

**Refuse Rates Administrator's (RRA) response to Recology's initial refuse rate change request (submitted March 7<sup>th</sup>, 2023)**Weekly update dated 4/28/23

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This document is an update to the RRA's prior response letters and includes only the request items which have been shared with Recology to-date in the shared Questions And Requests workbook. As discussed in previous meetings, the RRA will accept submissions not broken out by the Audited Financial cost centers, and ratepayer class will be based on fee schedule breakout. The RRA's initial and subsequent reviews of the Recology March 7<sup>th</sup> submission identified 23 missing or incomplete schedules and 8 simplified schedules out of 42 total. This update identifies current missing or incomplete schedules as well as additional exhibits which will be necessary for the RRA to conduct analyses which lead to recommendation on future rates. This update also details any additional submissions or clarifications provided by Recology. Recology has also begun to submit the requested exhibits. Incomplete or missing items should have an expected date of completion. As of April 28<sup>th</sup>, the necessary balancing account information and Reconciliation Agreed Upon Procedures are still outstanding and critical before determining proposed rates, since rate reports need to be checked against audited financial statements any balance in the balancing account would need to be considered in those proposed rates. The RRA has requested that Recology inform them as to when the complete submission can be expected, as the timing by which it is submitted will impact the timeline of the rate review and the RRA's ability to produce a recommendation based on the information available.

This document contains the following tables:

- Table 1. Status of Rate Change Request Schedules
- Table 2. Supporting Exhibits
- Table 3. Line Item Questions
- Table 4. Environment Department Exhibits Request
- Table 5. Environment Department Deliverables Request
- Table 6. Environment Department Reports
- Table 7. Commission Questions
- Table 8. Abbreviations or Acronyms

Item	Request date	Schedule	Status	RRA Notes	Response Date	Recology Timing Response
1.01	3/24/2023	A.2 Total Sources and Uses	In Review	Breakdown of Total Uses needed. Missing Residential Multi-Family, 5 units or less.	4/2/2023	Provided 4/2/2023 response: "As discussed with the Refuse Rate Administrator during the development of the Refuse Rate Change Request forms, the allocation of costs and other information between residential, apartment and commercial customers is being deferred. We agreed that allocation is not possible at this time due to the integrated nature of operations, the need to update Recology's underlying data collection and the need to develop agreed upon allocation methodologies and models. Apart from billed revenue, which is organized by customer class due to differing rate structures, all other rate activity, including all costs, is presented in aggregate, without separation by customer class."
1.02	3/21/2023	C.1 Ratepayer Revenue	In Review	Reconcile commercial revenue with rate reports (i.e. debris box?)	4/11/2023	Provided response and reconciliation on 4/11/2023
1.03	3/15/2023	C.2 Waste Collection Revenue Detail	Missing	Recology has said they will be able to provide this schedule	4/11/2023	Will provide April 24
1.04	3/21/2023	C.3 Other Ratepayer Revenue	Missing	Include line-item for Contamination Fees	4/11/2023	Will provide April 24
1.05	3/15/2023	D.2 Revenue Offsets	Missing		4/11/2023	Revenue offsets will be covered as an exhibit moving forward, see 2.13 for additional details
1.06	3/24/2023	D.3 Recycling Revenue	In Review	Revenue by Customer Type missing, i.e., rows 34-78. Actuals would have to exist in order to have done accurate billings.	4/2/2023	Provided 4/2/2023 response: "As discussed with the Refuse Rate Administrator during the development of the Refuse Rate Change Request forms, the allocation of costs and other information between residential, apartment and commercial customers is being deferred. We agreed that allocation is not possible at this time due to the integrated nature of operations, the need to update Recology's underlying data collection and the need to develop agreed upon allocation methodologies and models. Apart from billed revenue, which is organized by customer class due to differing rate structures, all other rate activity, including all costs, is presented in aggregate, without separation by customer class."
1.07	3/24/2023	D.4 Disposal Revenue	In Review	All but 2 rows missing from rows 13-129	4/2/2023	Provided 4/2/2023 response: "As discussed with the Refuse Rate Administrator during the development of the Refuse Rate Change Request forms, the allocation of costs and other information between residential, apartment and commercial customers is being deferred. We agreed that allocation is not possible at this time due to the integrated nature of operations, the need to update Recology's underlying data collection and the need to develop agreed upon allocation methodologies and models. Apart from billed revenue, which is organized by customer class due to differing rate structures, all other rate activity, including all costs, is presented in aggregate, without separation by customer class."
1.08	3/24/2023	D.5 Processing Tonnage	In Review	Rows 46-48 Missing, and important for policymaker and public sightline, to know the impact on the Landfill Disposal Agreement's time-to-cap exceedance.	4/5/2023	Provided 4/5/2023
1.09	3/15/2023	D.6 Toxics Collection	In Review	RY23-RY25 projections not included. Recology has said they will be able to provide projections.	3/16/2023	Recology provided RY23-25 HHHW tonnage and collections
1.1	3/15/2023	E.1 Operating Expense Summary	Missing		4/11/2023	Will provide April 24
1.11	3/15/2023	F.1 Service Apportionment	Missing	Recology has said they are working on a cost allocation methodology and will provide	4/11/2023	Will provide April 24
1.12	3/15/2023	G.1 Refuse Collection Detail Requested	Greater Detail Requested	Identification of Fixed/Variable cost, and Combined and Eliminations columns are missing. Include columns to disaggregate RSF from SS/GG	4/11/2023	Will provide April 24
1.13	3/15/2023	J.3 Depreciation Expenses	In Review	Actuals are missing	3/28/2023	Recology provided actuals
1.14	3/15/2023	K.1 Insurance Expenses	In Review	Recology submitted cost of coverage actuals on 4/3. RRA has requested written response for type of coverage, incurred vs. claims made, and value of coverage. Recology verbally conveyed all insurance is claims-made, that D&O insurance from 2020-2021 is not included as a cost for rate payers. Recology will adjust the value column to say "limit of coverage" and complete.	4/3/2023	Initial provided 4/3/2023
1.15	3/15/2023	L.2 Intercompany Processing	In Review	Some categories of recyclables are missing	4/2/2023	Provided 4/2/2023
1.16	3/15/2023	O.1 Professional Services Expenses	In Review	FTE and performing and requesting companies are missing	4/11/2023	Provided 4/11/2023: "Professional Services are third party service costs. Therefore, FTE, performing and requesting companies are not relevant for this section."
1.17	3/15/2023	O.2 Corporate Services Expenses	In Review	FTEs for RY20 and RY21, as well as all years for performing and requesting companies are missing	4/5/2023	Provided 4/5/2023

Item	Request Date	Exhibits	Notes	Response Date	Recology Timing Response
2.01	3/15/2023	Outreach	What are current services and costs associated with outreach. What changes to outreach are being made, and what are the associated cost changes?	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.02	3/15/2023, amended 3/31/23	Abandoned Materials Collection	What is the current level of service for abandoned materials collections and what are the associated costs? What changes to abandoned materials collections are being made and what are the associated costs? How were decisions made to propose enhanced service?	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023 slides 31-33
2.03	3/15/2023, amended 3/31/23	Increased "City can" service	What is the current level of service for "City can" service and what are the associated costs? What are the current routes and schedules for "City can" service and how will this change? What are the associated cost changes? How were decisions made to propose enhanced service?	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.04	3/15/2023	Changes to FTE count	Please specify what the additional FTE will support.	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.05	3/15/2023	List of Fees and Charges	Please provide list of all fees and charges. Please identify any fees not included in one of the 6 rate schedules	4/16/2023	Provided on 4/16/2023
2.06	3/15/2023	Pension Costs	For the 2 year rate period, please explain what the annual cost is assumed for the cash-funded portion of the pension contribution, and what it is by month for each of the 24 month periods.	4/13/2023	Provided on 4/13/2023
2.07	3/21/2023	Contamination Fees	Please provide current charges and procedures as well as proposed charges and procedures outlining changes, identify impacted populations and impact to customer waste collection costs.	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.08	3/31/2023	Balancing Account	Please provide a justification for the proposed 100% adjustment for the difference between actual net profits or losses.	4/13/2023	Provided on 4/13/2023
2.09	3/31/2023	Sustainable Crushing at Pier 94	Please describe the service provided at Pier 94. What are the associated costs with this service? What are the impact to revenues and costs related to this closure? What are the impacts to labor needs and other material needs related to this closure?	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.10	3/31/2023	Programs included in proposed rate (Programs 4-29 in Narrative Summary)	Please provide current and proposed labor and costs associated with these programs.	4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
2.11	4/4/2023	Cost Accrual Basis	Please provide a summary of which costs have been and are proposed to be: 1) (Full) Accrual Basis, 2) Cash Basis, or 3) Otherwise, e.g. Modified Accrual Basis (along with the recognition period, if modified accrual, i.e., 30-days following the RY end, 60-days, etc.).	4/14/2023	Moved to RRA Questions
2.12	4/4/2023	Profit on Equipment Purchasing vs. Leasing	Please explain why certain assets are being depreciated (Full Accrual Basis Cost) vs. others like equipment are Leased-to-Own (Cash Basis). What is Recology's policy to lease or to own? For leased-to-own, is Recology Leasing earning a profit on the assets and is Recology SS/GG also earning a Profit Margin on the cash-basis lease cost charged to them by Recology Financing or other Recology entities? What is the difference in cost for leasing equipment from Recology entities plus profit margin on lease profit for Recology entities compared with Recology SS/GG directly purchasing equipment and then depreciated over the useful life of 7-10 years?		
2.13	4/4/2023	Basis for Revenue Offsets	What is the basis for assumed revenue offsets? Please detail which market analyses and pricing sources are being used and assumed by Recology for revenues associated with Organics, Recyclables, etc. to ensure SF Ratepayers are benefitting from and having some costs of service covered by those parts of the waste stream? How do Recology revenue offset prices for these products compare with competitors in California?		
2.14	4/6/2023	Reconciliation Agreed Upon Procedures (AUP)	AUP originally expected Wednesday April 19, then expected Friday April 28		
2.15	4/12/2023	Headcount per route	Please provide RY23 and projected RY24/25 headcount per SS/GG route.		
2.16	4/12/2023	Abandoned Materials Collection Follow-up to presentation slides 31-33	Please detail all abandoned material efforts completed for the past 3 years and how the level of staffing and equipment compares with your proposed rates for RY24 and RY25. Please include days and hours of operation, number and type of equipment used, estimated truckloads or tonnage per zone, location of new proposed zone, and whether cost is entirely ratepayer funded. If service requests have only increased 2.89%, why has response time eroded 33% over the past year? Proposed enhancement includes 2 FTE and 2 vehicles but does not include fuel costs or other related expenses.		
2.17	4/12/2023	Cost Drivers for Residential Rates	What does Recology identify as the cost drivers that are causing changes to the residential rates? How do these cost drivers relate to the escalators in the COLA calculation from the 2017 rate order?	4/20/2023	Provided explanation on 4/20/2023
2.18	4/12/2023	Cost Drivers for Tipping Fee	What does Recology identify as the cost drivers that are causing changes to the tipping fee? How do these cost drivers relate to the escalators for the current change to the tipping fee?	4/19/2023	Provided on 4/19/2023



1.064	3/22/2023	1.1 Depreciation and Lease	What did the change in the increase in lease expense 18% in FY2021 and 21.2% in FY2022. 18% the next year, 11% the following year?	4/23/2023	The increase in lease expense for FY20 through FY22 is mainly due to vehicle lease volume (ie. Split body, side body, light bar, hood, side body, etc.) FY21 through FY22 increases are due to truck replacements consistent with our vehicle replacement schedule, including those required by CARB regulations. In addition, there are operational cost for Call down, engine, tire, tire change, etc. (ie. tire fitting, etc.) to improve efficiency, up to 1.2 DeKalb Capital and Lease Expense table in the FY2023 Budget document.	Provided response
1.065	3/23/2023		Total depreciation was a 19% increase from FY2021, and then leveled off and FY2022 with a 2% increase. What do you expect to see for FY23?	4/23/2023	Total depreciation expense increased in FY22 due to capitalization of upgrades at Port B. In addition, there were several items (mainly in FY22) that are part of the change in depreciation expense. The reduction in FY2023 is due to assets approaching their useful life. This, in conjunction with depreciation expense.	Provided response
1.066	3/23/2023	1.2 Capitalized Lease Expenses	Many items in the Lease Collection Equipment are duplicated, but with different costs in the same year. Examples are CARB updates, water, computers, etc. Why are they duplicated and what are the quantities for each item? What are the quantities for each item? What are the quantities for each item? What are the quantities for each item?	4/23/2023	CARB vehicles will be broken out for additional visibility on the next month. The remaining items (ie. Carls, Computers and Tablets) had the same quantity for collection equipment (DeKalb Call or Some Equipment) these items of each being the same being duplicated. Quantities for these items are not listed due to the varying operational needs of the company.	Provided response
1.067	3/23/2023		How many CARB compliance vehicles are being leased? What are the vehicle numbers on which CARB regulations are the same?	4/13/2023	All vehicle counts being leased by the Recycling Companies are sufficient for Recursion (CARB) compliance. Please note the vehicles for Golden Gate has been moved. The vehicles reported as CARB compliance will be moved in the next month (approximately), per the additional details.	We require audit of CARB compliance
1.068	3/22/2023		How many containers are being leased in FY2021 and FY2022? What are the numbers in FY21 and FY22? What are the projections for FY23 and FY24? How many containers are being leased in FY2021 and FY2022? What are the numbers in FY21 and FY22? What are the projections for FY23 and FY24?	4/23/2023	We are in communication with the vendor and will provide additional information later this month.	Provided response
1.069	3/22/2023	1.3 Depreciation Expense	Why did Machinery/Equipment Depreciation increase 33% in FY21 and why would it decrease in FY22?	4/23/2023	Machinery and Equipment Depreciation expense increased in FY21 due to capitalization of upgrades at Port B. The reduction in FY2022 is due to assets approaching their useful life. This, in conjunction with depreciation expense.	Provided response
1.070	3/22/2023	1.4 Insurance	How many vehicles will the 3rd eye camera system be installed at the beginning of each rate year? Will this cover all residential and commercial collections?	3/31/2023	Camera system will be rolled out to all residential and commercial collections by the beginning of rate year 2024. We will cover 100% of the mobile, and will be on the commercial side and will be on a rollout of residential routes.	Provided response
1.071	3/22/2023		What are the actuals for FY20 and FY21?	4/23/2023	See actuals in Depreciation Expense & Lease	Provided response
1.072	3/22/2023		What insurance expense do you expect for the coverage of commercial trucks? What are the actuals for FY20 and FY21? What are the projections for FY23 and FY24?	4/23/2023	We have increased insurance Expense & Lease	Provided response
1.073	3/22/2023		Cyber risk and other costs increased 41% from FY2021. What are the specific cost increases in the categories? What caused the increase?	4/23/2023	Insurance Expense & Lease	Provided response
1.074	4/13/2023	1.5 Information	How many vehicles are expected to be replaced significantly? Recycling reported that they can't get their fleet up to 100% with respect to recyclable processing. How many vehicles are expected to be replaced significantly? Recycling reported that they can't get their fleet up to 100% with respect to recyclable processing.	4/16/2023	Recycling operations in the Collection territory and Full Back handling capacity are expected to increase and will be higher than the current level. All materials disposed at RF are charge the same as the other side.	Provided response
1.075	4/13/2023	1.6	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.076	4/13/2023	1.7	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.077	4/13/2023	1.8	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.078	4/13/2023	1.9	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.079	4/13/2023	2.0	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.080	4/13/2023	2.1	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.081	4/13/2023	2.2	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.082	4/13/2023	2.3	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.083	4/13/2023	2.4	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.084	4/13/2023	2.5	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.085	4/13/2023	2.6	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.086	4/13/2023	2.7	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.087	4/13/2023	2.8	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.088	4/13/2023	2.9	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.089	4/13/2023	3.0	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.090	4/13/2023	3.1	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.091	4/13/2023	3.2	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.092	4/13/2023	3.3	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.093	4/13/2023	3.4	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.094	4/13/2023	3.5	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.095	4/13/2023	3.6	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.096	4/13/2023	3.7	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.097	4/13/2023	3.8	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.098	4/13/2023	3.9	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.099	4/13/2023	4.0	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response
1.100	4/13/2023	4.1	Recycling per ton revenues don't align with Recycle's statement that they have had recyclables per ton revenue at the projected level.	4/13/2023	Please refer to the rate setting slide on 4/13/2023 for new positions added and the current revenue.	Provided response



Request Date	Number	Exhibits	Notes	Response Date	Recology Timing Response
3/22/2023	1	Detail on proposed new composting contamination removal processing at the West Wing, including equipment specs, layout, any additional labor, projected material type and tons of contamination removed and impact on net recovery of compostables.		4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023
3/22/2023	2	Contamination Charge & Removal of Recovery/Diversion Discount Protocol, including details on the streamlined process to automate the quality assurance review and communication processes referenced in the Summary of Assumptions. What assumptions are associated with the \$5 million revenue?			
3/22/2023	3	Detail on Commercial Bulky Item collection service offered and assumed for rate calculation including frequency by generator type and level of recovery. SFE understands from Waste Zero staff that this service is currently available to SF businesses.			
3/22/2023	4	Organizational chart for RSS, RSF, and RGG, including Waste Zero staff.		4/14/2023	Provided on 4/14/2023
3/22/2023	5	Explain assumptions and justification in tonnage and recovery projections and reason for changes, such as lower residential composting received and lower % diverted, that is shown in Schedule D.5. The % diverted for recycling needs to be corrected as it currently shows % disposed not diverted.			
3/22/2023	6	Abandoned Materials Collection assumptions on tonnage and additional services referenced in the Narrative.	This will be covered by request #2.16, see #2.16 for additional details.	4/17/2023	Provided on 4/17/2023
3/22/2023	7	Event rate schedule and clarification that no events receive free service.			
3/22/2023	8	Trash processing test net costs with avoided Hay Road disposal cost offset and state goal after test to develop plan with SFE for scaling trash processing in subsequent rate process.		4/11/2023	Provided as part of Rate Hearing Presentation on 4/13/2023

Category	New activity as of October 2023	Task Oct 2023 Sept 2025	Sector	Exhibit associated	Recology Response
Collection	No	Deliver kitchen pails for all residential and apartment building service starts upon request.	All Residential		This is in the costs. New ask would be to send to all residential & apartment starts. Our estimate is this would be an additional \$,100 pails and \$19K additional expense for all new starts. Correct. This is new, and we agreed to flag gravity bin for comment.
Collection	Yes	Maintain inventory of gravity bins and locked bins so that any commercial, residential or event customers may request 96 gallon landfill and/or 64 gallon compost and/or recycle service in gravity bin with no more than a two week delay. Experience shows that unlocked bins contribute to contamination and contamination charges have been overturned in an appeal due to lack of locked bins.	All		
Collection	Yes	Plan, promote, staff and execute District Clean-Up Events annually in each supervisor district. Include services to maximize recovery and reuse including all three streams (recycle, landfill, compost), household hazardous waste (light bulbs, batteries, appliances, chairs, lamps, etc.) and a reuse component such as partnering with Goodwill to refurbish working computer monitors.	All Residential		Cleared
Collection	Yes	Bulky item recycling (RecycleMyJunk): Single family (1.5 units) receives 2 free pick-ups per year, apartment and commercial rate apartment residents receive 1 free pick up per year, property managers receive 1 free pick up per year.	Residential	Need exhibit	Cleared
Contamination	Yes	Publish a clear and concise summary of the contamination charges and methods to avoid/remove them translated into Spanish, Filipino and Traditional Chinese. Distribution avenues will include website, newsletter, direct mail and provided upon request through customer service.	All		Previously agreed to update website with contamination charge removal instructions. Part of direct mail is new. Previously agreed to send by mail upon request only.
Contamination	Yes	Implement consistent contamination charges throughout SF apartment and commercial rate customers per a mutually agreed upon protocol and phased-in approach with SFE. Begin the first year with commercial and apartment rate accounts w/o compactors and between 2 cy and 40cy.	Apartment & Commercial		Same as what we agreed to
Contamination	No	Conduct required ISO audits of affected large refuse generators as mutually agreed with SFE.	Apartment & Commercial		Its accounted for in the actual costs.
Contamination	Yes- See column F for specifics	Improve route monitoring by drivers with full utilization of test camera technology to monitor every container that is unloaded.	All	Need exhibit	We agreed to adding camera to a percentage of our routes (not every container that is unloaded). 38 units that will target compost contamination- accounted for in RA. Its accounted for in our actual costs.
Contamination	No	Perform waste evaluations per the container contamination minimization requirements of SB 1383 Section 18984.5(c) twice annually and report outcomes to the City as mutually agreed	All		Its accounted for in our actual costs.
Contamination	No	Contact every Commercial and Apartment account with contamination charge or removal of diversion discount at least once a year. First attempt to contact by email or phone, then send letter to the account if not able to reach anyone. Escalate charges as mutually agreed upon.	Apartment & Commercial		Its accounted for in the actual costs.
Hazardous Waste	Yes	Fully integrate electronic manifesting for all shipments to off-site disposal facilities for Hazardous Waste.	All		Cleared
Hazardous Waste	Yes	Provide outreach to property managers, associations, and buildings on battery collection safety issues and identification of "high hazard" batteries (in conjunction with removal of existing orange battery collection containers?)	Apartment		Cleared
Hazardous Waste	Yes	Provide outreach to MFO residents on identification of "high hazard" batteries and battery recycling options. (in conjunction with removal of existing orange battery collection containers?)	Apartment		Cleared
Hazardous Waste	Yes	Fully integrate electronic manifesting for all shipments to off-site disposal facilities.	All		Cleared
Hazardous Waste	Yes	Add Spanish and Chinese language options to Household Hazardous Waste website (800.340.5).	All Residential		Cleared
Outreach	Yes- See column F for specifics	Outreach to all new accounts, prioritizing customers whose service reflects 50% or less recovery rate.	All		Combined this goal with #9, #17 and 254.
Outreach	Yes- See Column F for specifics	Provide printing & distribution every year for residential zero waste outreach mailer promoting what goes where, bulky item pickup program, household hazardous waste collection, and generation reduction strategies. Partnering between apartment residents (or units) and single family (1.5 units). SFE will lead on the design of the outreach by working with SFE's contractors and Recology will pay for printing and mailing of outreach materials.	All Residential		In the rate application
Outreach	No	Provide regular virtual and in person trainings to property management companies, associations, and buildings. Offer on a monthly basis, customized per audience with a virtual option as well as upon request by customers.	All		Its accounted for in our actual costs.
Outreach	No	Engage the waste zero champions with quarterly events	All		Agreed this is not applicable
Outreach	Yes- See column F for specifics	Revise bills to show clearly rate structure and incentive calculation. Publish a website an updated rate calculator for both residential and small, FEL commercial customers outlining the services available	All		We agreed to work with SFE in partnership on this. Cleared
Outreach	Yes	Develop in collaboration with SFE and print/publish a consolidated commercial services brochure and accompanying web/app content, including Rate calculator for small, FEL commercial customer. Caribool options. Updated what best resource for commercial customer materials. Detailed set-out guidelines. Additional services such as loading and indoor services. Methods to discourage illegal dumping/cleaning (including gravity bin options). Contamination charges and how to avoid/remove them. VSOG program and other options for hazardous waste removal. Options for bulky item disposal	Commercial		We accounted for the associated costs of this in the Rate Application. Cleared
Outreach	Yes- See column F for specifics	Contact all new Apartment and Commercial accounts at least once that subscribe to 2 cubic yards or more weekly refuse service and whose recovery rate (AKA diversion discount) is 50% or less to offer service that reflects at least 67% recovery	Apartment & Commercial		We combined this goal with #9, #17, #25 and accounted for it in the 2024 RA by specifying a number of accounts to commit to reaching out to each year. A few things were agreed with here.
Outreach	Yes	Provide SB 1383-qualified compost, transportation and staffing to distribute compost at four events annually for a total of at least 80 cubic yards annually, distributed at the direction of SFE.	All		Concluded this is not applicable
Outreach	No	Provide at least 1,250 Commercial and 600 apartment building accounts (defined by SIC code) with one or more assists annually (e.g., site visit, presentation, phone exchange, email or letter offering outreach, outreach materials sent, self-assessment forms completed, waste zero champion sign-up, service level recovery improvement), captures data on tip code and language served for assists, and submits a list of Commercial and Apartment accounts assisted quarterly.	Apartment & Commercial		This is in our actual costs.
Outreach	Yes- See column F for specifics	Communicate the source separation requirements to all new accounts. Contact all new Apartments and Commercial accounts at least once that subscribe to 2 cubic yards or more weekly refuse service and whose recovery rate (AKA diversion discount) is 50% or less to offer service that reflects at least 67% diversion.	All		We already send welcome letters with source separation requirements to all new accounts. Combined contact goal with #9, #17 and #25.
Processing	Yes	Recology will deliver 1200 tons of SF representative trash to Waste Management (WM) organics material recovery facility (OMRF) in San Leandro, CA for trash processing test. WM will provide a San Francisco material only test in both the OMRF and the Organics Material Composting Facility (OMCF). WM will also provide analysis of the recoverable material and composting quality of end product. This analysis will provide data in determining whether to utilize this facility for full scale SF trash in subsequent rate. Projected cost \$14,233.08	All	Yes	Cleared
Reporting		Reporting as outlined in separate communications to controller.	All		Cleared



#	Title	Criteria	Purpose	Template (Y/N)	Frequency	Current rate report connection	SFE Lead(s)	Recology Response
1	Annual HHW Report	Form 303 data (weights and disposition by type by program), Retail Site weights by type and site, zip code summary for Facility Drop-off and Home Collection Service	Required by CalRecycle to report collection numbers by program and waste type	Y	Quarterly	Table 5	Maggie Johnson, Hilary Near, Jen Jackson, Huy Le	Cleared
2	Table 3	All information in the template	Used to reconcile disposal for CalRecycle Electronic Annual Report (EAR) and generation studies	Y	Annually	N	Jack Macy, Hilary Near	Cleared
3	Apartment & Commercial rate customer information	AR and Altar accounts	Used to update our CRM service information and provide direct technical assistance for compliance with source separation and adequate service. All account information from AR and ALTAR services in one row.	Y	Monthly	Table 4	Hilary Near, Michael Bybee	Yes, we send monthly (from Accounting)
4	Commercial & Apartment customer outreach for source separation	Commercial and apartment building accounts (defined by SIC code) with one or more assists (e.g., site visit, presentation, phone exchange, email or letter offering outreach, outreach materials sent, self-assessment forms completed, waste zero champion sign-up, service level recovery improvement). Include relevant account details including address, zip code and language served for assists	Tracking progress toward shared action plan goals	N	Quarterly	Table 4	Hilary Near, Jessica Narvios, Freddy Coronado	Yes, WasteZero sends quarterly
5	Contamination charges and recovery discount removals	Accounts with contamination charges and/or discount removals	Used for direct technical assistance and other monitoring needs	Y	Quarterly	N	Alexa Kielty, Hilary Near	Yes, we send quarterly (From Waste Zero)
6	Customer communication tracking	Number of communications by type and sector, including call wait time. Quarterly in-language support to all customers through call center, email, online requests forms, direct technical assistance, and in-person/virtual trainings. Capture geographic information and language(s) delivered as frequently as possible by reporting zip code.	Monitor major customer service issues. Establish customer service equity baseline of monolingual or limited-English-proficient resident and business account holders.	N	Biannually	N	Alexa Kielty, Maggie Johnson, Hilary Near	Part of this is a new request. We can provide the data from Language Line.
7	Monthly route collection reports ("Fan 3 Reports") & Table 3	Recycling, composting and trash graphs, summary, monthly Table 3 and disposal	Used for technical assistance, overall communication, and reporting to the Office of the Controller.	Y	Monthly	Table 3	Soko Made, Jack Macy	Cleared
8	Noncompliant accounts	All commercial accounts and residential accounts 5 units or more without collection service for any refuse stream (and if noted SFE compliant), should tie to quarterly rate report Table 4	Used for direct technical assistance and reporting to the state regarding AB 341/1826 required recycling & composting compliance	Y	Quarterly	Table 4	Hilary Near	Yes, we send quarterly (from Accounting)
9	Quarterly HHW Report	Narrative Report, Form 303 data, Battery Bucket Collection Report	Supports the annual HHW report and required by HHW Facility Operating Agreement contract	Y	Quarterly	N	Maggie Johnson, Hilary Near, Jen Jackson, Huy Le	Cleared
10	Refuse Separation Compliance Ordinance	Large refuse generator (LRG) accounts (compactor or 40 cy/week total refuse collection volume)	Used to communicate to new LRGs and identify audit needs	Y	Quarterly	N	Hilary Near	Yes we send Quarterly
11	Weight scale records	Weight scale records to match the annual Table 3	Evaluating recovery rates for purposes of the Zero Waste Incentive	N	Annually	N		

Item	Meeting Date	Commission	Question	Response Date	Response
	3/20/2023	Sanitation and Streets Commission	How is the city receiving public comment and conducting outreach?	3/20/2023	Listserve, social media, OEWD, small business commission. Hearings, workshops. Collecting public comment and presenting to RRB.
	3/20/2023	Sanitation and Streets Commission	Advice - do roadmap so anyone can understand how rates were set. Outreach is important, so do the outreach, 3-4 times.	3/20/2023	Noted.
	3/20/2023	Sanitation and Streets Commission	There is a difference in overflow fees for residential vs commercial, small businesses are taking trash home or public dumping.		
	3/20/2023	Sanitation and Streets Commission	There's less trash being tipped, so it makes sense that the rate per ton would go up, but shouldn't it balance out? If price up but volume down?		
	3/20/2023	Sanitation and Streets Commission	How does "mosquito fleet" impact costs?		
	3/28/2023	Commission on the Environment	For regular monopoly is there a regular % that's considered reasonable and fair?		
	3/28/2023	Commission on the Environment	What is recology's incentive to manage costs?		
	3/28/2023	Commission on the Environment	What controls are in place to ensure landfill tonnage and ZWI reporting of numbers are accurate?		
	3/28/2023	Commission on the Environment	Will inflation projections be updated again during this process?	3/28/2023	Yes, likely using the Controller's 9-month report data
	3/28/2023	Commission on the Environment	What resources are available to learn more about the rate setting process and other related details?	3/28/2023	There are more resources on the Refuse Rate Administration website.
	3/28/2023	Commission on the Environment	How are ZWI tier 3-4 funds available to recology? How often are proposals being approved if the ZWI tier is not achieved?		
	3/28/2023	Commission on the Environment	What is CoE and ENV's role in setting goals for this rate cycle?		
	3/28/2023	Commission on the Environment	Who decides how to allocate funds from ENV's portion of the impound budget?	3/28/2023	ENV and CoE determine their budget
	3/28/2023	Commission on the Environment	What is the relationship between the impound budget and rate setting process? Does CoE/ENV recommend to CDN to assume \$14.7M/year, or does ENV negotiate with them?	3/28/2023	Prop F June 2022 allows CoE to give input
	3/28/2023	Commission on the Environment	One of the commissioners said they strongly support the change in ZWI metrics from tonnage to % recovery.	3/28/2023	Noted.
	3/28/2023	Commission on the Environment	The second to last slide in Recology's presentation showed rates increase for recycle and compost bins more than for trash. That sends the wrong signal to residents. CoE wants them to recycle and compost, not rely on black bins. Can the rates put more of any increase on black bins and less on blue and green to reward residents who've done a good job of sorting? Is there a way to use the fee to incentivize more sorting?		
	3/28/2023	Commission on the Environment			
	3/28/2023	Commission on the Environment			
	3/28/2023	Commission on the Environment			
	4/13/2023	Refuse Rate Board	What is the actual increase in abandoned materials in Bayview over the past 5 years? What were the tonnages, number of required pickups, and 311 complaints for each year?		
	4/13/2023	Refuse Rate Board	Can you show any statistics which demonstrate that public outreach and engagement reduces abandoned materials? What about changes to staffing?		
	4/13/2023	Refuse Rate Board	Can you show any statistics which demonstrate that public outreach and engagement reduces contamination? What about changes to staffing?		
	4/13/2023	Refuse Rate Board	How does Recology share data on contamination with DPW and SFE?		
	4/13/2023	Refuse Rate Board	Doesn't employee ownership (ESOP) impact Recology's calculation of profit or how profit should be considered? How are taxes different with ESOP vs. a traditional for-profit or publicly-traded company?		
	4/13/2023	Refuse Rate Board	Under Recology's proposal, what is the incentive to manage costs? Do Recology's profits increase relative to their costs without limit? What is the justification for a balancing account that covers 100% of profits or loss if there are a lack of cost controls?		
	4/13/2023	Refuse Rate Board	The Board has requested more jurisdiction comparisons for rates as well as operating ratios and/or profit margins. This item may be better suited as an exhibit.		
	4/13/2023	Refuse Rate Board	What are the average diversion discounts across customer classes annually?		
	4/13/2023	Refuse Rate Board	How are diversion discounts calculated and what evidence does Recology have to show that they are effective in increasing diversion?		
	4/13/2023	Refuse Rate Board	Public comment: If certain street bins require a second pickup during the day, would it be possible to install a second bin nearby to reduce second pass?		
	4/13/2023	Refuse Rate Board	What data does Recology have to demonstrate that neighborhood commercial zones are requiring more frequent service of street bins than in the past?		

<b>Abbreviation or Acronym</b>	<b>Title</b>
AB	Assembly Bill
AUP	Agreed Upon Procedures
CRM	Customer Relationship Management
EAR	Electronic Annual Report
FTE	Full Time-Equivalent (for employee count)
FY	Fiscal Year
HHW	Household Hazardous Waste
iMRF	Integrated Material Recovery Facility
LRG	Large Refuse Generator
MFD	Multi Family Dwelling
OMRF	Organics Material Recovery Facility
RGG	Recology Golden Gate
RRA	Refuse Rates Administrator
RSF	Recology San Francisco
RSS	Recology Sunset Scavenger
RY or RYE	Rate Year Ending
SB	Senate Bill
SFE or ENV	San Francisco Environment Department
SS/GG	Recology Sunset Scavenger and Golden Gate
WM	Waste Management inc.