Violation Comment  Skelly - 5/5/15  Skelly Dec - 5/6/15  Step 2 - 6/10/15  Step 3 - 8/15/15  ADA	Reginald  Violation Comment Action  Skelly - 5/5/15 Skelly Dec - 5/6/15 Step 2 - 6/10/15 Step 3 - 8/15/15  ADA  Hearing		2/26/2015	3/22/2015	Date	
	Action 6. Suspension Hearing	30	PASSENGER SERVICE REPORT	Preventable Accident	Violation	Rogers, Jr.
Action 6. Suspension Hearing			ADA	Skelly - 5/5/15 Skelly Dec - 5/6/15 Step 2 - 6/10/15 Step 3 - 8/15/15	Violation Comment	Reginald
	Action Comment  Skelly - propse dismissa Skelly Dec - sustain dismis Step 2 - grievance denied dimissed 7/3/15  4/8/15 - Neutral Hearing - Referring route question to		Hearing	6. Suspension	Action	

# Exhibit C



May 17, 2023

#23 - 3250

# SKELLY DECISION

Rogers, Jr., Reginald 9163 Transit Operator

SUBJECT: Notice of Recommended Corrective Action - Skelly Hearing Officer Decision

Dear Operator Rogers,

You had a Skelly meeting noticed dated May 9, 2023 proposing that you be **dismissed** from your position as a 9163 Transit Operator for your conduct on March 31, 2023.

# Hearing:

A hearing was scheduled for Thursday, May 11, 2023 to discuss this matter. Present during this hearing was Union Representative Jose Batton, Division Manager Monica Collins, and Operator Reginald Rogers, Jr.

#### **Operator's Comments:**

Operator Rogers read a written statement clearly stating he "didn't tear gas, pepper spray" the passenger and that he had and "emergency tool in [his] hand...in case he was attacked". Operator's statement is included in this decision letter as "Attachment #1". Operator Rogers also stated he was harassed by the passenger throughout the entire trip. Regarding using an aggressive tone with an intending passenger, Operator Rogers stated his doors were closed so he had to speak loudly so the intending passenger could hear him.

# **Union Representative's Comments:**

Union Representative Batton referred to the video and stated the reaction of the passenger does not reflect the actions or behavior of one being sprayed with pepper spray. Representative Batton also stated Operator Rogers did not close the rear door and drive off after the passenger fell to the ground but secured his coach and proceeded to the restroom.

# **Hearing Officer's Comments:**

A full review was conducted of documentation included in the Operator Rogers's Skelly packet, on board video, and the written statement of events from Operator Rogers. Contrary to the written statement from Operator Rogers and statements from both Rogers and Union Representative Batton during the Skelly Meeting, video taken from SFMTA motorcoach #8748 on March 31, 2023, at approximately 11:35pm shows Operator Rogers spray a substance from a pink canister onto a seated

San Francisco Municipal Transportation Agency

1 South Van Ness Avenue, 7th Floor

San Francisco, CA 94103

SFMTA.con



passenger causing him to scream in agony, fall off the vehicle, and beg for help. The onboard video again reveals the aggressive manner Operator Rogers displayed to an intending passenger who was attempting to obtain information regarding service. Operator Rogers's actions violated multiple San Francisco Municipal Railway Rules regarding conduct and safety:

2.8 Conduct: 2.8.1, 2.8.10, 2.8.12, 2.8.17

2.21 Safety: 2.21.15, 2.21.16

# **Hearing Officer's Decision:**

The application of rules states: "All employees will be held accountable for compliance with all rules in the San Francisco Municipal Railway Rules and Instruction Handbook" (Rule 2.1.3) and that "Violation of any rule in [the] Rules and Instruction Handbook is sufficient cause for disciplinary action up to and including dismissal" (Rule 2.1.5). Operator Roger's unseemly behavior of spraying a passenger with a substance resulting in injury and the aggressive interaction with an intending customer is not conducive to the mission of the SFMTA which is to provide safe and reliable service. Therefore, the proposal that Operator Reginal Rogers, Jr., #4662 be dismissed from his position as a 9163 Transit Operator is **upheld**.

# Right of Appeal:

You may appeal this action within seven (7) days of receiving this notice of Recommended Corrective Action, in accordance with Article 22 of the 2022-2024 MOU between TWU Local 250 – A and the San Francisco Municipal Transportation Agency.

You have the right to be represented in your appeal by your union representative, or another representative of your choice.

This decision will not be implied as a precedent for any pending or future disciplinary action that may be perceived as similar in nature, by either MUNI Management or Union Local 250-A.

Sincerely,



Monica Collins Kirkland Division Manager

CC.

Labor Relations Local 250A, Roger Division Union Chairperson File



# **ATTACHMENT #1**

Lat's be clear here I didn't tear gas, pepper spray or whatever the rumors that management put out there. I had an emergency tool in my hand which is not an anyway illegal doesn't spray any substance or anything, it's used for in case you're in a situation where you need to escape a vehicle or situation by cutting the seatbelt or breaking the glass. It's no secret that muni operators are at constant risk of danger, including constant physical and verbal assaults due to the nature of this guy's behavior I had this tool in my hand as a precaution to protect my self just in case I was attacked. No operator should have to endure harrassment, discrimination or feel unsafe while driving.

TO be included with his skelly meeting on 5/11/23

Jose Botton

Union Sec.

Woods Division

# Exhibit D



# TRANSPORT WORKERS UNION, LOCAL 250A STATEMENT OF GRIEVANCE Step 1



Employee's Name:	Reginald Rogers Jr	Date:	6/5/23
Classification 9	163 Cap or Badge #:	Division: WOODS	
Harm/Discipline:	Termination Date	of Skelly Decision: 6/5/23 (Received	1)
Reason(s) for Grieva	nnce: The SFMTA is proposing	terminal of Operator Rogers based on	false claims.
The SFMTA has no jus	t cause for these charges. There	Is no evidence.	
Video shows nothing or	ther than an argumentative indiv	idual who refused to get off the bus at	the end of the
Line per SFMTA policy.	to with the same and		Rent-Finite
	(Please see	attached)	
			Index   Land
MOU Violation(s): 19.1	19.4 (Please see	attached)	
Settlement Desired: C	& R (Reinstruction)		
	The state of the second	and the second	
Signature of Employee Anthony Ballester Fo	r Reginald Rogers Jr.	TWU Local 250A Pres	
Management's Respon	SE: SEE ATTACHED		
Management's Explana	tion:	0	NIA 5 WE
			to balley by
) [			
6 13 2023		DIVISION M	MAGER
Date '		Title	

#### ATTACHMENT TO REGINALD ROGERS JR. GRIEVANCE

In the Skelly letter under The Facts Upon Which These Charges Are Based, the agency knowingly placed incorrect or misleading information in City and County of San Francisco's official documents. Video evidence does not show a cannister in my hand. Operator Rogers was holding a safety tool. Also, video evidence does not show any type of spray being sprayed on the passenger. Video evidence shows the passenger lying on the seat with the front right side of his face covered on the seat. When said passenger got up, he was holding the right side of his face. It is unlikely for any type of object to contact the right side of the passenger's face. The passenger may have been lying on a substance that irritated his face. The agency also stated, after the passenger fell, Rogers closed the door and drove off. That statement is false. After closing the rear door, Reginald exited the coach and used the restroom. The agency also implied that Rogers was arrested for the incident on the coach. That is misleading. Operator Rogers was detained for a short time due to an unrelated mistaking identity not related to the incident on the coach and then released. The agency also stated that I called in sick while incarcerated. This statement is false, Rogers called from home. The agency was assuming that Rogers was abusing the SFMTA sick policy. The Agency also stated that SFPD was involved in the alleged incident. Agency failed to provide documents or report from SFPD. (Written materials, reports or documentation)

# **MOU Violation**

237. The written pre-discipline notice must contain a specific statement of charges or grounds upon which the proposed disciplinary action is based, the date the proposed disciplinary action would be effective, the scheduled date for the Pre-Discipline Skelly Meeting, which shall be no more than seven (7) working days after SFMTA initiates discipline as described in Section 19.5. If SFMTA alleges that the Operator violated a rule, policy, regulation, or procedure that has been adopted in writing, the rule, policy, regulation or procedure shall be stated in the notice.

238. SFMTA shall attach to the preliminary written notice the written materials, reports or documentation, including any public complaints with complainant's contact information redacted, upon which the proposed disciplinary action is based.

# Exhibit E



Amanda Eaken, Chair Stephanie Cajina, Vice Chair Steve Heminger, Director Fiona Hinze, Director Manny Yekutiel, Director

Jeffrey Tumlin, Director of Transportation

#22 -

To: Reginald Rogers, Jr.

r.

From: Monica Collins, Kirkland Division Manager

Re: Grievance Response for Conduct on March 31, 2023

#### Managers Reply:

On June 7, 2023, Union Representative Anthony Ballester submitted a grievance via email on behalf of Reginald Rogers, Jr., requesting to reduce the decision of dismissal to a Caution and Reinstruction for Conduct on March 31, 2023.

Union Representative Anthony Ballester grieves the Agency violated the following sections of the Memorandum of Understanding (MOU) between Transport Worker's Union, Local 250-A (9163) and San Francisco Municipal Transportation Agency dated July 1, 2022 - June 30, 2024:

# Section 19.1: Discipline

#### Standards for Discipline Permanent Operators

226. SFMTA's Executive Director/CEO or designee may discipline any non- probationary permanent Operator for just cause. In imposing discipline, SFMTA shall act in a fair and equitable manner. Discipline imposed shall be related to the offense committed with due regard for the Operator's employment record.

# Section 19.4 Definition of Discipline and Progressive Discipline Disciplinary Suspension or Discharge

230. "Discipline" shall be defined to include disciplinary suspension without pay, or discharge. Changes in assignment and reassignments made for the purpose of improving service or addressing performance problems shall not constitute discipline and shall not be subject to the grievance procedure in Article 22

#### Section 19.6 Pre-Discipline Due Process Right

237. The written pre-discipline notice must contain a specific statement of charges or grounds upon which the proposed disciplinary action is based, the date the proposed disciplinary action would be effective, the scheduled date for the Pre-Discipline Skelly Meeting, which shall be no more than seven (7) working days after SFMTA initiates discipline as described in Section 19.5. If SFMTA alleges that the Operator violated a rule, policy, regulation, or procedure that has been adopted in writing, the rule, policy, regulation or procedure shall be stated in the notice. 238. SFMTA shall attach to the preliminary written notice the written materials, reports or documentation, including any public complaints with complainant's contact information redacted, upon which the proposed disciplinary action is based.



Operator Rogers's rights were not violated as the Agency has the right to discipline any non-probationary permanent Operator for just cause (MOU Section 19.1). In addition, Operator Rogers's Skelly decision is compliant with the definition of "Discipline" as stated in Section 19.4.

I investigated the "The Statement to Operator Rogers's Grievance" provided by Union Representative Anthony Ballaster on the behalf of Operator Rogers. The Inspector's Incident Narrative of SFMTA Transit Inspector Report No. 35256 indicated San Francisco Police Department (SFPD) Unit #3A13E informed the Inspector on scene that a passenger was pepper sprayed by a Muni Operator, the victim gave a description of Operator Rogers, and that Operator Rogers was apprehended by SFPD (See Attached Inspector report). Operator Roger's attests in his grievance statement he was not arrested and was detained for a short time due to an "unrelated mistak[en] identity not related to the incident on the coach and then released". However, according to incarceration details from the SFPD for Operator Rogers, Operator Rogers was booked on April 1, 2023 at 5:37 am for violation of California Penal Code 225810(g)(1) PC/F which is unlawful use of tear gas. Therefore, according to the Inspector report indicating Operator Rogers's arrest and the booking record, Operator Rogers was in custody with the SFPD at the time he reported sick (see attached sick tag) which is a misuse of sick leave according to the City and County of San Francisco Employee Handbook Sick policy which states:

- You may not use sick leave for reasons not set forth in this Handbook, the Civil Service Rules, the applicable collective bargaining agreement or other applicable laws.
- You may not use sick leave for reasons not set forth in this Handbook, the Civil Service Rules, the applicable collective bargaining agreement or other applicable laws.
- Misuse of sick leave is grounds for discipline, up to and including termination.
- The following are some examples of improper uses of sick leave: Using sick leave to cover a period of incarceration.

Based on the information and evidence provided in the Skelly, the conduct displayed by Operator Rogers (spraying a passenger with pepper spray which resulted in injury) was in violation of the California Penal Code, Municipal Railway Rules and Instructions Handbook, and the City of San Francisco Employee Handbook. The SFMTA may discipline any non-probationary permanent Operator for just cause and discipline imposed shall be related to the offense committed. Therefore, your grievance based on the Agency's violations of Sections 19.1, 19.4, and 19.6 of the Memorandum of Understanding between Transport Workers' Union (TWU), Local 250-A and the San Francisco Municipal Transportation Agency and request to reduce Operator Roger's corrective action of dismissal to caution and reinstruction is denied.

Attachments: Transit Inspector Report No.

Transit Services Non-Driving Status Report

Incarceration Details from SFPD for Operator Reginal Renwick Rogers

Transit Operator's Sick Leave Report



# TRANSIT INSPECTOR REPORT

No. 35256

CONFIDENTIAL TO CITY ATTORNEY

INSPECTOR NAME	Ali Azam	INSPECTOR PHONE #
INSPECTOR STAR#	442	INSPECTOR DSW ID#

# Report Type

Is This a Base Report or a Cover Sheet?	Base Report	

# **Related Inspector Forms**

Inspector Form	CAP ID	DSW ID	Operator/Driver	Division	Vehicle No.
Record No.					

# Report Information - Report Details

Record No.	35256	Division	WOODS	
Created By	Ali Azam	Date Created	4/1/23 02:56:46	
DSW No.		·		

Operator/Driver Involved	Reginald Rogers Jr		
DSW ID		CAP ID	
Driver License No.	B4725025	License Expiration Date	
VTT Expiration Date	12/06/2024	Medical Expiration Date	02/02/2024

Was TMC contacted?	Yes	TMC Incident No.	-140856	
Was TMC No. Missing	No			Le sage

# Report Information - Incident Details

Date and Time of Incident	04/01/2023 00:00:00	
Day of the Week of Incident		
	and Time of the Incident are correct to the best of your knowledge? Yes	

Incident Security Type Category		If Collision, Incident Subcategory (Collision with)		
		If Security, Incident Subcategory (Security)	Assault - Passenger	
		If Security, Security Group Sub-Type	1,000	otential Hate ime?
		If Other (Including Falls & Unusual Occurrences)	Incident Subcategory (Other)	Boarding/Alighting-Other
			Did the incident involve a fall?	No
Secondary	Incident Type Category	Other (Including Falls & U	nusual Occurrences	

Mode Motor Coach		If Motor Coach or Trolley Coach, Articulated?	No
		If vehicle NOT capable of carrying customers, Type of Vehicle	

Incident Start Time	04/01/2023 00:00:00	i	)
Vehicle Delay Cleared Time	04/01/2023 01:55:00		
Line delay?	30.0	7,000	
Line Delay Cleared Time		)	,
)		)	)
Operator's/Driver's Version of the Incident	Jones. I told him that I have to go to bus. He started to roll in the seats a door. He then got off the coach and	pach at the end of the line at Beach and the bathroom and needs to exit the and then he fell to the ground by the re I closed the doors and went to the the restroom, I noticed feces on the se	ar

Based on evidence reviewed, did the	Yes	If Yes	What category does the violation fall under? [Check all that apply]	General Rules
			Was Intersection Control Violated?	No
operator/employee violate an SOP or Rule Book rule?			Was Intersection Control Violated?  Please describe the violation.	No  2. GENERAL RULES 2.1.3 All employees will be held accountable for compliance with all rules in the San Francisco Municipal Railway Rules and Instruction Handbook. 2.1.5 Violation of any rule in this Rules and Instructions Handbook is sufficient cause for disciplinary action up to and including dismissal. 2.1.7 Adherence to these rules is essential to safety and safety is the primary importance in the performance of duties. 2.8 Conduct 2.8.1 Polite, respectful behavior is required of all employees in their dealings with the public, their
				subordinates and each other.  2.8.10 Immoral employees will not be retained in the
				service. 2.8.12 Vicious employees will not be retained in the service.
		H		2.8.17 Employees must not possess a weapon while on duty. 2.13 Discipline 2.13.1 Violation of any of the following rules will be sufficient cause for charges for disciplinary action

	involving suspension or, if appropriate, dismissal. A. Inattention to duties; D. Immoral Conduct; F. Discourteous treatment of the public or other employees of the SF MUNI Railway; 2.21 Safety 2.21.15 Employees must be careful to avoid any act or situation that could cause injury to themselves or others. 2.21.16 Employees must protect their own personal safety, the safety of others.
	safety, the safety of others, and the safety of equipment and property.

Was the operator coached? No		
Further explanation regarding coaching.		
Was the operator / driver returned to	duty / service?	No
Time Inspector received call	00:00:00	
Time Inspector arrived on scene 00:00:00		
Time Inspector went code #713 (scer	ne clear)	00:19:00

#### Inspector's Incident Narrative

I was on an assignment to assist an operator on the #8 Line, with a sleeper on the coach. As I was headed towards Kearny and North Point, operator called TMC back and stated the sleeper had exited the coach. As I was in the area of Jones and Beach, I noticed Emergency vehicles, blocking the L-OWL terminal at Jones and Beach. I proceeded towards Jones and Beach and inquired with SFPD unit #3A13E regarding the situation. SFPD unit #3A13E informed me that a passenger was pepper sprayed by a Muni operator. Party #2(African American Male) was laying on the opposite side of the street (North Bound), screaming in pain. Party #2 was screaming that his face was burning and had snot coming from his nose. Party #2 gave a description of the operator to SFPD #3A13E as an African American Male with grey beard. I checked with SFPD as to the time of the call to 911. SFPD informed me the call came in at 23:46. I contacted TMC and inquired if any operator had reported a incident on his/her coach. TMC was not contacted. TMC then assisted me in locating the coach, which had left the terminal around the 23:46 timeframe. Coach #8748 was ordered to pull in the coach, due to feces on the coach. Contacted TMC and informed them to hold the Operator and the coach at Woods Division for an operator interview. In route to Woods Division, I contacted 5M4 to assist me with a video pull from the coach. Upon my arrival at Woods Division yard, was assigned a new coach and was awaiting my arrival. Coach #8748 was held on track #3/B lot. 5M4 responded to the scene and SFPD unit #3A13E responded to the scene. Per video review it was confirmed that party #2 was assaulted by operator with Pepper spray at 23:35 hours. Operator then apprehended by SFPD. Operator was also placed on Non-Driving Status. Woods Division dispatcher was notified and provided with the Non Driving status form. Coach #8748 was released back to the yard starters for cleaning and maintenance.

Were photographs taken?	Yes	

Damage to	City Property?	Yes 🗌 I	√o X		4		
ollision	n Details (	If Incident Ty	pe Category is	Collisio	n)		
Was the c	ollision a hit a	and run?					
Descriptio	n of Impact			+			73/
	ehicle's Action						Tues
	pact [Check a	160	4				
	ehicle's Action	1		-		200	
apply]	Check all tha	If Other					
Due to the i	mpact, the SFI	MTA vehicle moved	approximately (ft)				
lf Mode is Rail Vehic			he emergency brake? tion taken to avoid co				
Evacuatio Evacuatio	n Initiated By		Type Category	is Evac	If Other If Other		
Fuel Type	Participated and property and participated and participat			-	1		
	Description						£
Report I	nformatio	00 1 Nov. 200 00-	Location Detail	s	maring.	,	
Right of Wa	y (ROW) Type	Surface Ope	erations - Non-Exclu	sive ROW	(including R	ed Lanes)	
(DOM:	O. h		K Culous Challes	60	7	-	
ROW is Subway	Subway Location		If Subway Station If Mile Post			52.1	
Station /	Type		If Crossover or			-0.500	<u>98</u>
unnel	. ,,,,,		Pocket / Side Track				
•			Was there a subway signal present?	de	Yes, Please escribe the gnal that was resent.		39
						A 20	
fROW is	Surface	Between	If Intersection	On			
TON	Location	Intersections		At			
Subway	Туре		If Between			JONES ST	
			Intersections	At for Inter	section #1	BEACH ST	

					The state of the s
	1			At for Intersection #2	JEFFERSON ST
			- C 1	Near side or Far side?	Near Side - Intersection #1
			If Yard / Storage	or Maintenance Facility	
			If Other Location/	Address	1
Fraffic Control	No Traffic	Contro	ol	If Signal Control	
Did the inci	the incident occur at a transit stop or station?			Yes	
	Control	Control	Control	raffic No Traffic Control	If Yard / Storage or Maintenance Facility If Other Location/Address  Traffic No Traffic Control  If Signal Control

If a Rail Vehicle	Did the incident occur	If Yes	Switch Signal Control	
	when the operator was approaching or going over a switch?		Describe the type and alignment of the switch and other details related to the switch.	

Additional Location Detail

# Report Information - Operator/Driver Details

First Name	Reginald	Last Name	Rogers Jr
Email Contact			
Phone No.			

Are they classified as a "9163 - Transit Operator"?	Yes
If Yes, Operator's Division	WOODS

DSW ID	CAP ID	5
Are there any comments or edits regarding the Operator/Driver Details on the Operator/Driver form?	If Yes, Please specify.	

If a 9163 – Transit Operator	Was the operator working their regular work assignment or run?	No
	Was the operator on the extra board or floating extra board?	Yes
	Was the operator working on their RDO?	No
If Not a 9163 – Transit Operator	Employee's Work Site/Division	

# Report Information - SFMTA Vehicle Details

If Mode is Capable of	Vehicle's Division	Woods
Carrying	Run No.	278
Customers	Line No.	LOWL (L OWL TARAVAL)
	Direction	Outbound

	Revenu No.	e Collecti	ng Vehic	le 87	48 - New Flyer	40ft Moto	r Coach		11
	Consist	Additiona (If Applica	able)	Vincial .					
		Number a		Run, Line, ct to the be	Direction, and est of your	Yes	3		
	Vehicle	Manufact	turer	Ne	w Flyer 40 SR	1794 10/13	BAE		
		i				7-A & C. C.	<u> </u>	390	
If Mode is		Revenue \	Vehicle N	0.		52-55			
NOT Capable of Carrying	Non-F	gevenue /	Vehicle M	lake					
Customers	Non-F	Revenue 1	Vehicle M	lodel		199 10			
	Non-F	Revenue 1	Vehicle V	IN					
	Non-F	Revenue 1	Vehicle L	icense Pla	te No.				- T
11011		- 14 TO					100		
Vehicle Action At	Othe If Oth								per TMC instructions,
Incident (Not Collisions) [Check all that apply]									
Which geogra	nhical dir	action wa	e the ver	irle facina	at the time of the	incident?	South		
Approximate \	in the second					ir location it:	0		
Approximate	verlide o	pocu at 1	inic or in	doent (mp	119	*			
Was there an	/ damage	to the ve	ehicle?	No					W
If Yes, Describ									
	, , , , , , , , , , , , , , , , , , ,								
Report Inf	ormat	ion - I	njury	Details					
		If	Injury Instructions		If the employee is injured, please instruct employee to submit a Workers' Compensation claim report.				
		Yes	_	ictions		The state of the s	ompensatio	n clain	report.
driver injured?		Yes	If Yes,	ictions		The state of the s	ompensatio	n clain	report.
driver injured? Were other pe		red?	_	ictions	submit a We	The state of the s	ompensatio	on clain	report.
driver injured? Were other pe Was ambula	nce call	red?	If Yes,	ictions	Yes Yes	orkers' C			
driver injured? Were other pe	nce call	red?	If Yes,	ictions	submit a We	orkers' C			report.
driver injured? Were other pe Was ambula	nce call	red?	If Yes,	ictions	Yes Yes	orkers' C			
driver injured? Were other pe Was ambula Count of Fata	nce call	red? ed?	If Yes, Type	ictions Injury	Yes Yes Count of Injured	orkers' C			
driver injured? Were other pe Was ambula	nce call	red? ed?	If Yes, Type	ictions Injury	Yes Yes Count of Injured	orkers' C	ansported to Ho		
driver injured? Were other per Was ambula Count of Fata	nce call ities	red? ed? 0	If Yes, Type	nstruct	Yes Yes Count of Injured	orkers' C	ansported to Ho	spital ]	

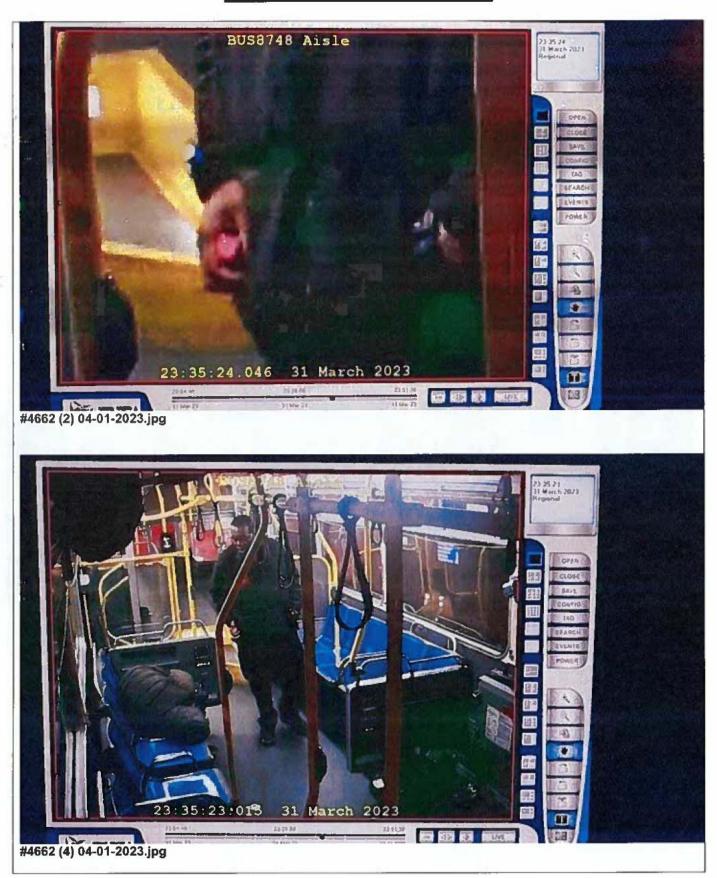
Was vehicle	No	If Yes	Hold Instructions			If Other
placed on hold?			Placed on Hold By [Check all that apply]			If Other
				If Yes	Name of Employee	
			1	1	Division of Employee	

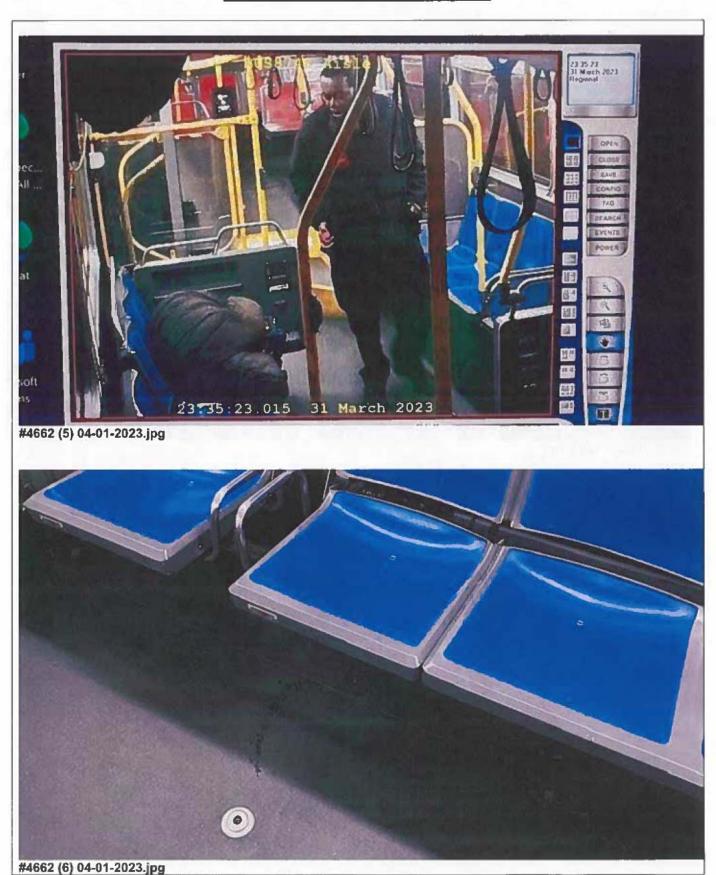
Placed on hold by an employee?	If No	Name of Person	
Contact No. Of Pen	son Who Put the	Hold	

Was the video pull requested?	Yes	If Yes	Video Pull Instructions	Pull and Release to We	oods Division Superintendent
			Video Pull Requested By		Ali Azam
			Division of Employee Who	Requested the Video Pull	TRANSIT SVC DIVISION
		75	Video Pull Requester's Cor	ntact No.	

Other Instructions







SFMTA Transit Inspector Report No. 35256 Ver-06-02-2023 Page 9 of 17



#### Person Involved - Person Details

Incident Record No	Transit Inspector Report - Record No. 35256	Record No	9495	
Created By	Ali Azam	Date Created	4/1/23 03:08:04	>>

Was the person inside/on or outside a	Inside/On	If Inside/On, Person Type	If Other	
vehicle?		If Outside, Person Type	If Not Driver/ Passenger of Another Vehicle (Outside of Vehicle) OR Bicyclist, Pedestrian Action [Check all that apply]	
		Contract of the same	If Other	

Was	Unknown	If Yes, Employee			
person an		If Not	First Name	Jacob	
		Yes	Last Name	St. John	

SFMTA	Gender Identity Sex	Man/Boy	
Employee?	Driver License No.	1 1	
	Driver State		

Date of Birth	Age Range	Adult (19 to 60)	
Address			
Phone No.		What phone is this (mobile, home, and/or work phone)?	
Email		The state of the s	
Statement from Person	Passenger was being tended to	by SFPD and was unavailable for interview.	

# Person Involved – Passenger Details (If Person Type is Passenger of SFMTA Vehicle)

	senger using ambula		e.g. cane or a lair /:	No		
	Location in Transit	t Vehicle		Center		
Passenger	Action			Sitting		-1
Did the	No	If Yes to	Type of Heel on P	assenger's Shoes		
passenger fall while		either passenger	Were steps OK?		-5-	
boarding or		fall	How far were the inches?	steps from the curb/land	ling in	
alighting?	₩.		Were the vehicle ste	ps slippery?		
			Was the vehicle floo			
			Was there debris on the vehicle floor?			
			Was the vehicle full		10011 1000000	
Did the passenger fall on board?	assenger all on		Did the passenger appear to be under the influence?	to you determ of whe not the passe appearunder influer	mination ether or e enger ared to be the nce?	
			Was the passenger carrying a parcel or object?		?	
			Passenger able to v	alik away?		
		Mr. Dec.	Additional Informa	tion about the fall		

# **Person Involved Additional Details Section**

Additional Document Attachments	

# Injury - Injury Details

Created By	Ali Azam	Date Created	4/1/23 04:11:16	
Incident Record No	Transit Inspector Report	Record No	1460	
	- Record No. 35256			

Person Involved	Perso	n Involved Record No. 9495					
Injury Type at Scene	Trans	sported to Hospital	If Transported to Hospital, where was injured party transported to?	St. Francis Hospital			
Paramedic Unit No.	SFFD	unit #66	unit #66				
What did the injured part	y say?	Party #2 was scream	ning that his face was burning ar	nd he got pepper sprayed			
Injury Description Face was burning							

Additional Description Attacks and	
Additional Document Attachments	

# **Emergency/Incident Response**

Created By	Ali Azam	Date Created	4/1/23 03:10:26	
Record No	14328			

Emergency/ Incident Responder Type   San Francisc	Police Department
---	-------------------

If Emergency/	First Name	Unknown					
Incident	Last Name	Manzoni					
Responder Type Is NOT Muni Peer Assistance	Unit No.	3A13E					
	Badge No.	2458					
	Star No.						
	Case No.	230224797					
	Report No.						
	Time of Arrived on Scene	04/01/20	23 00:	00:00			
	Was a citation issued?	Unkno wn	If Yes	To Whom? [Check All That Apply]			
				Citation No. (if known)			
	Other Emergency /	Incident Res	ponder	Info			

Additional Document Attachments	

# **Emergency/Incident Response**

Date Created

4/1/23 03:10:51

Record No	14329				
Emarganou/ In-	ident Beenender Tune	Can Evancies	o Fire Department		
Emergency/ in	cident Responder Type	San Francisc	o rire Department		
If Emergency/	First Name				
Incident	Last Name				
Responder	Unit No.	E2			
Type Is NOT	Badge No.				
Muni Peer	Star No.	111210			
Assistance	Case No.		32 - 176		
	Report No.				
	Time of Arrived on	04/01/2023 00	0:00:00		
	Scene	Ref MISO PROSVESSOV CISO TAK	Local Control of Contr		
	Was a citation	lf	To Whom?		
	issued?	Yes	The state of the s		
			Apply]	Car in	
			Citation No.		
		1	(if known)		
	Other Emergency / In	cident Respond	er Info		
Additional Doc	ument Attachments				
Emergency	Incident Respon	se			
,					
Constant Pu	Ali Azam		Date Created	4/1/23 03:11:14	
Created By Record No	14330		, Date Created	4/1/23 03.11.14	
Recold No	14330				
Emergency/ Inc					
	cident Responder Type	Medical Resi	oonder		
	cident Responder Type	Medical Res	oonder		
12		Medical Res	oonder		
If Emergency/	cident Responder Type	Medical Res	oonder		
If Emergency/ Incident			PAL I		
If Emergency/ Incident Responder	First Name	Medical Response	PAL I		
If Emergency/ Incident Responder Type Is NOT	First Name Last Name Unit No. Badge No.		PAL I		
If Emergency/ Incident Responder Type Is NOT Muni Peer	First Name Last Name Unit No. Badge No. Star No.		PAL I		
If Emergency/ Incident Responder Type Is NOT	First Name Last Name Unit No. Badge No.		PAL I		
If Emergency/ Incident Responder Type Is NOT Muni Peer	First Name Last Name Unit No. Badge No. Star No.		PAL I		
If Emergency/ Incident Responder Type Is NOT Muni Peer	First Name Last Name Unit No. Badge No. Star No. Case No.		6		
If Emergency/ Incident Responder Type Is NOT Muni Peer	First Name Last Name Unit No. Badge No. Star No. Case No. Report No.	SFFD unit #6	6		

issued?

Created By

Ali Azam

Yes

[Check All That

Apply] Citation No. (if known)

							1		
:mergency/	/Incident	Respons	se		5				
Created By	A	li Azam			Date Created	4/1/23 03:39:29			
Record No		4331							
Emergency/ Inc	ident Respo	nder Type	Muni Ma	nagen	nent				
If Emergency/	First Name		Ben						
ncident	Last Name		Chan						
Responder	Unit No.		5M4						
Type Is NOT		Badge No.							
Muni Peer	Star No.		250						
Assistance	Case No.								
	Report No.						_		
	Time of Arrived on Scene		04/01/2023 00:00:00						
	Was a cita issued?	tion		If Yes	To Whom? [Check All That Apply]				
					Citation No. (if known)				
	Other Eme	ergency / Inc	ident Res	ponder			7)31		
Additional Docu	ıment Attach	ments							
Additional Docu	ıment Attach	ments							

# **Decision for Post-Accident Drug and Alcohol Testing Form**

# **General Information**

Created By	Ali Azam	Date Created	4/1/23 04:09:19
Record No	3926		

Accident Date	04/01/2023	Accident Time	00:00	
Operator First Name	Reginald	Operator Last Name	Rogers Jr	(**)
Operator DSW No.		Operator CAP ID		19
Location	On INDIANA ST at 2	2ND ST		3

Did this accident involve a fatality?	No				
2. Was there an injury for which a person was transported	Yes				
3. Was there disabling damage* to any vehicle involved	No				
4. If the accident involved a rail vehicle (LRV, streetcar, t (even if in yard)?	No				
5. Could any other safety-sensitive employee have contributed to the accident (e.g. Mechanic, Inspector)?	No	If Yes, Explain		VI 11 11 11 11 11 11 11 11 11 11 11 11 11	
Can the driver's performance be completely discounted as a contributing factor to the collision?	No	If Yes, Explain			

- Damage that can be remedied temporarily at the scene without special tools or parts.
- Tire failure, even if no spare tire is available.
- Damage to headlights, tail lights, turn signals, horn, or windshield wipers that make them inoperative.

Comments	Operator assaulted a passenger. Operator was Apprehended by SFPD	
----------	--	--

#### Decision for Post-Accident Drug and Alcohol Testing

Is testing required?	Yes	
to tooking regulator.	1.00	

(If testing is required, read the following paragraph and the appropriate sections below to the employee.)

Because you have been involved in an accident or occurrence which has been determined by management to have met the Federal Transportation Administration (FTA) criteria requiring post-accident drug and alcohol testing or reasonable suspicion drug and/or alcohol testing, you are hereby advised of the following information:

If you refuse to take the required drug and alcohol tests or if you attempt to adulterate your urine specimen, you will be immediately relieved from duty, pending filing of charges for your dismissal from the SFMTA and will be instructed to report to your superintendent or manager on the date and time indicated below. According to FTA regulations, a refusal to test is considered a positive test and appropriate disciplinary action will be initiated.

If other employees were involved in the incident, please create additional "Decision for Post-Accident Drug and Alcohol Testing" forms for other employees as well.

Was testing performed	? No	Why was testing not performed	? Operator was apprehended by SFPD unit #3A13E
Date and Time of Brea	th Alcohol Test		
Elapsed Time 0.00 Between Time of Accident and Alcohol	Why was testing not performed within two (2) hours after accident?		
Accident and Alcohol Testing (Hours)		Why was testing not performed within eight (8) hours after accident?	

Date and Time of Dru	g Test		
Elapsed Time Between Time of Accident and Drug Testing (Hours)	0.00	Why was drug testing not performed within 32 hours after accident?	

Additional Document Attachments				

<sup>\*</sup>Disabling damage means an involved vehicle was towed or could not be driven in a normal manner from the scene in daylight after simple repairs or would have been further damaged if so driven. "Disabling damage" does not include:

# Signature Page

Submitted By:		
Submitter Signature:	Date Submitted:	
Approved By:		



Transit Services: Non-Driving Status Report

Run; Line:	Vehicle:	Day	v:	Da	te:
278 L-OWL	8748	FRIDA			2023
Subject:	0/42	1			22-2
Operator relieved from d	luty and placed o	on non-drivi <u>ng</u>	status		.0
Operator Name:  REGINALD REGERS J	K.	Ca			
_ocation:			75.180/84	Direc	tion:
JONES AND BEACH				SOUTH	BOUND
Delay: ☐Line ☐Vehi	cle   None	Time From	:	Time To:	
		00:00		1:55	
		DX AM	□ PM	D AM	□ PM
nstructions:	- 14 F			and the second second	
You are relieved from duty and placed on Nor	n-Driving Status eff	ective immediate	ily.		
You are instructed to report to your Division S	uperintendent on:				
Day:Date:	17.00	Time:	0.2-2		
Or					
	TOTAL TENE				
	CC) or Transit Man	agement Center	(TMC) upo	n being disch	arged
rom the hospital:			(TMC) upo	n being disch	arged
from the hospital.  If the operator was transported to the hospital	this section is ap	plicable (			arged
from the hospital:  If the operator was transported to the hospital  Acknowledgement (in understanding the in	this section is ap	plicable (			arged
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# Incarceration Details from 850 Bryant for Operator Rogers on 4/1/2023



DIVISION: WOODES	BLOCK +:	"FEB" - DIV:	RDO: 5/5	REPORTED OFF SICK AT: AM PM ON:	TO COMMENCE WORK ON:	RECEIVED BY: CLASS:	D-247R 3-87	
TRANSIT OPERATOR'S SICK LEAVE REPORT	vald, Rosers	ZIP:	OFF	155 Burn	4-1-23	CLASS: 9139 (8)	CK CK	0.5
	OPR: REGINA	CITY:	ON	REPORTED SICK AT:	TO COMMENCE ON:	RECEIVED BY: DAIMSTONE	LD.W. 3 -3/- TOTAL DAYS OFF SICK DOCTOR'S SUP REQ. SICK-TO-SICK	TYPE SICK NU

# Exhibit F

# WORK STORY

#### TRANSPORT WORKERS UNION OF AMERICA

LOCAL 250A AFL-CIO 1508 FILLMORE ST. #211 ~ SAN FRANCISCO, CA. 94115 (415) 922-9495 www.twusf.org

ANTHONY BALLESTER

PETER WILSON EXECUTIVE VICE PRESIDEN

MICHAEL DENNIS SECRETARY TREASURER

VERTRINA Z. DAVIS RECORDING SECRETARY

VICE PRESIDENTS
ROBERT M. GAINER
KARL CATO
LAN LAU

EXECUTIVE BOARD THERESA KING KEVIN DAVIS JUAN COLEMAN EVA CHAU LYLE BAGGAO WALTER CORTEZ June 22, 2023

157-23-WD

San Francisco Municipal Transportation Agency 1 South Van Ness San Francisco, CA 94103

Dear Employee Relations,

We are requesting a Step-2 hearing for Reginald Rogers, Cap 4662 regarding a decision undated.

Management did not address 19.1 or 19.4. 19.1 speaks to due process. Due process has to do with due diligence on a proper investigation. Progressive discipline should be followed. We disagree manager's proposed termination.

Sincerely Pete Wils

Executive Vice President TWU Local 250A

# Exhibit G



August 16, 2023

1508 Fillmore Street, Suite 211 San Francisco, CA 94115

Pete Wilson, Vice-President

London Breed, Mayor

Gwyneth Borden, Chair Amanda Eaken, Vice Chair Stephanie Cajina, Director Steve Heminger, Director

Fiona Hinze, Director Sharon Lai, Director Manny Yekutiel, Director

Jeffrey Tumlin, Director of Transportation

Via email: discipline@twusf.org pwilson@twusf.org

Re: Step 2 Decision for Reginald Rogers Grievance #157-23-WD

#### Statement of the Grievance

On June 22, 2023, the Transport Workers Union Local 250A (Union) requested that the San Francisco Municipal Transportation Agency (Agency) hear its grievance at Step 2. The Union's grievance arises from a dismissal proposed by the Agency in its charging letter dated May 9, 2023 (Skelly Notice). The Agency charges Reginald Rogers Jr #4662 (Operator) with misconduct on March 31, 2023. Specifically, the Operator is charged with violating rules 2.1.3, 2.1.5, 2.1.7, 2.8.1, 2.8.10, 2.8.12, 2.8.17, 2.13.1, 2.21.15, and 2.21.16, found in the San Francisco Municipal Railway Rules and Instruction Handbook (Handbook).

In its grievance, the Union states that imposing a dismissal violates the Memorandum of Understanding by and between the Agency and the Union (MOU), specifically Section 19.1, 19.4, and 19.6. As a remedy, the Union requests the Agency reduce the dismissal to a caution and reinstruct. On August 2, 2023, the Agency held a Step 2 hearing via Microsoft Teams Meeting to discuss the grievance. The Agency articulates its Step 2 response below.

#### Present at the hearing were:

Reginald Rogers, Grievant, 9163 Operator, TWU Local 250A Karl Kato, Woods Chairperson, TWU Local 250A Pete Wilson, Executive Vice President, TWU Local 250A Greg Valentine, Woods Asst Division Manager Milyn Sanchez, Labor Relations Analyst

#### **Background**

On March 31, 2023, while operating coach 8748 on the L-Line at approximately 11:34 PM, Operator Rogers alighted several passengers. Operator Rogers said to one remaining passenger, "This is the last stop." After briefly exchanging words with the passenger who refused to exit the coach, Operator Rogers stepped off the coach through the rear door. Operator Rogers then turned around and stepped back on the coach with a pink canister in his right hand. The passenger was lying across the seats. Operator Rogers passed the passenger and said "Come on folks, get up, get off. I have to use the bathroom." Then, Operator Rogers pepper-sprayed the passenger in the face as he moved passed him upon re-entering the coach. The passenger

Afterward, Operator Rogers called into the Transit Management Center (TMC) and said there was feces on the coach. The Operator while waiting for TMC to respond, saw a woman walking over to the passenger yelling for help and call 911 in the middle of the street. Operator Rogers yelled to the woman, "Miss, don't help him. He may attack you. Don't trust him." The passenger said "No, I won't. Help!" Operator Rogers continued to wait for instructions from the TMC.

Then, another intending passenger approached the coach, and Operator Rogers said, , "No passengers." The TMC finally gave Operator Rogers instructions to pull in and swap out his coach. As Operator Rogers left the terminal, the intending passenger walked up and yelled, "Wait, are you leaving?" Operator Rogers replied, "I just told you no passengers. This bus is messed up. I got to get another bus." The passenger asked again, "Are you going to go or what?" Operator Rogers repeated himself and then said, "There is going to be another bus coming. Calm down." The passenger tried asking another question before Operator Rogers closed the door and drove off.

Operator Rogers arrived at the division yard at 12:04 AM and began to exchange his coach with the yard starter on duty. At approximately 12:49 AM, the SFMTA inspectors boarded the coach to find feces that Rogers reported to the TMC. Investigators discovered the feces on the seat where the passenger was sitting and noticed spray on the floor.

Shortly afterward, the San Francisco Police Department (SFPD) arrived at the Woods Division, reviewed the coach's video and arrested Operator Rogers. Rogers was incarcerated from Operator Rogers called in sick Monday, April 3, 2023, while incarcerated.

On May 1, 2023, Greg Valentine, Union Representatives Jose Batton and Karl Cato, and the Operator were present at the Investigative Conference. Greg Valentine explained the meeting's purpose. Operator Rogers said, "I understand however, I did not do anything wrong, and I did not pepper spray anyone. That is all I have to say, and I have been instructed not to say anything else".

The Agency recommends a dismissal in its Skelly Notice dated May 9, 2023. The Skelly Notice informs the Operator about the Skelly meeting date and his right to representation. Skelly Officer Monica Collins held the Skelly meeting on May 9, 2023, and issued her decision to sustain Operator Roger's dismissal on May 17, 2023.

- The Union believes the Agency should take into account 19.1 due to the Operators record
- The Union stated that a police report wasn't included in the original packet
- The Union suggests that there is no evidence that the passenger was pepper-sprayed
- The Union suggests a caution and reinstruct.

#### **Analysis**

In determining cause, the Agency must prove a violation of reasonable rules and that the discipline imposed is proportionate to the wrong.

The Division manager received a Transit Inspector Report from Ali Azam that includes details of an assault on a passenger by a Transit Operator Rogers. The purpose of the investigation was to determine whether the allegations were true, whether policies were violated, and what action should be taken based on the findings. The investigative conference determines if there is cause and recommends appropriate action.

The Transit Inspector Report indicates that SFPD Unit #3A13E informed the Transit Inspector that a passenger was pepper sprayed by a Muni Operator. The Transit Inspector contacted TMC to inquire if any operator had reported an incident on their coach. Coach 8748 was ordered to pull in the coach because it was contaminated with feces. Transit Services pulled the video and confirmed that the passenger was assaulted by the Operator 4662 with pepper spray at 23:35. SFPD arrested the Operator. Transit Inspector Ali Azam placed the Operator on Non-Driving Status (NDS).

Management attached a copy of the Transit Inspector's Report and an "Incarceration Detail" to the Skelly packet. These documents confirm that SFPD booked Operator Rogers into jail on April 1, 2023, at 5:37AM.

Under a preponderance of evidence standard, I determined that it is more likely than not that Operator Rogers assaulted a passenger by spraying pepper-spray or another substance that physically aggravated the passengers. The evidence includes a reliable police report, investigator's observation that something was sprayed on the floor, and coach video that shows the passenger in complete aggravation before he fell out of the coach doors. Therefore, the Agency has sufficient evidence to charge Rogers with violating the Handbook rules as outlined in the charging letter, and the City's Policy Prohibiting Employee Violence in the Workplace, which states:

The City and County of San Francisco (CCSF) is committed to maintaining a workplace free from violence and threats of violence and will not tolerate any acts or threats of violence in the workplace. Any act or threat of violence in the workplace is strictly prohibited... Failure to comply with these policies may result in employee discipline up to and including termination as well as criminal prosecution.

The evidence supports the dismissal recommendation and management correctly exercised discretion in determining the appropriate discipline for this instance of egregious misconduct. The Agency complied with its policies, specifically the excerpt below. Management's actions are consistent with this and other policies.

The City will not tolerate Disrespectful Behavior in any City workplace and seeks to intervene at the earliest sign or state of Disrespectful Behavior in misconduct and prevent its recurrence. Any employee or officer who violates this policy will be subject to disciplinary actions up to and including termination.

#### Conclusion

The Agency followed its policies in response to allegations and confirmed that the Operator assaulted a passenger using pepper spray. Therefore, the grievance is denied, and the dismissal is upheld.



Cc: Reginald Rogers, Grievant
Karl Cato, Woods Division Chairperson, TWU Local 250A
Pete Wilson, Executive Vice President, TWU Local 250A
Aleta Washington-Williams/Greg Valentine, Woods Division Management
Leda Rozier/Ammee Alvior, Transit Management
HR-ELR
Chron File

# Exhibit H



London Breed, Mayor

Gwyneth Borden, Chair Amanda Eaken, Vice Chair Steve Heminger, Director Fiona Hinze, Director Sharon Lai, Director Manny Yekutiel, Director

Jeffrey Tumlin, Director of Transportation

Via U.S. Certified and First-Class Mail 7021 2720 0003 2390 4439 / Via E-Mail

August 22, 2023

Reginald R Rogers Jr

Re: Notice of Dismissal from PCS Appointment – 9163 Transit Operator

Dear Reginald R Rogers Jr,

In her decision dated August 15,2023, the Step 2 Officer, Milyn Sanchez upheld the proposed dismissal from you position, Transit Operator, Job Code 9163, as proposed by the division.

After a thorough review of all the facts and Milyn Sanchez's decision, it is my decision to dismiss you from your permanent position.

This letter is to notify you that you are being dismissed from your permanent civil service appointment as a Transit Operator, Job code 9163, with the San Francisco Municipal Transportation Agency (SFMTA), effective August 22, 2023.

The SFMTA is recommending to the Civil Service Commission that your future employment be restricted as follows:

- No future employment with the City & County of San Francisco
- Cancel any current examination and eligibility status

For information regarding continuation of health benefits you may be covered, please contact Health Services System (HSS) Membership Division at 415-554-1750.

If you have any questions regarding this matter, please contact Employee & Labor Relations at HR-ELR@sfmta.com

Sincerely,

Shana Dines

Employee and Labor Relations Manager

Attachments: Separation Report, Notice of Future Employment Restrictions, Skelly Decision

Leda Rozier/Ammee Alvior, Transit Division
 Aleta Washinton-Williams, Woods Division
 David Garcia, Labor Relations
 Nicolle Lewis, Payroll Operations, Payroll

San Francisco Municipal Transportation Agency

1 South Van Ness Avenue, 7th Floor Page 67 San Francisco, CA 94103

SFMTA.com



Valerie Coleman/Brian Lim/Ruby Liu, Employee Services Peter Rosel, Client Services, DHR Personnel File

### City and County of San Francisco

Carol Isen Human Resources Director



### **Department of Human Resources**

Connecting People with Purpose www.sfdhr.org

### SEPARATION REPORT

INSTRUCTIONS: Please complete the Separation Report to:

- 1. Document internal departmental processes. Please do not send to DHR.
- 2. Document that the employee separation is not a complete separation from City service, Separation Report must be completed by the sending department and submitted to the receiving department to be attached to the AP ESR.
- 3. To process a layoff. Please send to the DHR layoff coordinator.
- 4. To administer a settlement agreement involving the separation of the employee-submit documentation to your Client Services Representative. (Reference TER\_RZA)\*

Department Contact: Milyn Sanchez	Date of Request: 8/22/2023
Name (Last, First, M.I.): Rogers JR, Reginald	Department Contact: Milyn Sanchez Email: milyn.sanchez@sfmta.com Phone: (415) 646-4801
Position Number	SECTION I: PERSONAL AND JOB INFORMATION
Position Numbe	Name (Last, First, M.I.): Rogers JR, Reginald Employee I.D
Empl. Class: PCS	Job Code: 9163 Jo Transit Operator
Is the employee serving a probationary period at the time of the separation?	Position Number Hourly Rate: Step: 4 Effective Date: 8/22/2023
Is this a complete separation from City and County Service?	Empl. Class: PCS Work Schedule: Full-Time
If no, continuing in: Department Code: Status: Job Code: Effective Date:  Is employee granted leave pursuant to Civil Service Rule 120.31? Yes No  If no, is employee a transfer? No Yes, type of Transfer: SECTION II: SEPARATION INFORMATION  Resignation Statisfactory Services (TER_RSS) Unsatisfactory Services (TER_RUS) (Form DHR 1-13 must be on file)  By the appointee: I hereby freely and voluntarily resign from the above position. I request approval of this resignation as of the effective date with the full understanding that once approved, I may acquire another position in this class only as provided in the rules of the Civil Service Commission (see employee copy and CSC Rules 114&119).  Employee Signature Date  Lay-off Involuntary Leave (PCS_LIL) Elective Involuntary Leave (PCS_EIL)  Involuntary Lay-off (PCS_LIO) Voluntary Lay-off (PCS_LVO)  (PV & EX Only): Select One)  Employee acknowledges receipt of the DHR information leaflet.	Is the employee serving a probationary period at the time of the separation?
Department Code: [(Select One)] Status:	Is this a complete separation from City and County Service? ✓ Yes □ No
If no, is employee a transfer?  No Yes, type of Transfer: (Select One)  SECTION II: SEPARATION INFORMATION  Resignation Satisfactory Services (TER_RSS) Unsatisfactory Services (TER_RUS) (Form DHR 1-13 must be on file)  By the appointee: I hereby freely and voluntarily resign from the above position. I request approval of this resignation as of the effective date with the full understanding that once approved, I may acquire another position in this class only as provided in the rules of the Civil Service Commission (see employee copy and CSC Rules 114&119).  Employee Signature  Date  Lay-off Involuntary Leave (PCS_LIL) Elective Involuntary Leave (PCS_EIL) Involuntary Lay-off (PCS_LIO) Voluntary Lay-off (PCS_LVO) (PV & EX Only): (Select One)  Reason for lay-off: (Select One)  Employee acknowledges receipt of the DHR information leaflet.	If no, continuing in: Department Code: (Select One) Status:Job Code: Effective Date:
Resignation	Is employee granted leave pursuant to Civil Service Rule 120.31? ☐ Yes ✓ No
Resignation Satisfactory Services (TER_RSS) Unsatisfactory Services (TER_RUS) (Form DHR 1-13 must be on file)  By the appointee: I hereby freely and voluntarily resign from the above position. I request approval of this resignation as of the effective date with the full understanding that once approved, I may acquire another position in this class only as provided in the rules of the Civil Service Commission (see employee copy and CSC Rules 114&119).  Employee Signature  Date  Lay-off Involuntary Leave (PCS_LIL) Elective Involuntary Leave (PCS_EIL) Involuntary Lay-off (PCS_LIO) Voluntary Lay-off (PCS_LVO) (PV & EX Only): (Select One)  Reason for lay-off: (Select One)  Employee acknowledges receipt of the DHR information leaflet.	If no, is employee a transfer? ✓ No ☐ Yes, type of Transfer: (Select One)
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(PV & EX Only): (Select One)  Reason for lay-off: (Select One)  Employee acknowledges receipt of the DHR information leaflet.	☐ Involuntary Leave (PCS_LIL) ☐ Elective Involuntary Leave (PCS_EIL)
Reason for lay-off: (Select One)  Employee acknowledges receipt of the DHR information leaflet.	
Employee acknowledges receipt of the DHR information leaflet.	
Employee Signature Date	Employee acknowledges receipt of the DHR information leaflet.
	Employee Signature Date

### **SEPARATION REPORT**

### **DEPARTMENT USE ONLY**

<b>✓</b> Termination		
Settlement Agreement (TER_R *(Separation Report and Settlement Ag	RZA) greement must be forwarded to Client Services Rep.)	
Release from appointment: (Se	elect One)	
Release from probation: (Selec	ct One)	
✓ Dismissal: PCS (DPE)		
☐ Terminated for cause (TFC) (TI	PV,NCS, & Exempts only)	
☐ Automatic Resignation (ARS)		
☐ Never Reported to Work (DSH)	)	
☐ Death of an employee (DEA)		
Other (Specify):		
Retirement: (Select One)		
Separation Report is accurate, complet	signee named below hereby certifies that the te, and in compliance with applicable CCSF rule	s and policies.
Appointing Officer/Authorized Designee	e Signature	Telephone
Name/Title: Shana Dines - Employee &	Labor Relations Manager	
Name/Title: Shana Dines - Employee & Department Number: 68	-	es
	-	es
Department Number: 68  Personnel File Forwarded?	Department Name: SFMTA Human Resource □ NoContact:	
Department Number: 68  Personnel File Forwarded?	Department Name: SFMTA Human Resource	
Department Number: 68  Personnel File Forwarded?	Department Name: SFMTA Human Resource □ NoContact:	
Department Number: 68  Personnel File Forwarded?	Department Name: SFMTA Human Resource □ NoContact:	
Department Number: 68  Personnel File Forwarded?	Department Name: SFMTA Human Resource  NoContact:  Telephone	

### City and County of San Francisco

Carol Isen Human Resources Director



### **Department of Human Resources**

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### NOTICE OF FUTURE EMPLOYMENT RESTRICTIONS

001: Vehicle/Heavy Machinery 002: Vulnerable Populations 003: Face to Face Contact w/Public 004: Contact w/Animals 005: Signing/Approving City Docs 006: Financial Instruments 007: Confidential/Privileged Information 008: IT Infrastructure 009: Means of Entry to Living Spaces 010: Pharmaceutical/Drug Inventory 011: CDC Defined Toxins 012: Weapons/Explosives	Reginald R Rogers JR	8	3/22/2023		
Department/Division   PCS   Type of Appointment	Employee Name		Mailing Date		- 272
City State Zip Type of Appointment  This notice is to inform you that a future employment restriction is being imposed along with your separation action, or with the action of automatic resignation, reported to the Department of Human Resources separating you from your position in Class 9163 Title Transit Operatoreffective 8/22/2023_ for the reasons outlined in the attached document(s).  The items checked below are the restrictions made by the department on your future employability for positions covered by the San Francisco civil service system:    No Restrictions on Employment		1	ATA		
City State Zip Type of Appointment  This notice is to inform you that a future employment restriction is being imposed along with your separation action, or with the action of automatic resignation, reported to the Department of Human Resources separating you from your position in Class 9163 Title Transit Operatoreffective 8/22/2023_ for the reasons outlined in the attached document(s).  The items checked below are the restrictions made by the department on your future employability for positions covered by the San Francisco civil service system:    No Restrictions on Employment	Street Address		Department/Divisior	1	1
This notice is to inform you that a future employment restriction is being imposed along with your separation action, or with the action of automatic resignation, reported to the Department of Human Resources separating you from your position in Class 9163 Title Transit Operator effective 8/22/2023 _ for the reasons outlined in the attached document(s).  The items checked below are the restrictions made by the department on your future employability for positions covered by the San Francisco civil service system:  No Restrictions on Employment	CA				
This notice is to inform you that a future employment restriction is being imposed along with your separation action, or with the action of automatic resignation, reported to the Department of Human Resources separating you from your position in Class 9163 Title Transit Operator, effective 8/22/2023, for the reasons outlined in the attached document(s).  The items checked below are the restrictions made by the department on your future employability for positions covered by the San Francisco civil service system:    No Restrictions on Employment				:	13
the action of automatic resignation, reported to the Department of Human Resources separating you from your position in Class 9163 Title Transit Operatoreffective 8/22/2023_ for the reasons outlined in the attached document(s).  The items checked below are the restrictions made by the department on your future employability for positions covered by the San Francisco civil service system:  No Restrictions on Employment	(1.00 m)				
No Restrictions on Employment    OPPRIMATE   Department(s):   DOT/SAPP   Dob Code(s):   DOS Code(s):   Dot Code(s):   Dot Code(s):   Dot Code(s):   Dot Code(s):   Dot Code(s):   DOS: Signification   Dot Code(s):   Dot Cod	the action of automatic resignation, reported Class 9163 Title Transit Operator, effect The items checked below are the restriction	ed to the Department of Huma tive <u>8/22/2023</u> , for the reas	n Resources separations outlined in the at	ng you from your tached documen	position in t(s).
□ DOT/SAPP   □ Job Code(s):   □ Conditional Restriction   □ DOT/SAPP   □ Job Code(s):   □ Conditional Restriction   □ Cancel Current Examination & Eligibility Status   □ Cancel Cancel Current Examination & Eligibility Status   □ Cancel Current Examination & Eligibility Examination & Eligibility Examination & Eligibility Exami	The San Francisco Civil ser vice system.	· ·			<u> </u>
Conditional restrictions may be lifted by proving you have satisfactorily met the following requirements:  Level of Measurement  CER: Certification  EXP: Work Experience  LIC: Licensure  SAP: Substance Abuse Program  Other:  In addition to the noted conditional restrictions, you are also restricted from specific attributes of a job class and/or department until you satisfactorily prove you meet the requirements to lift the restriction(s) as noted below:  Future Employment Restrictions  Description:  Measurement  Value:  Description:  Measurement  Value:  O01: Vehicle/Heavy Machinery  O02: Vulnerable Populations  O03: Face to Face Contact w/Public  O04: Contact w/Animals  O05: Signing/Approving City Docs  O06: Financial Instruments  O07: Confidential/Privileged Information  O08: IT Infrastructure  O09: Means of Entry to Living Spaces  O10: Pharmaceutical/Drug Inventory  O11: CDC Defined Toxins  O12: Weapons/Explosives					
Requirement Type    Description:   D	Conditional Restriction	✓ Cancel Current Exam	ination & Eligibility Sta	tus	
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014: Electronic Voting Systems	
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You may request a hearing before the Civil Service Commission on your future employability with the City and County of San Francisco. The Civil Service Commission has the authority to remove restrictions or impose additional restrictions on your future employability. You may request a hearing for review of any restrictions on your future employability with the Civil Service Commission within 20 calendar days of the mailing date of this notice or from the date of separation, whichever is later. The request must be submitted in writing to the Executive Officer, Civil Service Commission, 25 Van Ness Avenue, Suite 720, San Francisco, CA 94102 by 9/11/2023 Requests received after this date will not be considered and your right to a hearing will be forfeited. If you do not request a hearing or file an appeal, the Human Resources Director will take final administrative action to confirm the restriction(s) in effect on the date of separation (Note: Future Employment Restriction(s) effective immediately).

If this matter is subject to the Code of Civil Procedures (CCP) Section 1094.5, the time by which judicial review must be sought is set forth in CCP Section 1094.6. (SEE BELOW)

List Rank #:	Pending Final Status of Action
DSW	
Emp Organization: TWU Local 250A	
METHOD OF SERVICE:	SIGNATURE
	Shana Dines
Hand Delivered	NAME
	Employee & Labor Relations Manager
✓ Certified Mail 7021 2720 0003 2390 4439	TITLE

### INFORMATION FOR FORMER EMPLOYEE FOLLOWING SEPARATION

- This document serves as an official notice of future employment restrictions imposed with the Notice of Automatic Resignation from Employment to the former employee or with a Separation Action that is subject to the provisions of a collective bargaining agreement, to the Civil Service Commission, and the Department of Human Resources.
- 2. A separated employee may request a hearing before the Civil Service Commission <u>only</u> for review of any restrictions on their future employability with the City and County of San Francisco.
- 3. Such appeals or requests for hearing must be in writing and received from the employee or the employee's representative by the date specified on this notice, or within twenty (20) calendar days from the mailing date of this notice, or the effective date of the separation, whichever is later. The request must be submitted to the Executive Officer, Civil Service Commission, 25 Van Ness Avenue, Suite 720, San Francisco, CA 94102.
- 4. An employee who requests a hearing within the time limits is entitled to:
  - a. Representation by an attorney or authorized representative of the employee's own choice.
  - b. Notification of date, time, and place of hearing at a reasonable time in advance.
  - c. Inspection by the employee's attorney or authorized representative of those records and materials on file with the Civil Service Commission which relate to the restrictions on future employability.
- 5. Any interested party may request that the hearing be continued or postponed.
- 6. The decision of the Civil Service Commission is final and not subject to reconsideration.
- 7. In the absence of a timely request for a hearing as provided above, no later request for a hearing will be considered.

### DEPARTMENT INSTRUCTIONS FOR COMPLETING FORM DHR 1-13E

- Refer to related provisions of appropriate collective bargaining agreements
- Refer to CSC Rule 122, Article VI: Absence From Duty Without Leave (Misc)
- Refer to CSC Rule 222, Article IV: Absence From Duty Without Leave (UPPD)
- Refer to CSC Rule 322, Article VI: Absence From Duty Without Leave (UPFD)

### Use this form when:

The appointing officer or Human Resources Director has taken action of automatic resignation on an employee on the basis of abandonment of position, regardless of employment status; and/or the separation action is subject to the provisions of the collective bargaining agreement.

Complete the information on the top section of the form: Name, Address, City, State, Zip, Mailing Date, Department/Division, Type of Appointment, Type of Separation.

In the first paragraph of the notice, enter the Class Number, Title and effective date of the separation.

If there are no restrictions imposed with the separation, the box "no restrictions on future employability," must be checked to indicate this action, and attach applicable documents, e.g., a settlement agreement.

Indicate the restrictions on future employability by checking the appropriate boxes. If the restrictions are conditional, you must complete the section on the requirements needed to lift the restrictions, including the level of measurement (entry, journey, etc.) and values (length of time in months, years, etc.) of the requirements.

If Future Employment Restrictions are included, complete that section including details on the requirements needed to lift the restrictions. Attach a copy of all separation-related letters and supporting documentation. Documentation must provide justification and the rationale for the imposed restrictions.

The separated employee may request a hearing for review of any restrictions on future employability. Indicate the date by which the appeal must be filed in the space provided. Consistent with the separation action, count twenty (20), or thirty (30), calendar days from the mailing date of the notice or the effective date of release, whichever is applicable. When counting the days, count the day after the mailing date as the first day.

Complete the information on the bottom section of the form: Rank, List#, DSW#, and Employee Organization. Check the method of service used and tracking # if applicable.

### Indicate status of action:

- Select "Pending" if Notice of Future Employment Restrictions is subject to the provisions of a collective bargaining agreement
- Select "Final" if the status is not subject to the provisions of a collective bargaining agreement, or to update
  a previously reported "Pending" action.

Type in the name and title of the appointing officer. The appointing officer must sign the form.

Send the *original* Notice of Future Employment Restrictions along with the *original* Notice of Automatic Resignation from Employment (DHR 1-48a) to the employee. Make two sets of copies of the notices; send one set of *copy* to DHR - Client Services along with the *original* Separation Report (DHR Form 1-67); and retain the other set of *copy* in the Official Employee Personnel Folder.

Reminder: Imposed restrictions on future employability are effective immediately, and must be reported to DHR – Client Services concurrent with the departmental notice to separate the employee. This will enable timely and appropriate updates to DHR systems and other dependent programs, such as exams, adoptions of eligible lists, citywide recruitments, and certifications/referrals.

## Exhibit I

# TOWN WOOM

### TRANSPORT WORKERS UNION OF AMERICA

LOCAL 250A AFL-CIO

1508 FILLMORE ST. #211 ~ SAN FRANCISCO, CA. 94115 (415) 922-9495 www.twusf.org

ANTHONY BALLESTER PRESIDENT

PETER WILSON EXECUTIVE VICE PRESIDENT

MICHAEL DENNIS SECRETARY TREASURER

VERTRINA Z. DAVIS RECORDING SECRETARY

VICE PRESIDENTS ROBERT M. GAINER KARL CATO LAN LAU

EXECUTIVE BOARD
Zhuo Ma
KEVIN DAVIS
TErrance Hall
EVA CHAU
LYLE BAGGAO
Ken Lau

August 23, 2023

157-23-WD

San Francisco Municipal Transportation Agency 1 South Van Ness San Francisco, CA 94103

Dear Employee Relations,

We are requesting a Step-3 hearing for Reginald Rogers, Cap 4662 regarding.

Management did not address 19.1 or 19.4. Some of the statements are questionable.

Sincerely
Pete Wilso
Executive Vice President
TWU Local 250A

# Exhibit J

### David A. Weinberg

**Arbitrator Mill Valley, CA** 

### **DECISION AND AWARD**

In the Matter of a Contrary	roversy between ***************
SAN FRANCISCO MUNIC	CIPAL TRANSPORTATION AGENCY, Employer
And	
Transport Workers Unio	on Local 250-A, Union
	d Rogers – Grievance #157-23-WD *****************************
For the Employer:	Aileen Huang
	Labor Relations SFMTA
For the Union:	Pete Wilson

**Executive Vice President, TWU Local 250-A** 

### PROCEDURAL BACKGROUND

Pursuant to the Agreement between the San Francisco Municipal Transportation Agency (hereinafter SFMTA), and the Transport Workers Union Local 250-A (hereinafter Union) the parties selected David A. Weinberg as the Arbitrator pursuant to Article 22 of the CBA. As a result of this dispute a hearing was held on August 30, 2023. During the hearing, the Arbitrator afforded both parties full opportunity for the presentation of evidence and oral argument. The parties stipulated the matter is properly before the Arbitrator for resolution.

The parties submitted to the Arbitrator the following issue:

Was there just cause for the termination of Reginald Rogers, and if not, what is the appropriate remedy?

### **FACTUAL SUMMARY**

On March 31, 2023, Reginald Rogers was operating Coach 8748 on the L Line. At around 11:35 pm the Grievant told several passengers at the end of the line that it was the last stop and they needed to leave the coach, as he needed to go to the bathroom. One passenger who was visibly impaired and/or mentally challenged, would not leave the coach and was leaning and lying on seats by the rear door. The Grievant is seen on the video leaving the rear door of the coach for a brief moment and returns to the coach via the rear door. He is seen at this time with a pinkish colored small object in his hand. At 23:35:23 he is seen in the video with his thumb extended on the top of the pink object as he approaches the passenger who is lying down on the coach seats. He moves closer towards the passenger with the pink object still in his hand and passes by the passengers head and face. After the Grievant moves past the passenger who is laying down on the seats, the passenger can be heard to begin screaming and crying out and then falls to the

Page 78 2

floor and begins to crawl out the rear door. He eventually crawls out onto the sidewalk, and then the street. He can be heard to continue to cry out and complain about his eyes. The Grievant left the coach at this time to use the bathroom. When he returned to the coach, he called TMC after noticing feces in the seat area where the passenger had been laying down. The Grievant talked to some other riders trying to take his coach and he told them it was out of service, and soon after drove to the yard to return the coach with the feces at the direction of TMC. Inspector Ali Azam was assigned to investigate this incident at 12:49am with the assistance of maintenance staff. They found feces on the seat where the passenger was sitting and noticed a substance on the floor which they believed was from some type of spray. The SFPD arrested the Grievant at the Division that night. In Azam's narrative section of the Transit Inspector Report he wrote:

"I was on an assignment to assist an operator on the #8 Line, with a sleeper on the coach. As I was headed towards Kearney and North Point, operator called TMC back and stated the sleeper had exited the coach. As I was in the area of Jones and Beach, I noticed Emergency vehicles, blocking the L-OWL terminal at Jones and Beach. I proceeded towards Jones and Beach and inquired with SFPD unit #3A13E regarding the situation.

SFPD unit #3A13E informed me that a passenger was pepper sprayed by a Muni operator. Party #2 (African American Male) was laying on the opposite side of the street (North Bound), screaming in pain. Party #2 was screaming that his face was burning and had snot coming from his nose. Party #2 gave a description of the operator to SFPD #3A13E as an African American Male with a grey beard. I checked with SFPD as to the time of the call to 911. SFPD informed me the call came in at 23:46. I contacted TMC and inquired if any operator had reported an incident on his/her coach. TMC was not

Page 79 3

contacted. TMC then assisted me in locating the coach, which had left the terminal around the 23:46 time frame. Coach #8748 was ordered to pull in the coach, due to feces on the coach. Contacted TMC and informed them to hold the Operator and the coach at Woods Division for an operator interview. In route to woods division, I contacted 5M4 to assist me with the video pull from the coach. Upon my arrival at Woods division yard, operator 4662 was assigned a new coach and was awaiting my arrival. Coach #8748 was held on track #3B lot. 5M4 responded to the scene and SFPD unit #3813E responded to the scene. Per video review it was confirmed at party two was assaulted by operator for # Pepper spray at 23:35 hours. Operator #4662 was then apprehended by SFPD. Operator was also placed on Non-Driving Status. Woods Division dispatcher was notified and provided with the Non Driving Status form. Coach #8748 was released back to the yard starters for cleaning and maintenance." In the Operator's/Driver's Version of the Incident section of this Report Azam wrote: "Passenger refusing to get off the coach at the end of the line at Beach and Jones. I told him that I have to go to the bathroom and needs to exit the bus. He started to roll in the seats and then he fell to the ground by the rear door. He then got off the coach and I close the doors and went to the bathroom. When I came back from the restroom, I noticed feces on the seat and I called TMC. TMC told me to pull the bus in and get another bus." The Grievant was booked in County Jail 2 at 5:37 am for Statute #22810(g)(1) PC/F with a Description of "Use of Tear Gas." The Grievant called in sick on Monday April 3, 2023. The Grievant stated in his investigative interview and in the Step 3 Hearing that he did not pepper spray anyone and the item in his hand was a safety tool used to cut seat belts and that his arrest was related to an issue of mistaken identity. Monica Collins, Kirkland Division Manager and the Skelly Officer, wrote in her May 17,

Page 80 4

2023 decision: "Operator Roger's unseemly behavior of spraying a passenger with a substance resulting in injury and the aggressive interaction with an intending customer is not conducive to the mission of the SFMTA which is to provide safe and reliable service. Therefore, the proposal that operator Reginal Rogers, Jr., be dismissed from his position as a 9163 Transit Operator is **upheld**." He was dismissed for violating 2.8 Conduct: 2.8.1, 2.8.10, 2.8.12, 2.8.17 and 2.21 Safety: 2.2115, 2.21.16.

### **DISCUSSION AND ANALYSIS**

There is no question that the Employer would have just cause to terminate any employee who used pepper spray on a passenger unless they were doing so in a response to an attack upon them. There must however, be convincing evidence that the employee engaged in this act. In this case, the Employer has presented such convincing evidence that the Grievant used pepper spray against a passenger on March 31, 2023. The Union is correct to point out that the mere fact the Grievant was arrested that evening for this act is not sufficient proof that engaged in this activity. However, I am convinced by the video evidence that he did use pepper spray against the passenger depicted in the video. The video shows the Grievant after returning to the coach, holding an object in his hand with his thumb on the top of it as if to spray something. While I cannot see spray coming out of the pink object in convincing detail on the video, the reaction of the passenger within a few seconds of the Grievant approaching his face with the pink object in his hand, is convincing proof to me that the passenger was sprayed with a caustic substance. The Grievant's claim that he was holding a cutting tool instead of a spraying device while not accepted by me, is in and of itself inappropriate, as he should have not approached a passenger who was obviously impaired with a dangerous cutting tool in his hand. While

Operators have every reason to be cautious and worried about interacting with dangerous passengers, they are not free to approach them with cutting tools in their hand. If the Grievant was worried for his safety, which he had every right to be, he should have not reentered the coach and should have simply called the police. The Employer has presented convincing proof that the Grievant violated 2.8 and 2.21 as charged and the penalty of termination meets the standards of just cause.

### **DECISION**

The Employer had just cause to terminate Reginald Rodgers. The grievance is denied.

David A. Weinberg

September 1, 2023

### Exhibit K

### WORKPLACE VIOLENCE PROHIBITED

### Policy Prohibiting Employee Violence in the Workplace

The City is committed to maintaining a workplace free from violence and threats of violence, and will not tolerate any acts or threats of violence in the workplace. Any act or threat of violence in the workplace is strictly prohibited and should be reported immediately.

"Violence" includes both acts and threats of violence. For example, violence includes any conduct, verbal or physical, which causes another to reasonably fear for his or her own personal safety or that of his or her family, friends, associates, or property. Employees are also prohibited from possessing, storing or having control of any weapon on the job, except when required by the City department in the performance of the employee's official duties. Weapons include, but are not limited to, firearms, knives or weapons defined in the California Penal Code Section 12020.

Failure to comply with these policies may result in employee discipline up to and including termination as well as criminal prosecution.

### Reporting and Responding to Workplace Violence

All employees are responsible for reporting any acts of intimidation, threats of violence or acts of violence to their supervisor, manager or departmental personnel officer. Supervisors and managers are responsible for documenting and reporting all observed or reported incidents of workplace violence.

## Exhibit L

### **City and County of San Francisco**

Carol Isen Human Resources Director



### Department of Human Resources Connecting People with Purpose www.sfdhr.org

### Equitable, Fair, and Respectful Workplace Policy

The City and County of San Francisco (City) is committed to promoting and maintaining a safe and healthy working environment where every individual is treated with civility, dignity, and respect. To this end, it is the policy of the City to provide a workplace where each employee has the right to work in a positive, professional, and mutually respectful atmosphere free from Disrespectful Behavior (defined below). This Equitable, Fair and Respectful Workplace Policy (Respect Policy) sets forth many of the City's values, supplements and is in addition to the City's current policies prohibiting discrimination, harassment and retaliation and prohibiting violence and threats of violence.

All City employees and City officers play a role in contributing to a truly welcoming, safe, and inclusive working environment that encourages mutual respect and promotes civil and collaborative relationships with the public and among staff, at all levels. The diversity of our employees – the wide range of backgrounds, ideas and lived experiences they bring to City employment – enriches our workplace and enhances our work. To promote and sustain a workplace where all employees and members of the public are treated with respect and dignity, and where employees feel welcomed and valued for who they are and what they can contribute, each City employee is expected to abide by the values and standards below and in this Respect Policy generally of interpersonal behavior, communication, and professionalism:

- Work honestly, earnestly, collegially and collaboratively with employees and others;
- Listen to and value the views and opinions of others, particularly when they differ from your own;
- Abide by all rules, regulations, policies, and laws and promptly bring concerns about potential violations to your supervisor or departmental Human Resources personnel.

All City employees and City officers have a responsibility to set a positive example and must refrain from engaging in Disrespectful Behavior, whether deliberate or unintentional. The City will not tolerate Disrespectful Behavior in any City workplace, and seeks to intervene at the earliest sign or stage of Disrespectful Behavior to correct that misconduct and prevent its reoccurrence. Any employee or officer who violates this policy will be subject to disciplinary actions up to and including termination.

Disrespectful Behavior is defined as discourteous, rude, impolite, or offensive words, gestures or other behavior that may devalue and undermine a person and their dignity or self-esteem or creates an intimidating, hostile, abusive or offensive environment. Examples of Disrespectful Behavior can include, but are not limited to, the following:

- <u>Bullying</u>: bullying is a pattern of repeated behavior that a reasonable person would find hostile, offensive, intimidating, oppressive, subjugating, threatening, and unrelated to the City's legitimate business interests.
  - Bullying behavior may take many forms including physical, verbal, or written acts or behaviors. Workplace bullying often involves repeated abuse or misuse of power. A single physical, verbal, or written act or behavior generally will not constitute bullying unless especially severe and egregious but could nonetheless violate this Respect Policy;
- <u>Hostility</u>: yelling, interpersonal hostility or spiteful conduct, that is deliberate or repeated and/or causes harm to the targeted person's or persons' mental or physical wellbeing, safety, or economic status. This includes physical intimidation, unwanted touching, or isolation;

- <u>Belittling conduct</u>: name calling; playing "pranks" on a person; making fun of someone or telling jokes at their expense; taking, vandalizing, or otherwise damaging a person's personal or work property; and spreading false information or rumors about someone; seeking submission or misuse of power, authority, rank, status, or other privilege
- <u>Microaggressions</u>: statements, actions, or incidents regarded as indirect or subtle invalidation, insult, irritant, disregard, prejudice, and/or discrimination against members of a marginalized group such as those having actual or perceived unequal power across economic, political, social and cultural dimensions. Microaggressions can harm someone or unfairly advantage others;
- <u>Intimidation</u>: using threatening or abusive language, profanity or language that is intended to be, or is perceived by others to be, demeaning, berating, belittling, rude, threatening, intimidating, coercive, hostile or offensive;
- <u>Violence</u>: throwing tools, office equipment, or other objects as an expression of frustration or anger or implying that one will act with violence as a method of influencing the actions of others;
- Sabotage: intentionally interfering with a process of work or otherwise undermining a person's work.
- <u>Invasive use of technology</u>: using social media or other technology, to harass or bully, using statements, photographs, video, or audio that could reasonably viewed as malicious, obscene, threatening or intimidating.

Communication is nuanced and Interpersonal conflict is a normal part of work and life. Maintaining a respectful workplace relies on effective and respectful communication, patience, professionalism and understanding.

All City employees and City officers shall sign an acknowledgement of receipt and compliance with this Respect Policy.

### **Management's Responsibilities**

Department appointing officers, managers and supervisors must lead by example by creating and maintaining a workplace that demonstrates respect and professionalism and follows the tenets of this Respect Policy. They must respond to Disrespectful Behavior in their respective workplaces including Disrespectful Behavior by vendors, consultants or members of the public. Each city department is required to ensure that all employees receive the accompanying and required training concerning this Policy. When any employee reports that Disrespectful Behavior has occurred, human resources, managers and supervisors must treat the complaint seriously. Management should follow the guidance on managing interpersonal conflict in the workplace and contact their human resources representative for guidance on handling these kinds of situations. Human Resources must provide support to managers and supervisors upon request for guidance on conflict resolution strategies. Any appointing officer, human resources official, manager or supervisor who observes or otherwise becomes aware of Disrespectful Behavior, has a duty to take appropriate and immediate corrective and preventative action to ensure the workplace is safe for all employees. Departments shall communicate to employees that Disrespectful Behavior will not be tolerated, condoned, or ignored and there are appropriate consequences for violations of the Respect Policy. The City will provide support to its department managers or supervisors with implementation of the Respect Policy.

### **Training**

The City will provide:

- 1. Mandatory training for all employees and City officers on the policy and strategies for maintaining a respectful workplace
- 2. Training on conflict management for managers, supervisors, and HR Professionals
- 3. Ongoing learning and development options on effective communication and interpersonal relationships

### Exhibit M

November 3, 2023

Jeffrey Tumlin
Director of Transportation
Municipal Transportation Agency
1 South Van Ness Avenue, 7<sup>th</sup> Floor
San Francisco, CA 94103

Dear Jeffrey Tumlin:

I am forwarding for your review additional information submitted by Reginald Rogers on their request for hearing on their future employability with the City and County of San Francisco. This matter was forwarded to the Municipal Transportation Agency on September 19, 2023, with CSC Register No.0192-23-7.

Sincerely,

**CIVIL SERVICE COMMISSION** 

/S/

SANDRA ENG Executive Officer

### Attachment

Cc: Kimberly Ackerman, Municipal Transportation Agency Shana Dines, Municipal Transportation Agency David Garcia, Municipal Transportation Agency Romika Williams, Municipal Transportation Agency

### **Wrongful Termination**

### reginaldrogers2004 < reginaldrogers2004@yahoo.com >

Wed 11/1/2023 12:42 PM

To:Tumlin, Jeffrey (MTA) <Jeffrey.Tumlin@sfmta.com>;MTABoard@sfmta.com <MTABoard@sfmta.com>;Breed, Mayor London (MYR) <mayorlondonbreed@sfgov.org>

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1 attachments (54 KB)

Reginald Rogers, Demand Letter .pdf;

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1 of 1 11/3/2023, 10:05 AM

Subject: Unjust Arrest and Unfair Arbitration Proceedings

To Whom It May Concern,

I hope all is well.

My name is Reginald Rogers, and I am writing to address a grave injustice that I have experienced as a former transit operator for SFMTA. On April 1, 2023, I was unjustly arrested by SFPD, falsely accused of tear gassing someone on the coach – an act I vehemently deny. I implore you to consider the following account of the events and my subsequent experience.

I was taken into custody at the premises of my job without a clear explanation for my arrest other than vague statements provided in the car. During my detention, I cooperated fully with the officers, allowing them to search my person and personal belongings, which only contained a safety tool that I was holding at the time of the alleged incident. I believed in my innocence and had nothing to hide.

Throughout my ordeal, I repeatedly asked the arresting officers for the reasons behind my arrest. They claimed it was because of a warrant, an assertion I knew to be false. After checking my name multiple times, the female officer ran my name and found no warrant, leading to a silence that was telling. Upon arrival at the substation, I continued to assert my innocence and the injustice of my detention. My name was checked again, and it was acknowledged that there was no warrant for my arrest. Apologies were offered, but I found them unacceptable, as I had been unlawfully detained.

To my dismay, these events unfolded at my place of employment, tarnishing my professional reputation. The arrest resulted in my temporary suspension from driving, with the assumption of guilt. I consistently maintained that the item in my hand, as seen in the video evidence, was an emergency tool, not tear gas or pepper spray. The union even took photographs of the tool, which were supposed to be presented as evidence.

However, the management did not believe my protestations of innocence and seemed to equate my arrest with guilt, perpetuating a deeply troubling racial injustice. I was informed by the union that SFMTA's standard practice in such situations is to terminate an employee and have an arbitrator make the final determination. I protested this course of action, believing it to be fundamentally unjust.

Before my step 2 hearing, the union advised me not to speak but to let them handle the proceedings. I was uncomfortable with this approach, as I only wished to return to work promptly. Furthermore, I inquired about obtaining legal counsel, but I was discouraged from doing so.

As the arbitration date approached, I did not hear from the union as promised, even though they were supposed to make contact. On the day of arbitration, I was informed about a previous

case wherein a deal was struck to retain the employee's job. I was shocked and dismayed by this development and handed the union a letter and business card from Sergeant Singleton, who had previously acknowledged the injustice of my arrest.

**California Labor Code Section 1102.5**: This law protects employees from retaliation or wrongful termination for reporting violations of the law, including incidents of discrimination or harassment. It ensures that employees can speak out about unfair treatment without fear of reprisals.

I would like to emphasize that my arrest and subsequent treatment not only violated my rights under California Labor Code Section 1102.5, which protects employees from retaliation for reporting violations of the law but also undermined the principles of fairness and justice that are vital to maintaining a just and equitable workplace.

**California Labor Code Section 1050**: This section of the Labor Code addresses the legal rights of employees during arbitration processes. It may be relevant to ensuring that arbitration proceedings are conducted fairly and impartially.

I implore you to consider my right to a fair arbitration process, as guaranteed under California Labor Code Section 1050. It is essential that arbitration proceedings are conducted impartially and without bias, allowing for the presentation of all relevant evidence and a just determination of my case.

**California Government Code Section 12940**: This is part of the Fair Employment and Housing Act (FEHA) and addresses unlawful employment practices, including discrimination based on race. It may be relevant to your case if you believe that your arrest and subsequent treatment were racially motivated.

Furthermore, I believe that my arrest, which resulted in unjust disciplinary action, may constitute unlawful employment practices under California Government Code Section 12940, given the potential racial motivation behind my arrest and the subsequent actions taken against me.

**California Government Code Section 12945**: This section of the FEHA pertains to unlawful practices by labor organizations and could be relevant if you believe the union has failed to properly represent your interests.

I urge you to investigate whether the actions of the union and the arbitration process violate California Government Code Section 12945, as it pertains to labor organizations. It is essential that unions uphold their duty to represent their members fairly and impartially.

**California Civil Code Section 47**: This statute establishes certain privileges and immunities regarding defamation claims. It may be relevant to your demand for punitive damages for defamation of character.

Regarding my request for punitive damages for defamation of character, I would like to draw your attention to California Civil Code Section 47, which establishes certain privileges and immunities regarding defamation claims. These immunities should not be misused to shield any form of injustice or discrimination.

At the arbitration, the proceedings were marked by the arbitrator's refusal to admit my evidence, and he based his decisions solely on the video and arrest report, a report I was not allowed to contest with my factual evidence.

Moreover, I was denied the opportunity to enter evidence of the emergency tool in my hand. The outcome was unequivocally biased and partial, constituting a clear violation of my rights.

Considering these deeply concerning events and the implications they have for my professional and personal life; I request the following remedies and actions to be taken:

- 1. A fair hearing with an unbiased arbitrator to reconsider my case, allowing the presentation of all relevant evidence.
- 2. A formal apology for the distress and damage to my character resulting from the unjust arrest.
- 3. \$5,000 in damages to address the emotional stress and defamation I have suffered.

Additionally, I strongly recommend an investigation into potential misconduct and failure to represent by the union and the arbitrator involved in my case.

I believe that the remedies and actions I have outlined are not only just and fair but also in compliance with California state laws and employment regulations that seek to protect employees from unjust treatment.

I look forward to your response and a prompt resolution to this matter. It is my sincere hope that this letter serves as a catalyst for addressing the grave injustices I have experienced.

Thank you for your attention to this serious issue.

Sincerely,

Reginald Rogers