

## Joint Conference Committee Regulatory Affairs Status Report for April 2022 Meeting

### I. PENDING SURVEYS

- |   |   |
|---|---|
| 1. <b>TJC Biennial Laboratory Accreditation</b> | Anticipate after June/July 2021                       |
| 2. <b>CMS 4A SNF Recertification</b>            | Anticipate any time                                   |
| 3. <b>CMS 4A SNF Fire Life Safety</b>           | Anticipate 2-3 weeks after SNF Recertification Survey |
| 4. <b>CMS Dialysis Recertification</b>          | Anticipate any time                                   |

### II. COMPLETED SURVEYS

- |                          |  |               |                         |
|--------------------------|--|---------------|-------------------------|
| 1. <b>Survey</b>         | DHCS State Annual Inspection- OTOP                   | 3/8-3/16/2022 | Anticipate deficiencies |
| 2. <b>Privacy Breach</b> | Mis-handed Appointment Itinerary & PHI disclosure 4M | 1/24/2022     | Closed- No Deficiencies |
| 3. <b>FRI</b>            | HAPI H38   | 8/19/2020     | Closed- No Deficiencies |
| 4. <b>FRI</b>            | Elopement/AWOL ED                                    | 8/18/2021     | Closed- No deficiencies |
| 5. <b>FRI</b>            | GI perforation post G-tube placement                 | 7/25/2021     | Closed- No deficiencies |

### III. PLANS OF CORRECTION

None

### IV. OPEN SITE VISITS – Investigation commenced by CDPH

- |                           |   |                  |   |
|---------------------------|---|------------------|---|
| 1. <b>Complaint</b>       | ED Staffing   | 1/2/2020         | Investigation resumed by different surveyor 6/08/21     |
| 2. <b>FRI</b>             | Patient Death following Med Error   | 4/17/2020        | Ongoing – Anticipate Deficiencies                       |
| 3. <b>FRI</b>             | Wrong Site Surgery OR Kidney Stent  | 4/5/2020         | Documents Requested                                     |
| 4. <b>Privacy Breach</b>  | 7L  | 3/15/2020        | Pending Visit   |
| 5. <b>Privacy Breach</b>  | HIS   | 4/24/2020        | Pending Visit   |
| 6. <b>ABUSE</b>           | Allegation of Staff to Resident Abuse 4A                                      | 6/1/2020         | Documents Requested                                     |
| 7. <b>FRI</b>             | <b>Wrong Site Surgery OR Kidney Stent</b>                                     | <b>6/8/2020</b>  | <b>Verbal- Sub. With deficiencies; pending approval</b> |
| 8. <b>ABUSE</b>           | Allegation of Staff to Resident Abuse 4A                                      | 7/17/2020        | Documents Requested                                     |
| 9. <b>FRI</b>             | Fall sustaining fractured mandible H54  | 8/13/2020        | Documents Requested                                     |
| 10. <b>FRI</b>            | Fall, 4A  | 9/8/2020         | Pending Visit   |
| 11. <b>Privacy Breach</b> | 3D – appointment information sent to wrong patient                            | 9/8/2020         | Pending Visit   |
| 12. <b>Privacy Breach</b> | PHI sent via email to incorrect patient                                       | 10/4/2020        | Information Requested                                   |
| 13. <b>FRI</b>            | Alleged Sexual Assault 7B   | 11/10/2020       | Pending Visit   |
| 14. <b>Privacy Breach</b> | Test Result provided to patient and relative overheard ED                     | 11/02/2020       | Pending Visit   |
| 15. <b>FRI</b>            | HAPI H34  | 11/17/2020       | Pending Visit   |
| 16. <b>FRI</b>            | <b>Fall with Injury 4A</b>  | <b>2/10/2021</b> | <b>Documents Requested</b>                              |
| 17. <b>Privacy Breach</b> | PES Allegation that PHI was released without consent                          | 7/16/2020        | Pending Visit   |
| 18. <b>Privacy Breach</b> | 7B staff provided information via phone without consent                       | 1/29/2021        | Information Requested                                   |
| 19. <b>Privacy Breach</b> | PACU medication given to wrong patient <small>(drug was correct drug)</small> | 2/2/2021         | Information Requested                                   |
| 20. <b>Privacy Breach</b> | H76/78 Misplaced patient list and labels                                      | 2/10/2021        | Information Requested                                   |

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<b>21. Privacy Breach</b>	7B appointment slip given to wrong patient	2/12/2021	Information Requested
<b>22. Privacy Breach</b>	H25 medication given to wrong patient (drug was correct drug)	2/20/2021	Information Requested
<b>23. FRI</b>	<b>Medication Error ICU</b>	<b>3/23/2021</b>	<b>On-site visit- 4/14/22</b>
<b>24. Abuse</b>	Resident to Resident 4A	4/7/2021	Pending Visit
<b>25. FRI</b>	Product/Device – Blood Transfusion Event IR	4/3/2021	Pending Visit
<b>26. FRI</b>	HAPI Right Heel, H54	4/8/2021	Pending Visit
<b>27. FRI</b>	HAPI Left Heel H62	4/22/2021	Pending Visit
<b>28. Privacy Breach</b>	Merged information records with a different patient	4/23/2021	Pending Visit
<b>29. Complaint</b>	Infection Control – 4M	6/10/2021	Pending Visit
<b>30. FRI</b>	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents Requested
<b>31. Privacy Breach</b>	Patient’s EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
<b>32. Privacy Breach</b>	Merged information records with a different patient	5/7/2021	Pending Visit
<b>33. FRI</b>	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
<b>34. FRI</b>	COVID-19 Outbreak Notification 7B	7/25/2021	Verbal- No deficiencies; supervisor approved
<b>35. FRI</b>	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
<b>36. Complaint</b>	Consumer complaint regarding a physician	8/10/2021	Pending Visit
<b>37. FRI</b>	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
<b>38. FRI</b>	Elopement/AWOL ED	8/30/2021	Verbal- No deficiencies; pending approval
<b>39. Privacy Breach</b>	Mis-mailed PHI regarding upcoming procedure, 3D	9/1/2021	Pending Visit
<b>40. FRI</b>	Alleged Staff to Patient Sexual Assault H54	9/15/2021	Documents Requested
<b>41. FRI</b>	Elopement/AWOL H34	9/18/2021	Pending Visit
<b>42. FRI</b>	Fall with intertrochanteric fracture 7C	10/7/2021	Documents Requested
<b>43. FRI</b>	HAPI Unstageable coccyx and left ischium	9/29/2021	Verbal- No deficiencies; pending approval
<b>44. FRI</b>	<b>Retained penrose drain from surgery in May 2020</b>	<b>11/2/2021</b>	<b>Documents Requested</b>
<b>45. FRI</b>	Allegation of abuse by family member (H42)	4/1/2021	Pending Visit
<b>46. FRI</b>	Use of Force (7L)	1/5/2022	Pending Visit
<b>47. FRI</b>	Elopement/AWOL ED	1/6/2022	Pending Visit
<b>48. FRI</b>	Patient to Patient Sexual Assault 7B	1/11/2022	Pending Visit
<b>49. Privacy Breach</b>	Unauthorized disclosure by staff H32	12/8/2021	Pending Visit
<b>50. FRI</b>	HAPI Stage 3 on coccyx and left ischium H78	1/19/2022	Pending Visit
<b>51. FRI</b>	4A COVID Outbreak Notification	1/20/2022	Documents Requested
<b>52. FRI</b>	Procedure performed on the wrong patient 4M	1/24/2022	Pending Visit
<b>53. FRI</b>	HAPI unstageable coccyx H54	2/7/2022	Pending Visit
<b>54. Privacy Breach</b>	Mis-handed Patient Itinerary 5M	2/9/2022	Pending Visit
<b>55. Privacy Breach</b>	Unauthorized access to medical record by 2 staff members NICU	1/24/2022	Pending Visit
<b>56. FRI</b>	HAPI unstageable to sacrum H76	2/25/2022	Pending Visit
<b>57. FRI</b>	HAPI unstageable to coccyx	3/7/2022	Pending Visit

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58. FRI	Unusual Occurrence- Protestors in 6G	3/15/2022	Actions Requested
<b>V. NEW FACILITY REPORTED INCIDENTS (FRI)</b>			
		<small>COMPLAINT: submitted by public.</small>	<small>FRI: Facility Reported Incident. ABUSE: Long Term Care CMS self report</small>
1. <b>Privacy Breach</b>	Unauthorized access into multiple patient records DoCC	3/24/2022	
2. <b>FRI</b>	Patient to Patient Sexual Assault 7B	3/30/2022	
3. <b>FRI</b>	Elopement/AWOL 7B	4/4/2022	
4. <b>TJC Complaint</b>	Unsafe working conditions due to broken dumbwaiter in SPD	3/21/2022	
5. <b>TJC Complaint</b>	RN did not check BS resulting in patient having hypoglycemic seizures	4/5/2022	
6. <b>Privacy Breach</b>	Document with PHI mis-handed to wrong patient DoCC	2/8/2022	
7. <b>FRI</b>	Fall with subdural bleed 7C	4/15/2022	
8. <b>FRI</b>	Patient to Patient Sexual Assault 7B	4/15/2022	

VI.	DHCS State Annual Licensing Survey- OTOP	Pending receipt of official written report
	Preliminary findings involved:	
	<ul style="list-style-type: none"> <li>One minor finding regarding the multiple registration form. There is a box for alias, a box for distinguished marks and a box for N/A. The inspector stated an N/A box is needed for alias and a separate N/A box is needed for distinguished marks.</li> <li>One possible finding with decision pending involves waiving observed dosing due to COVID-19 and social distancing. The inspector's question surrounds who has the authority to waive observed dosing.</li> </ul>	

VII. PLAN OF CORRECTION MONITORING DATA		
<u>Joint Commission Hospital Accreditation Program Survey</u>		
Interpreter Use Documentation	Compliance is <span style="background-color: red; color: white;">&lt;90%</span>	<span style="color: red;">Continue to monitor</span> D. Staconis Bilingual staff may not be charting their own interpretation -Staff re-education and coaching to improve documentation of interpreter use Potential mismatch of Story Board Language Information and Actual Language Needs -Will conduct further audits for deep dive in accuracy of Story Board language flag Staff unaware of locations of interpreter template in Epic
PCP Notification Process Upon Admission	Compliance is <span style="background-color: green; color: white;">&gt;90%</span> for 3 months	Monitoring completed O. Carvallo