

Joint Conference Committee Regulatory Affairs Status Report for October 2021 Meeting

I. PENDING SURVEYS

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| 1. TJC Biennial Laboratory Accreditation Survey | Anticipate June/July 2021 |
| 2. CMS 4A SNF Recertification | Anticipate any time |
| 3. CMS 4A SNF Fire Life Safety | Anticipate 2-3 weeks after SNF Recertification Survey |
| 4. CMS Dialysis Recertification | Anticipate any time |

II. COMPLETED SURVEYS

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| 1. CMS 4A SNF COVID-19 Mitigation Survey | 9/23/2021 | No Deficiencies |
| 2. CARF Accreditation Survey-OTOP | 10/4-10/6/2021 | 6 Deficiencies- see attached sheet |
| 3. HAPI Stage III Mid-Coccyx (Unavoidable) H44 | 6/17/2020 | Verbal- No Deficiencies; Waiting for Report |
| 4. FRI ED – Fall with injury | 10/5/2020 | Closed- No Deficiencies |
| 5. FRI Alleged Sexual Assault 7C | 10/13/2020 | RCA Accepted with No Further Action Required |
| 6. FRI Alleged Sexual Assault 7C | 10/21/2020 | Closed- No Deficiencies |
| 7. FRI Elopement/AWOL H64 (Patient returned to ED) | 10/31/2020 | Closed- No Deficiencies |
| 8. FRI Resident to Resident verbal abuse – 4A | 5/28/2021 | Closed- No Deficiencies |
| 9. Privacy Breach Ward 92 Staff gave the incorrect AVS to patient | 5/13/2021 | Closed- No Deficiencies |
| 10. Privacy Breach Staff text PHI to an unauthorized person | 7/28/2021 | Closed- No Deficiencies |

III. PLANS OF CORRECTION

1. **No new plans of correction for this month**

IV. OPEN SITE VISITS – Investigation commenced by CDPH

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| 1. Complaint ED Staffing | 1/2/2020 | Investigation resumed by different surveyor 6/08/21 |
| 2. FRI Patient Death following Med Error | 4/17/2020 | Ongoing – Anticipate Deficiencies |
| 3. FRI Wrong Site Surgery OR Kidney Stent | 4/5/2020 | Documents Requested |
| 4. Privacy Breach 7L | 3/15/2020 | Pending Visit |
| 5. Privacy Breach HIS | 4/24/2020 | Pending Visit |
| 6. ABUSE Allegation of Staff to Resident Abuse 4A | 6/1/2020 | Documents Requested |
| 7. FRI Wrong Site Surgery OR Kidney Stent | 6/8/2020 | Documents Requested and onsite survey commenced |
| 8. FRI Fall with Injury H38 | 7/8/2020 | Referred to Joint Commission for Follow Up |
| 9. ABUSE Allegation of Staff to Resident Abuse 4A | 7/17/2020 | Documents Requested |
| 10. FRI Elopement/AWOL H42/44 | 8/7/2020 | Referred to Joint Commission for Follow Up |
| 11. FRI HAPI H38 | 8/19/2020 | Documents Requested |
| 12. FRI Fall sustaining fractured mandible H54 | 8/13/2020 | Documents Requested |
| 13. FRI Fall, 4A | 9/8/2020 | Pending Visit |
| 14. Privacy Breach 3D – appointment information sent to wrong patient | 9/8/2020 | Pending Visit |
| 15. Privacy Breach PHI sent via email to incorrect patient | 10/4/2020 | Information Requested |

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16. FRI	Fall with Injury H64	10/29/2020	Referred to Joint Commission for Follow Up
17. FRI	Alleged Sexual Assault 7B	11/10/2020	Pending Visit
18. Privacy Breach	Test Result provided to patient and relative overheard ED	11/02/2020	Pending Visit
19. FRI	Retained Foreign Object H22	11/17/2020	Referred to Joint Commission for Follow Up
20. FRI	HAPI H34	11/17/2020	Pending Visit
21. FRI	Fall with Injury 4A	2/10/2021	Pending Visit
22. Privacy Breach	PES Allegation that phi was released without consent	7/16/2020	Pending Visit
23. Privacy Breach	7B staff provided information via phone without consent	1/29/2021	Information Requested
24. Privacy Breach	PACU medication given to wrong patient <small>(drug was correct drug)</small>	2/2/2021	Information Requested
25. Privacy Breach	H76/78 Misplaced patient list and labels	2/10/2021	Information Requested
26. Privacy Breach	7B appointment slip given to wrong patient	2/12/2021	Information Requested
27. Privacy Breach	H25 medication given to wrong patient <small>(drug was correct drug)</small>	2/20/2021	Information Requested
28. FRI	Medication Error ICU	3/23/2021	Pending Visit
29. Abuse	Resident to Resident 4A	4/7/2021	Pending Visit
30. FRI	Product/Device – Blood Transfusion Event IR	4/3/2021	Pending Visit
31. FRI	Fall with Hip Fracture ED	4/13/2021	Referred to Joint Commission for Follow Up
32. FRI	HAPI Right Heel, H54	4/8/2021	Pending Visit
33. FRI	HAPI Left Heel H62	4/22/2021	Pending Visit
34. Complaint	Infection Control – 4M	6/10/2021	Pending Visit
35. FRI	Elopement/AWOL Building 5, ultrasound – 7C patient	6/11/2021	Referred to Joint Commission for Follow Up
36. FRI	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents requested
37. Privacy Breach	Patient's EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
38. Privacy Breach	Merged information records with a different patient	5/7/2021	Pending Visit
39. FRI	Gastric perforation post ET insertion during intubation	7/17/2021	Pending Visit
40. FRI	GI perforation post G-tube placement	7/25/2021	Pending Visit
41. FRI	Surgical Complication during spinal surgery	8/3/2021	Pending Visit
42. Complaint	Consumer complaint regarding a physician	8/10/2021	Pending Visit
43. FRI	Elopement/AWOL ED	8/18/2021	Pending Visit
44. FRI	Fall with Wrist Fracture H68	8/20/2021	Referred to Joint Commission for Follow Up
45. FRI	HAPI Stage 2 coccyx	8/29/2021	Pending Visit
46. FRI	Patient to Patient Sexual Assault	9/3/2021	Referred to Joint Commission for Follow Up

1. FRI	Alleged Staff to Patient Sexual Assault H54	9/15/2021
2. FRI	Elopement/AWOL H34	9/18/2021
3. FRI	HAPI Stage 3 coccyx H62	9/28/2021
4. FRI	HAPI Stage 3 parietal H56	9/28/2021
5. FRI	Fall with femur fracture 7C	10/7/2021

VI. COMPLETED SURVEY SUMMARY

CARF OTOP Accreditation Survey

Pending receipt of official written report

Deficiencies cited involved:

- including priorities for goals in the strategic plan;
- sharing our strategic plan with patients, staff and stakeholders;
- including priorities and timeframes in our plan for technology;
- having a documented process for annual analysis of formal complaints;
- including a timeline of when identified barriers would be removed/solved in our plan for accessibility; and
- information gathered for assessment surrounding complementary approaches, need for assistive devices and history of trauma

VII. PLAN OF CORRECTION MONITORING DATA

Joint Commission TBI Certification Survey

Neuro Checks

Compliance is <90% Continue to monitor A. Winkelman
Missed assessments due to related increased RN workload and orders for cognitive rest resulting in patients sleeping during 10p-6a.

Joint Commission Hospital Accreditation Program Survey

Instrumentation Outside of the OR

Compliance is >90% Continue to monitor P. Coggan/G. Otway/R. Ferrer