

# Joint Conference Committee Regulatory Affairs Status Report for August 2022 Meeting

## I. PENDING SURVEYS

- |  |   |
|--|---|
| 1. <b>CMS 4A SNF Recertification</b>   | Anticipate any time                                   |
| 2. <b>CMS 4A SNF Fire Life Safety</b>  | Anticipate 2-3 weeks after SNF Recertification Survey |
| 3. <b>CMS Dialysis Recertification</b> | Anticipate any time                                   |

## II. COMPLETED SURVEYS

- |               |   |                                  |
|---------------|---|----------------------------------|
| 1. <b>FRI</b> | Retained penrose drain from surgery in May 2020 | 11/2/21 Verbal with Deficiencies |
|---------------|---|----------------------------------|

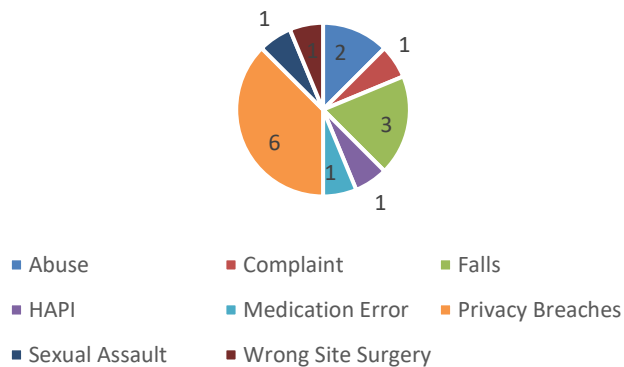
## III. PLANS OF CORRECTION

- |               |   |          |                              |
|---------------|---|----------|------------------------------|
| 1. <b>FRI</b> | Product/Device – Blood Transfusion Event (IR) | 4/3/2021 | Deficiencies as listed below |
|---------------|---|----------|------------------------------|

## IV. OPEN SITE VISITS – Investigation commenced by CDPH

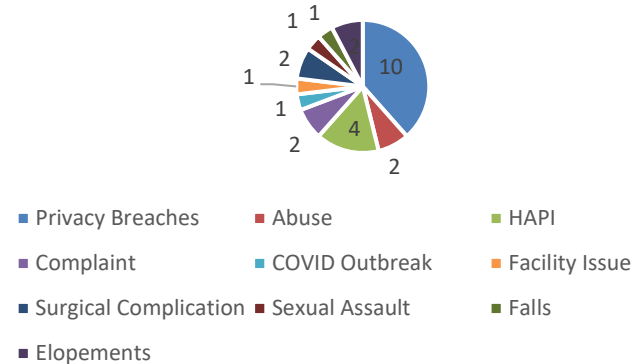
### 2020 Open Cases 16 Open Cases

2020 Outstanding Events Category



### 2021 Open Cases 26 Open Cases

2021 Outstanding Events Category



### 2022 Open Cases

- |                          |   |           |                     |
|--------------------------|---|-----------|---------------------|
| 1. <b>FRI</b>            | Use of Force (7L)   | 1/5/2022  | Pending Visit       |
| 2. <b>FRI</b>            | Elopement/AWOL ED   | 1/6/2022  | Pending Visit       |
| 3. <b>FRI</b>            | Patient to Patient Sexual Assault (7B)                          | 1/11/2022 | Pending Visit       |
| 4. <b>FRI</b>            | HAPI Stage 3 on coccyx and left ischium (H78)                   | 1/19/2022 | Pending Visit       |
| 5. <b>FRI</b>            | 4A COVID Outbreak Notification                                  | 1/20/2022 | Documents Requested |
| 6. <b>FRI</b>            | Procedure performed on the wrong patient (4M)                   | 1/24/2022 | Pending Visit       |
| 7. <b>FRI</b>            | HAPI unstageable coccyx (H54)                                   | 2/7/2022  | Pending Visit       |
| 8. <b>Privacy Breach</b> | Mis-handed Patient Itinerary (5M)                               | 2/9/2022  | Pending Visit       |
| 9. <b>Privacy Breach</b> | Unauthorized access to medical record by 2 staff members (NICU) | 1/24/2022 | Pending Visit       |
| 10. <b>FRI</b>           | HAPI unstageable to sacrum (H76)                                | 2/25/2022 | Pending Visit       |
| 11. <b>FRI</b>           | HAPI unstageable to coccyx                                      | 3/7/2022  | Pending Visit       |
| 12. <b>FRI</b>           | Unusual Occurrence- Protestors in (6G)                          | 3/15/2022 | Actions Requested   |

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13. <b>Privacy Breach</b>	Unauthorized access into multiple patient records (DoCC)	3/24/2022	Pending Visit
14. <b>FRI</b>	Patient to Patient Sexual Assault (7B)	3/30/2022	Pending Visit
15. <b>FRI</b>	Elopement/AWOL (7B)	4/4/2022	Pending Visit
16. <b>FRI</b>	Fall with subdural bleed (7C)	4/15/2022	Pending Visit
17. <b>FRI</b>	Patient to Patient Sexual Assault (7B)	4/15/2022	Referred to TJC for RCA Review
18. <b>FRI</b>	Retained Foreign Object- Wound Vac Sponge (H42/44)	3/14/2021	Pending Visit
19. <b>Privacy Breach</b>	Unauthorized access impacting 61 patient files (UCSF Research)	1/27-3/17/22	Pending Visit
20. <b>FRI</b>	Unusual Occurrence- Patient injury during transport: tibia fx	3/27/2022	Pending Visit
21. <b>FRI</b>	Elopement/AWOL (H54)	5/5/2022	Pending Visit
22. <b>FRI</b>	HAPI Stage 3 mid-sacrum (H62/64)	5/18/2022	Pending Visit
23. <b>FRI</b>	Resident to resident altercation water throwing (4A)	5/17/2022	Pending Visit
24. <b>FRI</b>	B5 Fire in Non-Patient Care Area	5/11/2022	Pending Visit
25. <b>FRI</b>	7B COVID Outbreak Notification	6/4/2022	Pending Visit
26. <b>FRI</b>	HAPI unstageable to coccyx (H38)	6/30/2022	Pending Visit
27. <b>FRI</b>	H76/78 COVID Outbreak Notification	6/30/2022	Pending Visit
28. <b>FRI</b>	Resident to resident verbal threat of using firearm (4A)	7/8/2022	Pending Visit
29. <b>FRI</b>	Resident allegation of sexual misconduct and drug dealing (4A)	7/11/2022	Pending Visit
30. <b>Privacy Breach</b>	Staff recorded 2 videos involving a patient and law enforcement (breezeway between B25 & B5)	6/9/2022	Pending Visit

<b>V. NEW FACILITY REPORTED INCIDENTS (FRI)</b>		<b>COMPLAINT:</b> submitted by public.	<b>FRI:</b> Facility Reported Incident.	<b>ABUSE:</b> Long Term Care CMS self report
1. FRI	HAPI Unstageable to coccyx ( H54)		6/14/2022	
2. FRI	Elopment/AWOL (ED)		7/24/2022	
3. FRI	Elopment/Awol ( ED)		7/27/2022	
4. Privacy Breach	Patient insurance information was sent to the wrong patient		7/12/2022	
5. Privacy Breach	Patient received the wrong AVS of another patient		7/24/2022	
6. Privacy Breach	OR nurse took photos of two patients without consent		11/8/2021	

<b>VI. PLAN OF CORRECTION MONITORING DATA</b>	
August ALCC occurs on the 28 <sup>th</sup> of the month, Data will be submitted in the September LCC Report	

<b>VII. Plan of Correction summary</b>	
<b>FRI</b>	<b>Retained penrose drain from surgery in May 2020 – investigated as part of CM&lt;S Complaint Validation Survey</b>
	<ul style="list-style-type: none"> <li>• Did not sequester malfunctioning device, blood products and related supplies after an event, per policy.</li> <li>• Delayed notification of patient death to the Medical Examiner’s office, not per policy.</li> <li>• Signature process for Conditions of Admission when patient unable to sign</li> <li>• 1 staff member were not current with their annual TB screening.</li> <li>• 2 staff members were not current with their annual FIT testing.</li> <li>• 1 staff member was not current with their annual e-Learning training.</li> <li>• 3 staff members were not current with annual HIPAA training.</li> </ul>