ZUCKERBERG SAN FRANCISCO GENERAL
Hospital and Trauma Center

Joint Conference Committee
Regulatory Affairs Status Report for June 2022 Meeting

I. PENDING SURVEYS

1.	**TJC Biennial Laboratory Accreditation**	Anticipate after June/July 2021
2.	**CMS 4A SNF Recertification**	Anticipate any time
3.	**CMS 4A SNF Fire Life Safety**	Anticipate 2-3 weeks after SNF Recertification Survey
4.	**CMS Dialysis Recertification**	Anticipate any time

II. COMPLETED SURVEYS

1.	**Survey**	CMS Complaint Validation Survey	5/17/2022	Deficiencies noted- CMS report received 6/16/2022 Anticipate deficiencies- CDPH report pending
2.	**TJC Complaint**	RN did not check BS resulting in patient having hypoglycemic seizures (ED)	2/22/2022	Response accepted; No Further Action Required
3.	**FRI**	Wrong Site Surgery OR Kidney Stent	6/8/2020	Closed- Received one deficiency
4.	**FRI**	Medication Error (ICU)	3/23/2021	Closed- Received one deficiency
5.	**TJC Complaint**	Staffing ratios, GI fellows/residents as assists, RN disruption in monitoring for mod. sedation (Endoscopy)	(date not specified)	Response accepted; No Further Action Required

III. PLANS OF CORRECTION

1. FRI Wrong Site Surgery OR Kidney Stent — 5/27/2022 — Anticipate Approval

Action(s): -Implementation of radiopaque stickers to be used for skin site marking in all Urology Endoscopic procedures.
- Education completed for all URO faculty, fellows and residents.
- Education included in orientation slide deck for new URO residents.

2. FRI Medication Error (ICU) — 6/9/2022 — Pending Approval

Action(s): -Reeducation for providers, pharmacy and nursing to ensure the date and time are correct when a medication order is modified.
- Case presentation and education at MERP and will be presented during the Med-Surg Annual Nursing Update.
- Creation and education of a Best Practice Advisory pop-up to state the antimicrobial has been ordered every 24° (or 48°) an to carefully review the MAR to confirm date and time.
- Creation of ZSFG Pharmacy policy for Adult Antibiotic Renal Dose Adjustment per pharmacy protocol (a newly modified process for antibacterial review), development of this build in EPIC and education of the process to pharmacy, providers and nursing.

IV. OPEN SITE VISITS – Investigation commenced by CDPH

1.	**Complaint**	ED Staffing	1/2/2020	Investigation resumed by different surveyor 6/08/21
2.	**FRI**	Patient Death following Med Error	4/17/2020	Ongoing – Anticipate Deficiencies
3.	**FRI**	Wrong Site Surgery OR Kidney Stent	4/5/2020	Documents Requested
4.	**Privacy Breach**	7L	3/15/2020	Pending Visit
5.	**Privacy Breach**	HIS	4/24/2020	Pending Visit
6.	**ABUSE**	Allegation of Staff to Resident Abuse (4A)	6/1/2020	Documents Requested
7.	**ABUSE**	Allegation of Staff to Resident Abuse (4A)	7/17/2020	Documents Requested
8.	**FRI**	Fall sustaining fractured mandible (H54)	8/13/2020	Documents Requested

9.	**FRI**	Fall (4A)	9/8/2020	Pending Visit
10.	**Privacy Breach**	Appointment information sent to wrong patient (3D)	9/8/2020	Pending Visit
11.	**Privacy Breach**	PHI sent via email to incorrect patient	10/4/2020	Information Requested
12.	**FRI**	Alleged Sexual Assault (7B)	11/10/2020	Pending Visit
13.	**Privacy Breach**	Test Result provided to patient and relative overheard (ED)	11/02/2020	Pending Visit
14.	**FRI**	HAPI (H34)	11/17/2020	Pending Visit
15.	**FRI**	**Fall with Injury (4A)**	**2/10/2021**	**On-site Visit 5/27/22; Request for Int & Records 6/3/22**
16.	**Privacy Breach**	Allegation that PHI was released without consent (PES)	7/16/2020	Pending Visit
17.	**Privacy Breach**	Staff provided information via phone without consent (7B)	1/29/2021	Information Requested
18.	**Privacy Breach**	Medication given to wrong patient (PACU)(drug was correct drug)	2/2/2021	Information Requested
19.	**Privacy Breach**	Misplaced patient list and labels (H76/78)	2/10/2021	Information Requested
20.	**Privacy Breach**	Appointment slip given to wrong patient (7B)	2/12/2021	Information Requested
21.	**Privacy Breach**	Medication given to wrong patient (H25)(drug was correct drug)	2/20/2021	Information Requested
22.	**Abuse**	Resident to Resident (4A)	4/7/2021	Pending Visit
23.	**FRI**	Product/Device – Blood Transfusion Event (IR)	4/3/2021	Pending Visit
24.	**FRI**	HAPI Right Heel, (H54)	4/8/2021	Pending Visit
25.	**FRI**	HAPI Left Heel (H62)	4/22/2021	Pending Visit
26.	**Privacy Breach**	Merged information records with a different patient	4/23/2021	Pending Visit
27.	**Complaint**	Infection Control (4M)	6/10/2021	**On-site visit 5/27/22; Verbal no deficiencies**
28.	**FRI**	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents Requested
29.	**Privacy Breach**	Patient's EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
30.	**Privacy Breach**	Merged information records with a different patient	5/7/2021	Pending Visit
31.	**FRI**	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
32.	**FRI**	COVID-19 Outbreak Notification (7B)	7/25/2021	Verbal- No deficiencies; supervisor approved
33.	**FRI**	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
34.	**Complaint**	Consumer complaint regarding a physician	8/10/2021	Pending Visit
35.	**FRI**	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
36.	**FRI**	Elopement/AWOL (ED)	8/30/2021	Verbal- No deficiencies; pending approval
37.	**Privacy Breach**	Mis-mailed PHI regarding upcoming procedure, (3D)	9/1/2021	Pending Visit
38.	**FRI**	**Alleged Staff to Patient Sexual Assault (H54)**	**9/15/2021**	**On-site visit 5/27/22; Verbal no deficiencies**
39.	**FRI**	Elopement/AWOL (H34)	9/18/2021	Pending Visit
40.	**FRI**	**Fall with intertrochanteric fracture (7C)**	**10/7/2021**	**On-site visit 5/27/22; Interview on 6/8/22**
41.	**FRI**	HAPI Unstageable coccyx and left ischium	9/29/2021	Verbal- No deficiencies; pending approval
42.	**FRI**	Retained penrose drain from surgery in May 2020	11/2/2021	Documents and Interview Requested
43.	**FRI**	Allegation of abuse by family member (H42)	4/1/2021	Pending Visit
44.	**FRI**	Use of Force (7L)	1/5/2022	Pending Visit
45.	**FRI**	Elopement/AWOL ED	1/6/2022	Pending Visit

46.	**FRI**	Patient to Patient Sexual Assault (7B)	1/11/2022	Pending Visit
47.	**Privacy Breach**	Unauthorized disclosure by staff (H32)	12/8/2021	Pending Visit
48.	**FRI**	HAPI Stage 3 on coccyx and left ischium (H78)	1/19/2022	Pending Visit
49.	**FRI**	4A COVID Outbreak Notification	1/20/2022	Documents Requested
50.	**FRI**	Procedure performed on the wrong patient (4M)	1/24/2022	Pending Visit
51.	**FRI**	HAPI unstageable coccyx (H54)	2/7/2022	Pending Visit
52.	**Privacy Breach**	Mis-handed Patient Itinerary (5M)	2/9/2022	Pending Visit
53.	**Privacy Breach**	Unauthorized access to medical record by 2 staff members (NICU)	1/24/2022	Pending Visit
54.	**FRI**	HAPI unstageable to sacrum (H76)	2/25/2022	Pending Visit
55.	**FRI**	HAPI unstageable to coccyx	3/7/2022	Pending Visit
56.	**FRI**	Unusual Occurrence- Protestors in (6G)	3/15/2022	Actions Requested
57.	**Privacy Breach**	Unauthorized access into multiple patient records (DoCC)	3/24/2022	Pending Visit
58.	**FRI**	Patient to Patient Sexual Assault (7B)	3/30/2022	Pending Visit
59.	**FRI**	Elopement/AWOL (7B)	4/4/2022	Pending Visit
60.	**FRI**	Fall with subdural bleed (7C)	4/15/2022	Pending Visit
61.	**FRI**	Patient to Patient Sexual Assault (7B)	4/15/2022	Referred to TJC for RCA Review
62.	**FRI**	Retained Foreign Object- Wound Vac Sponge (H42/44)	3/14/2021	Pending Visit
63.	**Privacy Breach**	Unauthorized access impacting 61 patient files (UCSF Research)	1/27-3/17/22	Pending Visit
64.	**FRI**	Unusual Occurrence- Patient injury during transport: tibia fx	3/27/2022	Pending Visit
65.	**FRI**	Elopement/AWOL (H54)	5/5/2022	Pending Visit

V. NEW FACILITY REPORTED INCIDENTS (FRI)

COMPLAINT: submitted by public. **FRI**: Facility Reported Incident. **ABUSE**: Long Term Care CMS self report

1.	**FRI**	HAPI Stage 3 mid-sacrum (H62/64)	5/18/2022
2.	**FRI**	Resident to resident altercation water throwing (4A)	5/17/2022
3.	**FRI**	B5 Fire in Non-Patient Care Area	5/11/2022

VI. PLAN OF CORRECTION MONITORING DATA

Deferred to July

VII.

CMS Complaint Validation Statement of Deficiencies- Findings	5/9-5/17/22	Received 6/16/2022
-Did not follow provisions in the Medical Staff Bylaws regarding proctoring.	Condition	Will have a re-visit survey for Medical Staff CoP
-Did not provide departmental reports to the Credentials Committee regarding OPPE per Medical Staff Bylaws	Standard	
-Clinical privileges granted all-encompassing general core privileges including, but not limited to those surgical procedures which were enumerated.	Standard	
-Incorrect URL listed for the Lab Manual in the scope of service	Standard	
-Did not have medical staff review of Anatomic Pathology policy 1.3, Specimens to Pathology and Gross Only Cases	Standard	

CDPH Complaint Validation Statement of Deficiencies- Anticipated Findings from Exit 5/9-5/17/2022 Report Pending

-Did not sequester malfunctioning device, blood products and related supplies after an event, per policy.
-Delayed notification of patient death to the Medical Examiner's office, not per policy.
-Multiple patients were able to sign, however, had verbal consents obtained for the Conditions of Admission.
-1 staff member were not current with their annual TB screeing.
-2 staff members were not current with their annual FIT testing.
-1 staff member was not current with their annual e-Learning training.
-3 staff members were not current with annual HIPAA training.