



OFFICE OF THE
CITY ADMINISTRATOR



Edwin M. Lee, Mayor
Naomi M. Kelly, City Administrator

January 28, 2015

Angela Calvillo, Clerk of the Board
Board of Supervisors
City Hall, Room 244
1 Dr. Carlton B. Goodlett Place
San Francisco, CA 94102

Subject: 2014 Report Slavery Disclosure Ordinance

Dear Ms. Calvillo:

The Slavery Disclosure Ordinance (Section 12Y of the Administrative Code) was passed by the Board of Supervisors and signed by Mayor Newsom in 2006. As outlined in Section 12Y (b), the purpose of the Ordinance was to promote full and accurate disclosure to the public of insurance and financial transactions and activity in the textiles industry that, directly or indirectly or through their parent entities, were involved in the slave industry.

The Ordinance provides that the City Administrator receive affidavits from companies subject to the Ordinance, encourage contributions to a Special Fund to ameliorate the effects of slavery and report annually to the Board of Supervisors.

Please let me know if you have any questions or contact my staff, Joan Lubamersky, joan.lubamersky@sfgov.org, 415-554-4859.

Sincerely,

A handwritten signature in cursive script that reads "Naomi M. Kelly".

Naomi M. Kelly
City Administrator

Enclosure



OFFICE OF THE
CITY ADMINISTRATOR



Edwin M. Lee, Mayor
Naomi M. Kelly, City Administrator

SLAVERY ERA DISCLOSURE ORDINANCE
CITY AND COUNTY OF SAN FRANCISCO

Report to the Mayor and Board of Supervisors – 2014 Update

Prepared by Office of the City Administrator

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Alliant Insurance Services, Inc.
Arthur J. Gallagher and Co.
Bickmore and Associates Inc.
Meketa Investment Group Inc.
Merriwether & Williams Insurance Services Inc.

EXECUTIVE SUMMARY

The Slavery Disclosure Ordinance (Section 12Y of the Administrative Code) was passed by the Board of Supervisors and signed by Mayor Newsom in 2006. As outlined in Section 12Y(b), the purpose of the Ordinance was to promote full and accurate disclosure to the public of insurance and financial transactions and activity in the textiles industry that, directly or indirectly or through their parent entities, were involved in the slave industry. For example, they bought or sold people subjected to slavery, provided property insurance covering people subjected to slavery, provided loans to purchase people subjected to slavery, used people subjected to slavery as collateral for insurance policies or other transactions, profited from the trade in people subjected to slavery and/or provided related services to aid and abet such trade. The Ordinance directed that a fund be established to which contractors covered by the Ordinance could make voluntary contributions to ameliorate the legacy of the slavery era. (Section 12Y.5 (a).)

The Ordinance requires that every contractor providing insurance/insurance services, financial services or textiles to the City be required to file an affidavit with the City Administrator verifying that the contractor has searched all company records (including those of parent, predecessor or subsidiary companies) for any relevant records concerning whether the contractor, parent, subsidiary or predecessor participated in the slave trade or received profits from the slave trade.

Affidavits from the 2007 are provided for reference. (See Appendix B.) An update is provided in Section 3 of this report. We recently became aware that the City Administrator is tasked with reporting on compliance annually. We are submitting this report as an update and will do so annually henceforth.

INTRODUCTION

The 2007 report provided an extensive history of the background on the issue of disclosure legislation in other parts of the country and development of the Ordinance in San Francisco.

This update will provide information on new affidavits requested and received. The departments with relationships in banking and insurance include those reporting 2007: Risk Management, The Office of Public Finance and Risk Management. Additionally, a contractor for the Office of the Controller filed an affidavit in 2013. It should be noted that financial institutions with which Public Finance contracts are exempt from the Ordinance and those that provided information did so voluntarily.

We have been advised by the City Attorney that a firm is required to file only once with the City, not for each new contract.

UPDATE – Affidavits

The Office of Risk Management currently contracts with the following firms for insurance services as defined by the Ordinance:

Aon filed an affidavit in 2007.

Alliant, Arthur J. Gallagher, Bickmore, and Merriwether & Williams Insurance Services, Inc. filed in 2013.

The Treasurer-Tax Collector has a contract with Bank of America. It filed an affidavit in 2007.

The Controller's Office has a contract with Meketa Investment Group. They filed an affidavit in 2013.

The Controller's Office of Public Finance vendors are not required to file. However, these vendors filed voluntarily in 2007:

Bank of America, N.A.
Bank of New York Mellon Trust Company
Deutsche Bank National Trust Company/Americas
JP Morgan Chase Bank, N.A.
US Bank, N.A.
Wells Fargo, N.A.

UPDATE --Development Fund (Section 12Y.5)

Voluntary Contributions to Ameliorate the Effects of Slavery

To date, no contributions have been received for the fund. I am sending letters requesting donations to contractors subject to the Ordinance.

As provided in the Ordinance, I will report on contributions to and expenditures from the account in each annual report.

APPENDIX A

Slavery Era Disclosure Ordinance, Chapter 12Y Administrative Code

Chapter 12Y: San Francisco Slavery Disclosure Ordinance

- Sec. 12Y.1. Findings and Purpose.
- Sec. 12Y.2. Definitions.
- Sec. 12Y.3. Exceptions.
- Sec. 12Y.4. Slavery Era Disclosure.
- Sec. 12Y.5. Voluntary Contributions to Ameliorate the Effects of Slavery.
- Sec. 12Y.6. Enforcement.
- Sec. 12Y.7. Severability.

Sec. 12Y.1. Findings and Purpose

The Board of Supervisors of the City and County of San Francisco hereby finds and declares that:

- (a) Insurance policies from the American slavery era, which have been discovered in the archives of several insurance companies, document insurance coverage to slaveholders for damage to or death of people subjected to slavery. In some cases, existing insurance firms or their predecessor firms issued these policies.
- (b) Further records may exist showing that insurance companies, financial services firms, and textile companies, either directly or through their parent entities, subsidiaries, predecessors in interest, or otherwise, bought or sold people subjected to slavery, provided property insurance covering people subjected to slavery, provided loans to purchase people subjected to slavery, used people subjected to slavery as collateral for insurance policies or other transactions, profited from the trade in people subjected to slavery, and/or provided related services to aid and abet such trade.
- (c) Discovery and publication of these records is an important first step in addressing the legacy of slavery in this country. For example, in June of 2005, the Wachovia Corporation, in the course of complying with a Chicago law similar to this Ordinance, discovered that some of its predecessor companies owned slaves and used slaves as collateral for loans. Wachovia issued an apology for the actions of its predecessor companies, and called for a "stronger dialogue about slavery and the experience of African-Americans in our country."
- (d) Insurance policies, loan documents and other documents and records provide evidence of ill-gotten profits from slavery, which profits, in part, capitalized insurers, financial services providers and textile companies. The successors of these companies remain in existence today, and such profits from the uncompensated labor of enslaved Africans represent a continuing legacy of slavery.
- (e) Slavery was legal at the time that the contemptible practices outlined above occurred, but that does not make the practices any less repugnant, abhorrent or deplorable, nor in any way diminish the gravity of these wrongs or the importance of rectifying and remediating these travesties.
- (f) Deplorable treatment of Africans brought to this country as slaves was not limited to the southern states. In 1852, the California Legislature passed a California Fugitive Slave Act that gave white men the power to arrest Africans who they claimed were slaves, and return them to southern slave states. California's first governor, Peter Burnet, recommended during the first session of the California Legislature that the Assembly adopt a bill to exclude "Free Negroes" from California. In 1858, the Assembly passed House Bill 395, "an Act to Restrict and Prevent the Immigration to and Residence in this State of Negroes and Mulattoes." These laws, and others like them, were a major factor in the decision of several hundred African men and women to migrate from San Francisco to Victoria, Canada.

Chapter 12Y: San Francisco Slavery Disclosure Ordinance

- (g) Many San Francisco residents are descendants of people subjected to slavery, people who were defined as private property and insured as such, people who were used as collateral for insurance policies, loans and other transactions, were dehumanized, snatched from their families, and coerced into performing labor without appropriate compensation or benefits.
- (h) Appropriate compensation to Africans for their labor would have been bequeathed to their descendants to assist them in developing a solid economic base that included individual wealth and thriving African American community institutions, thereby providing a level playing field and ensuring equal opportunity in this country.
- (i) The City and County of San Francisco acknowledges the loss of assets that rightfully should be the property of descendants of African people subjected to slavery, and extends its apologies to their descendants who continue to suffer the legacy of slavery.
- (j) The San Francisco Board of Supervisors pays tribute to and honors the people subjected to slavery who toiled and sacrificed their lives in building this country's economic foundation, and also honors descendants of those people subjected to slavery in America who, notwithstanding the degradation of slavery and discrimination, and the systematic efforts to deprive them of a sense of family, human dignity and prosperity, have developed a vibrant community, culture, and creative genius, and have made untold contributions to the fabric of our society, in the absence of which this nation would not be recognizable.
- (k) The effects of racism on the residents of the City and County of San Francisco have been well documented in the San Francisco Human Rights Commission's authorized study, *The Unfinished Agenda*, and in the Report of the 2004-2005 Civil Grand Jury for the City and County of San Francisco, *The More Things Change, The More They Stay The Same: The City and County of San Francisco and the San Francisco Unified School District Are Failing to Address the Educational Needs of the Bayview Hunters Point Community*.
- (l) The aforesaid residents, and all of the residents of San Francisco, are entitled to full disclosure of the information regarding the above-described transactions that compensated slaveholders for damages to and death of people subjected to slavery and provided other compensation and profits.
- (m) In 2000, the California State Legislature passed Senate Bill 2199, authored by then State Senator Tom Hayden, entitled "Slavery Era Insurance Policies." Senate Bill 2199 (California Insurance Code section 13810 et seq.), effective January 1, 2001, requires that (1) the State Insurance Commissioner request and obtain information from insurers licensed and doing business in California regarding records of slaveholder insurance policies issued by predecessor corporations during the slavery era; (2) each insurer licensed and doing business in California research and report to the Insurance Commissioner with respect to any records in its possession or knowledge relating to insurance policies issued to slaveholders that provided coverage for damage to or death of people subjected to slavery; (3) the State Insurance Commissioner obtain the names of any slaveholders or people subjected to slavery described in the insurance records and make the information available to the public and the Legislature; and (4) descendants of people subjected to slavery, people who were defined as private property, dehumanized, divided from their families, forced to perform labor without appropriate compensation or benefits, and whose owners insured them as property, are entitled to full disclosure.
- (n) The Board of Supervisors finds that full disclosure of the facts and acknowledgement of the depth and scope of the shameful commerce in slavery furthers healing in the San Francisco community,

Chapter 12Y: San Francisco Slavery Disclosure Ordinance

both on the part of those who have been and are continuing to be harmed, as well as those who profited from this abhorrent practice.

- (o) The Board of Supervisors finds that the establishment of a fund to which contractors subject to this Ordinance and others may make voluntary contributions will promote healing and assist the City in rectifying and remedying some of the legacies of the shameful commerce in slavery, thereby protecting and promoting public health, safety and welfare of San Francisco residents and the San Francisco community.
- (p) The purpose of this Ordinance is to promote full and accurate disclosure to the public of: slavery insurance policies, including but not limited to policies issued to slaveholders for damage to or death of persons subjected to slavery, and policies issued to insure business transactions and operations related to the traffic in persons subjected to slavery; evidence of purchase and sale of people subjected to slavery; provision of loans to purchase people subjected to slavery; use of people subjected to slavery as collateral for insurance policies, loans or other transactions; provision of any related services to aid and abet such transactions; and profits derived from the slave trade; by (i) any contractors providing insurance services or financial services to the City, and (ii) any textile companies doing business with the City.
- (q) The purpose of this Ordinance is also is to establish a fund to which contractors subject to this ordinance and others may make voluntary contributions to promote healing and assist in remedying depressed economic conditions, poverty, unequal educational opportunity and other legacies of slavery era among the population of the City.
- (r) This Ordinance promotes important policy objectives of the City, and the City will suffer actual damages due to contractors' failure to comply with this Ordinance. Because these actual damages will be impractical or extremely difficult to prove, the City is justified in imposing liquidated damages for failure to comply with this Ordinance.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.2. Definitions

As used in this Chapter, the following capitalized terms shall have the following meanings:

- (a) "Contract" shall mean an agreement between the City and any person, persons or other entity for public works or improvements to be performed, or for goods or services to be purchased, out of the treasury of the City and County, or out of trust monies under the control of or collected by the City and County.
- (b) "Contract Amendment" shall mean an agreement entered into on or after the effective date of this Ordinance pursuant to which a Contract entered into prior to the effective date of this Ordinance is modified or supplemented to: (1) extend the term of the Contract; (2) modify the total amount of money due from the City under the Contract; (3) modify the scope of services to be performed under the Contract; or (4) increase the amount, or change the nature of, goods to be provided under the Contract. The term "Contract Amendment" does not include construction change orders.
- (c) "Contractor" shall mean any person or persons, firm, partnership, corporation, or combination thereof, which enters into a Contract with a department head or other employee or officer empowered by law to enter into Contracts on the part of the City.

Chapter 12Y: San Francisco Slavery Disclosure Ordinance

- (d) "Director" shall mean the Director of Administrative Services.
- (e) "Participated in the Slave Trade" shall mean: (1) issued slavery insurance policies, including but not limited to policies issued to Slaveholders for damage to or death of Persons Subjected to Slavery, and policies issued to insure business transactions and operations related to the traffic in Persons Subjected to Slavery; (2) purchased, sold or held Persons Subjected to Slavery; (3) provided loans to others to facilitate the purchase, sale, transport, or enslavement of Persons Subjected to Slavery; (4) used Persons Subjected to Slavery as collateral for insurance policies, loans or other transactions; (5) facilitated the traffic in Persons Subjected Slavery by transporting such persons by boat or rail; or (vi) provided any other services to aid and abet the traffic in Persons Subjected to Slavery.
- (f) "Person Subjected to Slavery" shall mean any person who was wholly subject to the will of another, whose person and services were wholly under the control of another, who was in a state of enforced and compulsory service to another, and who was deemed by law to be the property of another during the Slavery Era.
- (g) "Predecessor Company" shall mean an entity whose ownership, title and interest, including all rights, benefits, duties and liabilities, were acquired in an uninterrupted chain of succession by the Contractor.
- (h) "Profits from the Slave Trade" shall mean any economic advantage or financial benefit derived from the labor of Persons Subjected to Slavery or from Participation in the Slave Trade.
- (i) "Slaveholder" shall mean holders of Persons Subjected to Slavery, owners of business enterprises that used the labor of Persons Subjected to Slavery, owners of vessels or other modes of transport that transported Persons Subjected to Slavery, and merchants or financiers dealing in the purchase, sale or other business transactions related to Persons Subjected to Slavery.
- (j) "Slavery Era" shall mean that period of time in the United States of America prior to the year "1865."

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.3. Exceptions

This Chapter shall not be applicable to the following:

- (a) Contracts for:
 - (1) the receipt, administration, management or investment of monies held in trust by the City in the Retirement Fund or the Health Service System Trust Fund;
 - (2) the provision of medical or dental insurance to City employees;
 - (3) the issuance, sale, management or administration of City bonds, notes or lease financings, or other similar obligations, and related credit, liquidity, payment exchange and other agreements;
 - (4) the safeguard, deposit and investment of City funds by the City Treasurer in accordance with Charter Section 6.106; and

Chapter 12Y: San Francisco Slavery Disclosure Ordinance

- (5) the subordination or reorganization of debt held by the City.
- (b) Contracts, loans or grant agreements with a federal or state agency, if the application of this Chapter would violate, or be inconsistent with, the terms or conditions of any such grant, loan or contract, or with the instructions or directions of the applicable Federal or State agency.
- (c) Contracts for urgent litigation expenses, and agreements entered into pursuant to the settlement of legal proceedings.
- (d) Contracts for needed goods or services where the Director finds that such goods or services are available from only one source that is (1) willing to enter into a contract with the City on the terms and conditions established by the City and (2) not currently disqualified from doing business with the City.
- (e) Contracts entered into in emergency situations in which it is necessary to immediately procure commodities or services, or to make repairs to safeguard the lives or property of the citizens of the City, or the property of the City, or to maintain public health or welfare as a result of extraordinary conditions created by war, epidemic, natural disaster, or the breakdown of any plant, equipment, or structure in the City.
- (f) Contracts for a cumulative amount of \$5,000.00 or less per vendor in each fiscal year.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.4. Slavery Era Disclosure

- (a) Each Contractor providing: 1) insurance or insurance services; 2) financial services, or 3) textiles to the City, shall complete an affidavit verifying that the Contractor has searched through any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and has made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.
- (b) Each Contractor described above shall file an affidavit with the Director attesting to the search for relevant records, and stating whether the Contractor located any relevant records. If the Contractor located relevant records, the Contractor shall include in the affidavit: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and (3) the extent and nature of any Profits from the Slave Trade evidenced by the records.
- (c) Information contained in the affidavits shall be subject to public disclosure. The Director, after consultation with the City Attorney, shall, to the extent consistent with local, state, and federal law: (1) provide the affidavits to the public upon request, (2) provide an initial report to the Mayor and the Board of Supervisors, at an open public meeting no later than nine months following the effective date of this Ordinance, setting forth the number of affidavits received in the initial nine-month period, and summarizing the information contained in those affidavits; and (3) continue to provide such reports annually to the Board of Supervisors.

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- (d) After the effective date of this Ordinance, no new Contract or new Contract Amendment shall be binding upon the City until the Director receives the affidavit described above.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.5. Voluntary Contributions to Ameliorate the Effects of Slavery

- (a) The Controller shall establish an account for the collection of voluntary contributions from Contractors subject to this Ordinance, and from any other persons or entities, to be used to ameliorate the legacy of the Slavery Era on Persons Subjected to Slavery and their descendants.
- (b) The Director shall encourage all Contractors subject to this Ordinance to make voluntary contributions to the account.
- (c) The Director shall include in the report to the Board of Supervisors required by Section 12Y.4(c)(2), above, the amount of any contributions to the account collected during the first nine months after the effective date of this Ordinance. The Director, after consultation with the San Francisco African American Historical & Cultural Society, shall include in this initial report a recommendation for a method of determining how to expend monies contributed to the account.
- (d) The Director shall include a report on contributions to and expenditures from the account in each subsequent annual report required by Section 12Y.4(c)(3) of this Ordinance.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.6. Enforcement

- (a) All Contracts shall provide that in the event the Director finds that a Contractor has failed to file an affidavit as required by Section 12Y.4(a), or has willfully filed a false affidavit, the Contractor shall be liable for liquidated damages for each Contract in an amount equal to the Contractor's net profit on the Contract, 10 percent of the total amount of the Contract, or \$1,000.00, whichever is greatest, as determined by the Director. All Contracts shall also contain a provision in which the Contractor acknowledges and agrees that the liquidated damages assessed shall be payable to the City upon demand and may be set off against any monies due to the Contractor from any Contract with the City.
- (b) All Contracts shall require Contractors to maintain records necessary for monitoring their compliance with this Ordinance.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

Sec. 12Y.7. Severability

In the event that a court or agency of competent jurisdiction holds that federal or state law, rule or regulation invalidates any clause, sentence, paragraph or section of this Chapter or the application thereof to any person or circumstances, it is the intent of the Board of Supervisors that the court or agency sever such clause, sentence, paragraph or section so that the remainder of this Chapter shall remain in effect.

(Added by Ord. 275-06, File No. 060396, App. 11/17/2006)

APPENDIX B

Slavery Era Disclosure Ordinance Report, November 8, 2007

SLAVERY ERA DISCLOSURE ORDINANCE

CITY AND COUNTY OF SAN FRANCISCO

Report to the Mayor and Board of Supervisors



**Office of the City Administrator
November 8, 2007**

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EXECUTIVE SUMMARY

With the approval of the Slavery Disclosure Ordinance by the Board of Supervisors and the Mayor in November 2006, San Francisco joined with other jurisdictions throughout the United States in officially acknowledging the crime of African slavery and its ongoing ramifications for the descendants of slaves.

Pursuant to the ordinance, the City Administrator respectfully submits this Report, which, in addition to setting forth the information required by the Ordinance, represents an exceptional and productive community partnership deriving from the spirit of the Ordinance, as well as the intent.

In early March of 2007, I convened a workgroup to coordinate efforts required to implement the Ordinance. The workgroup create the affidavit form and provide any and all information necessary for the implementation of the ordinance. Participants included heads or representatives of departments and programs required by the ordinance to include disclosure requests with all new contracts and contract amendments: the Treasurer, the Director of the Office of Contract Administration, the Risk Manager and the Director of the Office of Public Finance. The African-American Historical and Cultural Society (AAHCS) were invited to participate as well.

My office developed an intern program to specifically assist in the preparation of this report. We worked with the AAHCS, local law schools and colleges to ensure the job announcements were distributed as widely as possible. Five students were selected and began work at the beginning of the summer.

Affidavits

We crafted an affidavit that would elicit the information necessary for us to determine whether vendors had been involved in the slave trade. Disclosure requests were issued to insurance brokers and financial institutions with which the City has contracts.

- Risk Management: ten requests; three affidavits returned each stating relevant records could not be located
- The Office of Public Finance: seven requests; two affidavits returned stating relevant records could not be located
- Treasurer/Tax Collector: ten requests; three affidavits on file; two affidavits returned stating relevant records had been located with historic information attached.

Fund

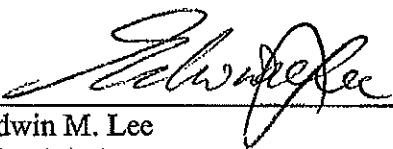
Pursuant to this ordinance, "...the Controller is required to establish an account for the collection of voluntary contributions from Contractors subject to the ordinance...to be used to ameliorate the legacy of the Slavery Era." The Controller has created the account. No funds have been deposited into this account as of this report.

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Implementation

My office shall continue to collaborate and coordinate with the AAHCS in the ongoing implementation of this ordinance. We shall continue to ensure that affidavits are sent to City contractors in the mandated fields. We shall also continue to work with the Treasurer's Office, the Office of Public Finance and the Risk Manager to ensure that the City and County maintains its legislative commitment to help to lay bare historic truths and ameliorate the effects of the "peculiar institution" on the descendants of slaves.

It has been a privilege to participate in such an extraordinary undertaking.



Edwin M. Lee
City Administrator

November 8, 2007

INTRODUCTION

San Francisco's Slavery Disclosure Ordinance puts the City among a growing group of municipalities with such laws, including Chicago (where the first slavery disclosure law was passed in 2004), Los Angeles, Milwaukee and Oakland. San Francisco Supervisors Sophie Maxwell and Ross Mirkarimi drafted the ordinance in 2006 after meeting with members of the City's African-American community. The Board of Supervisors approved the Ordinance on November 7, 2006 and Mayor Gavin Newsom signed it into law ten days later. It is codified at Section 12Y of the San Francisco Administrative Code.

Responsibility for implementation of this Ordinance is vested with the City Administrator who must ensure that contractors providing insurance, insurance services, financial services or textiles to the City disclose any participation in the slave trade by themselves or predecessor companies; that the Controller create an account to which contractors subject to the Ordinance and others may make voluntary contributions; and that monies donated to the account be used to fund economic development and educational initiatives designed to ameliorate the effects of slavery upon the residents of San Francisco.

The Ordinance also requires the City Administrator to present a report to the Mayor and Board of Supervisors within nine months, "setting forth the number of affidavits received in the initial nine-month period, and summarizing the information contained in those affidavits."

Owing to the nature of the Ordinance as well as its manifest requirements, the City Administrator's Office worked closely with the San Francisco African American Historical and Cultural Society (AAHCS) to create a community awareness of the ordinance and involvement in its implementation by:

- Regularly consulting with the AAHCS director with respect to the implementation process;
- Collaborating on the preparation of this report by sharing information, inviting AAHCS's participation in the workgroup, jointly performing outreach for the hiring of interns, including AAHCS representation in the intern selection process;
- Advertising, attending and participating in AAHCS lectures on African-American slavery, its repercussions and the reparations movement;
- Inviting the AAHCS to participate in the writing of this report.

In conjunction with professors at San Francisco State University and the University of San Francisco Law School, the City Administrator's Office developed an intern program with a two-fold purpose: to assist with research of slavery disclosure laws throughout the nation and to provide an opportunity for students to learn how responsive government could be to societal imperatives. Consequently, credit for the report's research belongs to the student interns whose names are listed in the appendices.

PROCESS

After the ordinance took effect, the City Administrator organized a work group that met twice a month to coordinate the process for implementation and the research required for this report. Included were the offices of Risk Management, Public Finance, the Treasurer, Contract Administration, and AAHCS.

Five interns - two law school students and three graduate students, were hired to research and assist in the preparation of this report. Throughout the summer, the interns investigated similar laws in other jurisdictions, interviewed people in the public and private sectors, assisted in managing incoming documents and did extensive factual research on contractors and their disclosures.

The work group participants reviewed new and renewing City contracts to determine the applicability of the ordinance. Because the textile industry was distinctly involved with the slave trade, it was included in the ordinance as an industry to research. However, the City does not buy textiles—it buys uniforms and other finished goods made of cloth. No textile companies will report under this ordinance if it is not applicable to them. Insurance and financial sectors, on the other hand, yielded significant data.

AFFIDAVITS: INSURANCE

Because the City has no direct contract with insurers, but only with insurance brokers, it can only apply the ordinance to the brokers. (See below for information on the California Slavery Era Insurance Registry, which applies to insurers.)

Risk Management requested affidavits from eleven insurance brokers. Three responded stating they could not locate relevant records:

- Aon: affidavit on file
- Marsh: affidavit on file
- Driver Alliant
- CIMA-VIS: affidavit on file
- Woodruff & Sawyer
- Willis
- Union Bank Insurance Services
- IMWS
- Municipal Insurance Services
- Armstrong

AFFIDAVITS: FINANCIAL SERVICES

The Office of Public Finance requested affidavits from seven banks with which the City and County has done business, requesting voluntary cooperation since they are exempted from the ordinance. Two of those banks returned affidavits declaring they found no records indicating involvement in the slave trade. While J.P. Morgan and US Bank have not yet responded to the information request, they have publicly announced their institutional connection to the slave trade in response to disclosure laws in other jurisdictions.

- E.J. De La Rosa (remarketing agent)
- Bear Stearns (remarketing agent): affidavit on file
- J.P. Morgan (remarketing agent)
- Deutsche Bank (trustee): affidavit on file
- US Bank (trustee)
- Bank of New York (trustee)
- Koch Financial (trustee)

The Treasurer requested affidavits from ten financial institutions. Two responded confirming that predecessor companies had been involved in the slave trade:

- Bank of America: affidavit on file
- Wells Fargo Bank: affidavit on file
- Union Bank of California
- US Bank: affidavit on file
- Citibank
- Mission National Bank
- Mission Area Federal Credit Union
- First National Bank of Northern California
- Yosemite Bank
- San Francisco Employee Credit Union

Bank of America filed an affidavit with the Treasurer stating it had found relevant records. Enclosed with the affidavit was a document entitled, "Report on Bank of America Predecessor Institutions Research Regarding Slavery and the Slave Trade," produced by Heritage Research Center, Ltd. (see Appendices). While claiming it did not "identify any instances or occasions in which any Bank of America legacy banks made a profit from slavery," Heritage did confirm a direct connection to slavery by Southern predecessor banks.

U.S. Bank National Association's affidavit also confirmed the location of relevant records. Like the Bank of America, U.S. Bank, through mergers and acquisitions, acquired southern banks founded before the abolition of slavery in 1865. It identified records of founders or directors of predecessor banks who owned slaves. It also identified a record showing the use of a slave as collateral for a loan. (See Appendices)

RESEARCH

Companies with Confirmed Ties

In March 2002, a class action suit was filed in U.S. District Court for the Eastern District of New York against three major corporations - FleetBoston Financial Corporation, insurer, Aetna Inc., and a railroad firm, CSX, accusing them of profiting from the slave trade before it was abolished. The plaintiffs lost the case but joined other reparation cases on appeal. The U.S. District Court of Appeals upheld the lower court's denial of the plaintiffs' standing. However, the publicity, along with the enactment of slavery era disclosure legislation throughout the nation, elicited formal apologies from major institutions as well as mitigations in the form of financial support for various African American causes.

In January 2005, J.P. Morgan Chase issued an apology to the descendants of slaves for its involvement in the slave trade and announced it was establishing a \$5 million scholarship program "Smart Start Louisiana" for African American students in Louisiana to attend college in their own state.

In a June 2005 press release, Wachovia issued an apology for its involvement with the slave trade. By the next month, Wachovia announced it would award \$10 million to the:

- Association for the Study of African-American Life and History
- National Humanities Center
- United Negro College Fund
- Thurgood Marshall Scholarship Fund
- NAACP
- National Urban League

In August 2005, Bank of America Chairman, Ken Lewis, announced that its predecessor banks were involved with the slave trade. "To acknowledge the importance of remembering this period in our nation's history," he pledged, "to expand [the Bank's] financial support, contributing \$5 million over a three-year period to institutions and programs involved in the preservation of African-American history."

In addition to the Bank of America, U.S. Bank, J.P Morgan and Wachovia, research revealed four other major companies that disclosed ties to the slave trade through slavery-disclosure laws in various jurisdictions: insurers Aetna, New York Life and AIG and financial-services companies ABN AMRO. The major corporations required to comply with slavery-disclosure laws commissioned historical-research companies such as the Winthrop Group, History Associates or Heritage Research, to research their history and prepare reports which were made public.

WORKING WITH THE AFRICAN AMERICAN HISTORICAL AND CULTURAL SOCIETY

The AAHCS served as a resource in the research and gathering of information for this report. The interns met with both the AAHCS and the Southeast Commission, as well as attended a series of lectures on the history of the reparations movement and slavery disclosure laws sponsored by the AAHCS.

DEVELOPMENT FUND

The provision of a fund to which contractors can make voluntary contributions is one of the innovative features of San Francisco's slavery-disclosure ordinance. The Controller has established the Slavery Era Disclosure Ordinance Fund. No contributions have been made at this writing. When a meaningful balance is achieved, the City Administrator will return to the Board of Supervisors for approval of disbursement standards. It is anticipated that the disbursements will be issued in the form of grants.

HISTORY AND SIMILAR LAWS

The Reparations Movement inspired slavery era disclosure legislation in cities throughout the nation. The attached spreadsheet summarizes the provisions of the laws and the differences between them; this section of the report provides narrative descriptions of the more noteworthy legislations.

CHICAGO: Chicago's slavery-disclosure ordinance was passed on October 2, 2002 and became effective on January 1, 2003. Any company seeking to do business with the City of Chicago must complete an Economic Disclosure Statement (EDS), which includes a slavery-disclosure affidavit. Wachovia, J. P. Morgan Chase, ABN AMRO, Lehman Brothers, LaSalle Bank, and UBS have all disclosed involvement in the slave trade.

OAKLAND: Oakland's slavery-disclosure ordinance is the only one, other than San Francisco's, to provide for a community-development fund. It operates much like San Francisco's: any party may make a voluntary contribution to the fund that is supervised by the City Administrator. The ordinance applies to a broad range of industries: insurance, finance, textiles, tobacco, railroads, shipping, rice and sugar. Non-compliant contractors are subject to contract termination.

MILWAUKEE: Milwaukee requires contractors to complete an affidavit confirming that they have researched all company and predecessor records in relation to investments or profits taken place during the slave era. The Department of Administration supervises the contractors. The penalty for non-compliance is contract termination.

Approximately 1,675 companies are listed in the slavery-disclosure affidavit log on the City of Milwaukee's website; affidavits are available by contacting the city. J. P. Morgan and U. S. Bank have both disclosed ties to the slave trade in documents that we have obtained.

LOS ANGELES: Los Angeles' slavery-disclosure ordinance took effect on October 15, 2003. The law applies to all contracts not exempted from the ordinance; exemptions include investment agreements, pension funds and emergency contracts. The Office of Contract Administration's Bureau of Contract Compliance is responsible for maintaining records and monitoring disclosures under the ordinance and lists the contractors that have filed affidavits under the ordinance on its website. There is no community development fund. So far, the only contractor who has disclosed ties to the slave trade is Aetna.

STATE OF CALIFORNIA: The California Slavery Era Insurance Registry law was the first statewide slavery-disclosure law applicable to insurance companies. It took effect on January 1, 2001. Only insurers are subject to the law - insurance brokers and agents are not. The Department of Insurance compiled the disclosures into a report in May of 2002 and continues to maintain files of the disclosures from both newly licensed and existing insurers.

The insurance commissions of Illinois and Iowa have prepared similar reports, both of which follow California's closely in both methods and results. The Illinois report was prepared pursuant to a law passed by the state legislature, whereas the Iowa report was compiled from voluntarily disclosed data.

DETROIT: Detroit's ordinance applies to "goods or services with which the City enters into a contract, whether or not the contract is subject to a competitive bid." The contract must be more than \$25,000. An affidavit is included in each contract package and is required to do business with the city. Vendors must complete an affidavit for each and every bid. Detroit does not have a database of affidavits. There is not a party, department, or group designated to issue a report. Detroit's ordinance affects the insurance, banking and finance, and textile industries.

Detroit's ordinance neither provides for a fund nor penalizes contractors who do not disclose in good faith. The Director of Finance may void the contract if it is later discovered that the contractor failed to comply with the terms of the ordinance. To date, Detroit has not had one company disclose any ties to or profits from the institution of slavery.

CLEVELAND: Cleveland does not have an ordinance. In December 2005, a resolution to enact a slavery disclosure ordinance was introduced but never passed.

PHILADELPHIA: Philadelphia's ordinance applies to insurance or financial institutions that enter into a contract, whether or not the contract is subject to a competitive bid. After execution of the contract, the contractor must complete an affidavit verifying that the contractor has searched all records. There is an annual report but no fund. Little has been done since the ordinance passed December 15, 2005. Philadelphia has just started sending out affidavits in the past eight months; therefore, no annual report is available.

BERKELEY: Council members Darryl Moore, Max Anderson, and Donna Spring introduced Berkeley's ordinance that passed in 2005. The insurance and finance industries are affected. The ordinance does not provide for a fund or require contractors to file affidavits. Any contractor providing insurance or financial services to the City of Berkeley must disclose any ties to slavery.

PENDING SLAVERY DISCLOSURE LAWS

New York City:

New York's Disclosure of Information Regarding Past Engagement in Slavery by City Contractors –0469-2006 has yet to be implemented. This Ordinance was introduced by NY's City Council November 15, 2006 and is currently pending. The local law, however, does state that a company doing business with the City of New York must search its past history to determine slavery ties.

North Carolina

North Carolina has yet to pass the State Contracts/Slavery Profits Bill. The bill was authored by Representative Larry Womble and passed the House in the 2005 General Assembly session. To become law, the bill must be passed by the state senate and be ratified. Although the Slavery Profits Bill has not been implemented, in 2007, North Carolina passed the House Joint Resolution 1311—General Assembly Regrets Slavery, which essentially apologizes for slavery.

RECOMMENDATIONS FOR IMPLEMENTATION

San Francisco's Slavery Era Disclosure Ordinance is somewhat narrower than other jurisdictions' legislation as it exempts several large classes of contractors likely to have had ties to the slave trade. The drafters of the Ordinance made these exceptions because they judged that including them could harm the City's interests, for example, by making its securities less attractive to bond underwriters. Some members of the work group suggested that while *requiring* bond providers to disclose involvement may be problematic, requests for *voluntary* disclosure would probably be markedly less so. This was confirmed by the City Attorney's office. It is assumed that the providers who would be most likely to disclose involvement would be those who have done so elsewhere.

The City Administrator will continue to implement this ordinance by: requiring affidavits from proscribed City contractors; maintaining a collaborative relationship with the AAHCS; and encouraging contributions to the fund.

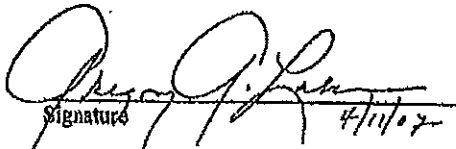
SLAVERY ERA DISCLOSURE AFFIDAVIT

I. I, GREGORY LOCHER, am the authorized representative and custodian of records of MARSH RISK & INSURANCE SERVICES (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

II. I have ~~not~~ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. This information is incorporated herein as if fully set forth.

III. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 11 day of APRIL, 2007 in SAN FRANCISCO (city), CALIFORNIA (state).


Signature 4/11/07

GREGORY LOCHER
Print Name

SENIOR VICE PRESIDENT
Title

MARSH RISK & INSURANCE SERVICES
Company Name



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Deborah Redmond, am the authorized representative and custodian of records of AcuRisk Services (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have ~~not~~ ^{caut p} located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 10 day of July, 2007, in Los Angeles (city), California (state).

Deborah Redmond
Signature

Deborah Redmond
Print name

VP - Human Resources
Title

Acu
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, VICTORIA BROOKS, am the authorized representative and custodian of records of THE CIMA COMPANIES (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 6th day of JUNE, 2007, in ALEXANDRIA (city), VIRGINIA (state).

Victoria Brooks
Signature

VICTORIA BROOKS
Print name

Account Executive
Title

THE CIMA COMPANIES
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Kenneth L. Edlow, am the authorized representative and custodian of records of The Bear Stearns Companies Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have ~~I~~ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched: This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 24 day of AUGUST, 2007, in New York (city), New York (state).

Kenneth L. Edlow
Signature

Kenneth L. Ed Low
Print name

Secretary
Title

The Bear Stearns Companies Inc.
Company name

City contract number (if known): _____

- Type of industry: financial services
 insurance
 textiles

Exhibit B: our Predecessor company WAS Founded in 1923. There are no 19th Century RECORDS. This is inapplicable.

See reverse for definitions.



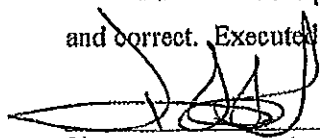
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Raafat A. Sarkis, am the authorized representative and custodian of records of Deutsche Bank National Trust Company (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 23rd day of August, 2007, in San Francisco (city), California (state).



Signature

Raafat A. Sarkis
Print name

Vice President
Title

Deutsche Bank National trust Company
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, KATHRYNE DANIELS am the authorized representative and custodian of records of BANK OF AMERICA (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 2 day of August, 2007, in SAN FRANCISCO (city), CALIFORNIA (state).

Kathryn A Daniels
Signature

- Type of industry: financial services
 insurance
 textiles

KATHRYNE DANIELS
Print name

Sr. Vice President
Title

BANK OF AMERICA
Company name

City contract number (if known): _____

See reverse for definitions.



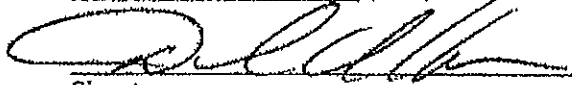
Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, DAVID KEPPEL am the authorized representative and custodian of records of U.S. BANK N.A. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 31 day of AUGUST, 2007, in SAN FRANCISCO(city), CALIFORNIA (state).


Signature

DAVID O. KEPPEL
Print name

VICE PRESIDENT
Title

U.S. BANK NATIONAL ASSOCIATION
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): N/A

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, KERI KOEHLER, am the authorized representative and custodian of records of WELLS FARGO + Co. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have ~~not~~ I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 25 day of SEPTEMBER, 2008, in SAN FRANCISCO (city), CALIFORNIA (state).

Keri Koehler
Signature

KERI KOEHLER
Print name

ARCHIVE MANAGER
Title

WELLS FARGO + Co.
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.

Exhibit B

Bank of Southern Minnesota

Banking House of Tallant & Wilde

Barrows, Millard & Co.

Central Overland California & Pike's Peak Express Company

F. Groos & Co.

First National Bank of Denver

First National Bank of Galesburg

First National Bank of Houghton

First National Bank of Marion

First National Bank of Marquette

First National Bank of Portland

First National Bank of Red Wing

First National Bank of Winona

Millard, Caldwell & Co.

Overland Mail Company

Pioneer Stage Company

San Francisco Accumulating Fund Association

San Francisco Savings Union

Savings and Loan Society of San Francisco

Tallant & Company

United National Bank

Wells Fargo & Co. Bank (San Francisco)

Wells Fargo & Co. Bank (Carson City)

Wells Fargo & Co. Bank (Virginia City)

Wells, Fargo & Company

INSTITUTIONAL HISTORIES

Please visit the City Administrator's website at <http://www.sfgov.org/site/cao>, under "Programs and Projects" to view copies of institutional histories for:

- U.S. Bank
- Bank of America

SUMMER 2007 STUDENT INTERNS

Amanda Clincy graduated from Howard University in Washington D.C, where she studied psychology. Ms. Clincy is currently in a Ph.D. program in psychology at the University of North Carolina.

Tiffany Cook graduated from San Francisco State University in 2007 with majors in political science and criminal justice. Ms. Cook is presently enrolled in a master's program in public policy at Mills College.

Cometria Cooper attended the University of California at Berkeley and majored in history and rhetoric. Ms. Cooper is currently attending the University of San Francisco's School of Law where she is co-president of the Black Law Student Association.

Adam Engelhart holds a bachelor's degree with comprehensive honors from the University of Wisconsin-Madison, with majors in computer science and mathematics. He is currently enrolled in his third year at UC Hastings College of the Law.

Autumn Mays is currently in her last semester at the University of San Francisco, studying sociology. After she graduates, Ms. Mays plans to pursue advanced degrees in criminology and law.

APPENDIX C

2013 Affidavits

Alliant Insurance Services, Inc.
Arthur J. Gallagher and Co.
Bickmore and Associates Inc.
Meketa Investment Group Inc.
Merriwether & Williams Insurance Services Inc.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Kenneth Zak, am the authorized representative and custodian of records of Alliant Insurance Services, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 16 day of May, 2013, in San Diego (city), CA (state).

[Signature]
Signature

Kenneth V. Zak
Print name

G.C. & S.V.P.
Title

Alliant Insurance Services, Inc
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.

Exhibit B

ENTITY

Alliant Holdings I, LP
Alliant Holdings Parent, Inc.
Alliant Holdings I, LLC
Alliant Holdings II, LLC
Alliant Holdings I, Inc.
Alliant Holdings II, Inc.
ARG Holdings, Inc.
Alliant Insurance Services, Inc.
Colonial Healthcare, Inc.
Franey Muha Alliant Insurance Services, Inc.
Benefit Partners-Alliant, Inc.
Kelter Alliant Insurance Services, Inc.
Affinity Insurance Services, LLC
FHI Benefit Plans, Inc.
Alliant Specialty Insurance Services, Inc.
Strategic HR Services, Inc.
Alliant Insurance Services Houston, LLC
Alliant Services Houston, Inc.
Clarity Benefit Consulting, LLC
Moore-McNeil, LLC
ClearPoint LP
Alliant ClearPoint GP, Inc.
Jon Donovan Tanner Insurance Agency, Inc.
T&H Group Inc.
T&H Brokers, Inc.
T&H Benefits LLC
Construction Insurance Brokers Corp.
RFF & Associates, Inc.
The Arlen Group, Inc.
Benefit Advisors Services Group, LLC
AlliantRe
Suremerica Surety Underwriting Services, LLC
SureCanada Surety Services, Inc.
Suremerica Surety Services Ltd



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Alice Youngbar, am the authorized representative and custodian of records of Arthur J. Gallagher & Co., Insurance Brokers of California, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 1st day of June, 2013, in Irvine, California.

Alice Youngbar
Signature

Alice Youngbar
Print name

Area President
Title

Arthur J. Gallagher & Co., Insurance Brokers of California, Inc.
Company name

City contract number (if known): _____

Type of industry: financial services
 insurance
 textiles

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Gregory L. Trout, am the authorized representative and custodian of records of ~~Poickmore and Associates, Inc.~~ (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 8th day of May, 2013, in San Francisco (city), California (state).

Gregory L. Trout
Signature

Gregory L. Trout
Print name

Chief Executive Officer
Title

Poickmore and Associates, Inc.
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Ingrid Merriwether, am the authorized representative and custodian of records of Merriwether & Williams Insurance Services, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.

2. I have located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 7th day of June, 2013, in San Francisco (city), California (state).

Signature: Ingrid Merriwether
Print name: Ingrid Merriwether

Type of industry: [] financial services
[X] insurance
[] textiles

President & CEO
Title

Merriwether & Williams Insurance Services, Inc.
Company name

City contract number (if known):

See reverse for definitions.



Slavery Era Disclosure Affidavit
(San Francisco Administrative Code Chapter 12Y)

1. I, Stephen P. McCourt, am the authorized representative and custodian of records of Meketa Investment Group, Inc. (Contractor). I have searched, or caused to be searched under my direction, any and all records in the Contractor's possession or control, including records of any parent or subsidiary entity or Predecessor Company, and have made a good faith effort to search any relevant records that are within the Contractor's knowledge but not within its possession or control, for evidence that the Contractor, its parent or subsidiary entity, or its Predecessor Company Participated in the Slave Trade or received Profits from the Slave Trade.*

2. I have I have not located relevant records. If I have located relevant records, I am attaching to this affidavit as Exhibit A: (1) the names of each Person Subjected to Slavery, each Slaveholder, and each person or entity who Participated in the Slave Trade or derived Profits from the Slave Trade, mentioned in the records, (2) a description of the type of transactions, services, or other acts evidenced by the records; and, (3) the extent and nature of any Profits from the Slave Trade evidenced by the records. If I have not located relevant records, then I am attaching to this affidavit as Exhibit B the names of each parent or subsidiary entity or Predecessor Company whose records I searched or caused to be searched. This information is incorporated herein as if fully set forth.

3. I understand that this affidavit shall be subject to public disclosure pursuant to state, local or federal law.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this 21st day of May, 2013, in Carlsbad (city), California (state).

[Signature]
Signature

Stephen P. McCourt
Print name

Managing Principal
Title

Meketa Investment Group, Inc.
Company name

Type of industry: financial services
 insurance
 textiles

City contract number (if known): _____

See reverse for definitions.

* This statement is subject to the attached memorandum.



MEMORANDUM

To: City and County of San Francisco
From: Meketa Investment Group, Inc.
Date: May 21, 2013
Re: Slavery Era Disclosure Affidavit

Meketa Investment Group, Inc. ("Contractor") was incorporated in the Commonwealth of Massachusetts on December 28, 1978, as "James Meketa Associates, Inc." Contractor's name was changed to "Meketa Investment Group, Inc." on August 16, 1994. The Contractor does not currently have and has never had any Predecessor Company. The Contractor does not currently have and has never had any parent entity. The Contractor does not currently have and has never had any subsidiary entity.

In light of the foregoing, no records in the Contractor's possession or control could possibly exist that would evidence that the Contractor (or any parent or subsidiary entity or Predecessor Company thereof) Participated in the Slave Trade or received Profits from the Slave Trade. Therefore, no records in Contractor's possession or control were searched or caused to be searched for evidence that the Contractor (or any parent or subsidiary entity or Predecessor Company thereof) Participated in the Slave Trade or received Profits from the Slave Trade.

