

Joint Conference Committee Regulatory Affairs Status Report for February 2022 Meeting

I. PENDING SURVEYS

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|---|---|
| 1. TJC Biennial Laboratory Accreditation | Anticipate after June/July 2021 |
| 2. CMS 4A SNF Recertification | Anticipate any time |
| 3. CMS 4A SNF Fire Life Safety | Anticipate 2-3 weeks after SNF Recertification Survey |
| 4. CMS Dialysis Recertification | Anticipate any time |
| 5. DHCS OTOP | March 7, 2022 |

II. COMPLETED SURVEYS

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| 1. FRI | HAPI Stage 3 coccyx H62 | 9/28/2021 | Closed- No Deficiencies |
| 2. FRI | HAPI Stage 3 parietal H56 | 9/28/2021 | Closed- No Deficiencies |
| 3. Privacy Breach | Unauthorized disclosure of a staff member's PHI, 6M | 8/26/2021 | Closed- No Deficiencies |
| 4. FRI | HAPI Unstageable left hip H44 | 10/25/2021 | Closed- No Deficiencies |

III. PLANS OF CORRECTION

None

IV. OPEN SITE VISITS – Investigation commenced by CDPH

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|---------------------------|---|-----------------|---|
| 1. Complaint | ED Staffing | 1/2/2020 | Investigation resumed by different surveyor 6/08/21 |
| 2. FRI | Patient Death following Med Error | 4/17/2020 | Ongoing – Anticipate Deficiencies |
| 3. FRI | Wrong Site Surgery OR Kidney Stent | 4/5/2020 | Documents Requested |
| 4. Privacy Breach | 7L | 3/15/2020 | Pending Visit |
| 5. Privacy Breach | HIS | 4/24/2020 | Pending Visit |
| 6. ABUSE | Allegation of Staff to Resident Abuse 4A | 6/1/2020 | Documents Requested |
| 7. FRI | Wrong Site Surgery OR Kidney Stent | 6/8/2020 | Documents Requested |
| 8. ABUSE | Allegation of Staff to Resident Abuse 4A | 7/17/2020 | Documents Requested |
| 9. FRI | HAPI H38 | 8/19/2020 | Verbal no deficiencies-pending approval |
| 10. FRI | Fall sustaining fractured mandible H54 | 8/13/2020 | Documents Requested |
| 11. FRI | Fall, 4A | 9/8/2020 | Pending Visit |
| 12. Privacy Breach | 3D – appointment information sent to wrong patient | 9/8/2020 | Pending Visit |
| 13. Privacy Breach | PHI sent via email to incorrect patient | 10/4/2020 | Information Requested |
| 14. FRI | Alleged Sexual Assault 7B | 11/10/2020 | Pending Visit |
| 15. Privacy Breach | Test Result provided to patient and relative overheard ED | 11/02/2020 | Pending Visit |
| 16. FRI | HAPI H34 | 11/17/2020 | Pending Visit |
| 17. FRI | Fall with Injury 4A | 2/10/2021 | Documents Requested |
| 18. Privacy Breach | PES Allegation that PHI was released without consent | 7/16/2020 | Pending Visit |
| 19. Privacy Breach | 7B staff provided information via phone without consent | 1/29/2021 | Information Requested |

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20. Privacy Breach	PACU medication given to wrong patient (drug was correct drug)	2/2/2021	Information Requested
21. Privacy Breach	H76/78 Misplaced patient list and labels	2/10/2021	Information Requested
22. Privacy Breach	7B appointment slip given to wrong patient	2/12/2021	Information Requested
23. Privacy Breach	H25 medication given to wrong patient (drug was correct drug)	2/20/2021	Information Requested
24. FRI	Medication Error ICU	3/23/2021	Pending Visit
25. Abuse	Resident to Resident 4A	4/7/2021	Pending Visit
26. FRI	Product/Device – Blood Transfusion Event IR	4/3/2021	Pending Visit
27. FRI	HAPI Right Heel, H54	4/8/2021	Pending Visit
28. FRI	HAPI Left Heel H62	4/22/2021	Pending Visit
29. Privacy Breach	Merged information records with a different patient	4/23/2021	Pending Visit
30. Complaint	Infection Control – 4M	6/10/2021	Pending Visit
31. FRI	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents requested
32. Privacy Breach	Patient's EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
33. Privacy Breach	Merged information records with a different patient	5/7/2021	Pending Visit
34. FRI	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
35. FRI	GI perforation post G-tube placement	7/25/2021	On-site Visit/Documents Requested
36. FRI	COVID-19 Outbreak Notification 7B	7/25/2021	Verbal- No deficiencies; supervisor approved
37. FRI	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
38. Complaint	Consumer complaint regarding a physician	8/10/2021	Pending Visit
39. FRI	Elopement/AWOL ED	8/18/2021	On-site Visit/ Documents Requested
40. FRI	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
41. FRI	Elopement/AWOL ED	8/30/2021	Verbal- No deficiencies; pending approval
42. Privacy Breach	Mis-mailed PHI regarding upcoming procedure, 3D	9/1/2021	Pending Visit
43. FRI	Alleged Staff to Patient Sexual Assault H54	9/15/2021	Documents requested
44. FRI	Elopement/AWOL H34	9/18/2021	Pending Visit
45. FRI	Fall with intertrochanteric fracture 7C	10/7/2021	Documents requested
46. FRI	HAPI Unstageable coccyx and left ischium	9/29/2021	Documents requested
47. FRI	Retained penrose drain from surgery in May 2020	11/2/2021	Documents requested
48. FRI	Allegation of abuse by family member (H42)	4/1/2021	Pending Visit
49. FRI	Use of Force (7L)	1/5/2022	Pending Visit
50. FRI	Elopement/AWOL ED	1/6/2022	Pending Visit
51. FRI	Patient to Patient Sexual Assault 7B	1/11/2022	Pending Visit
52. Privacy Breach	Unauthorized disclosure by staff H32	12/8/2021	Pending Visit

V. NEW FACILITY REPORTED INCIDENTS (FRI)

COMPLAINT: submitted by public.

FRI: Facility Reported Incident.

ABUSE: Long Term Care CMS self report

1. Privacy Breach	Mis-handed AVS FHC	12/29/2021
2. FRI	HAPI Stage 3 on coccyx and left ischium H78	1/19/2022
3. FRI	4A COVID Outbreak Notification	1/20/2022

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4.	FRI	Resident to resident altercation 4A	1/24/2022	Documents requested
5.	FRI	Procedure performed on the wrong patient 4M	1/24/2022	
6.	Privacy Breach	Mis-handed Appointment Itinerary & PHI Disclosure 4M	1/24/2022	
7.	FRI	HAPI Unstageable coccyx H54	2/7/2022	

VI.	COMPLETED SURVEY SUMMARY			
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None

VII.	PLAN OF CORRECTION MONITORING DATA			
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Effective February 2022, Accreditation, Licensing & Certification Committee (ALCC) has been moved the 4th Thursday of the month. POC monitoring data is scheduled for March JCC.