

## Joint Conference Committee Regulatory Affairs Status Report for August 2021 Meeting

I. PENDING SURVEYS				
1.	<b>TJC Biennial Laboratory Accreditation Survey</b>		Anticipate June/July 2021	
2.	<b>CMS 4A SNF Recertification</b>		Anticipate any time	
3.	<b>CMS 4A SNF Fire Life Safety</b>		Anticipate after SNF Recertification Survey	
II. COMPLETED SURVEYS				
1.	<b>TJC, CMS Deficiency Revisit Survey</b>	8/11/2021	All Deficiencies Cleared – Fully Compliant	
III. PLANS OF CORRECTION				
1.	<b>Privacy Breach</b>	2016	Separation of staff involved, re-education of all staff	
2.	<b>Privacy Breach</b>	2016	Re-education & reassignment of staff involved	
IV. OPEN SITE VISITS – Investigation commenced by CDPH				
		COMPLAINT: submitted by public.	FRI: Facility Reported Incident.	ABUSE: Long Term Care CMS self report
1.	<b>Complaint</b> ED Staffing	1/2/2020	Investigation resumed by different surveyor 6/08/21	
2.	<b>FRI</b> Patient Death following Med Error	4/17/2020	Ongoing – Anticipate Deficiencies	
3.	<b>FRI</b> Wrong Site Surgery OR Kidney Stent	4/5/2020	Documents Requested	
4.	<b>Privacy Breach</b> 7L	3/15/2020	Pending Visit	
5.	<b>Privacy Breach</b> HIS	4/24/2020	Pending Visit	
6.	<b>ABUSE</b> Allegation of Staff to Resident Abuse 4A	6/1/2020	Documents Requested	
7.	<b>FRI</b> Wrong Site Surgery OR Kidney Stent	6/8/2020	Documents Requested and onsite survey commenced	
8.	<b>HAPI</b> Stage III Mid-Coccyx (Unavoidable) H44	6/17/2020	Documents Requested	
9.	<b>FRI</b> Fall with Injury H38	7/8/2020	Referred to Joint Commission for Follow Up	
10.	<b>ABUSE</b> Allegation of Staff to Resident Abuse 4A	7/17/2020	Documents Requested	
11.	<b>FRI</b> Patient to Patient Abuse, 7C	8/7/2020	Pending Visit	
12.	<b>FRI</b> Elopement, H42/44	8/7/2020	Referred to Joint Commission for Follow Up	
13.	<b>FRI</b> HAPI H38	8/19/2020	Pending Visit	
14.	<b>Privacy Breach</b> 3 ED Patient records stolen for a Social Workers car off campus	7/31/2020	Pending Visit	
15.	<b>FRI</b> Fall sustaining fractured mandible H54	8/13/2020	Pending Visit	
16.	<b>FRI</b> HAPI, H38	8/19/2020	Pending Visit	
17.	<b>FRI</b> Fall, 4A	9/8/2020	Pending Visit	
18.	<b>Privacy Breach</b> 3D – appointment information sent to wrong patient	9/8/2020	Pending Visit	
19.	<b>FRI</b> ED – Fall with injury	10/5/2020	Documents Requested	
20.	<b>FRI</b> 7C – Alleged Sexual Assault	10/13/2020	Pending Visit	
21.	<b>Privacy Breach</b> PHI sent via email to incorrect patient	10/4/2020	Pending Visit	
22.	<b>FRI</b> Alleged Sexual Assault 7C	10/21/2020	Pending Visit	
23.	<b>FRI</b> Fall with Injury H64	10/29/2020	Referred to Joint Commission for Follow Up	
24.	<b>FRI</b> Elopement H64 (Patient returned to ED)	10/31/2020	Documents Requested	

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25. <b>FRI</b>	Alleged Sexual Assault 7B	11/10/2020	Referred to Joint Commission for Follow Up
26. <b>Privacy Breach</b>	Test Result provided to patient and relative overheard	11/02/2020	Pending Visit
27. <b>FRI</b>	Retained Foreign Object H22	11/17/2020	Referred to Joint Commission for Follow Up
28. <b>FRI</b>	HAPI H34	11/17/2020	Pending Visit
29. <b>FRI</b>	COVID-19 Outbreak 4A	1/6/2021	Pending Visit
30. <b>FRI</b>	Power Outage >4hrs B100	1/13/2021	Pending Visit
31. <b>Privacy Breach</b>	Patient Labels Lost 4A	1/14/2021	Pending Visit
32. <b>FRI</b>	Fall with Injury 4A	2/10/2021	Pending Visit
33. <b>Privacy Breach</b>	PES Allegation that phi was released without consent	7/16/2020	Pending Visit
34. <b>Privacy Breach</b>	7B staff provided information via phone without consent	1/29/2021	Pending Visit
35. <b>Privacy Breach</b>	PACU medication given to wrong patient (drug was correct drug)	2/2/2021	Pending Visit
36. <b>Privacy Breach</b>	H76/78 Misplaced patient list and labels	2/10/2021	Pending Visit
37. <b>Privacy Breach</b>	7B appointment slip given to wrong patient	2/12/2021	Pending Visit
38. <b>Privacy Breach</b>	H25 medication given to wrong patient (drug was correct drug)	2/20/2021	Pending Visit
39. <b>FRI</b>	Medication Error ICU	3/23/2021	Pending Visit
40. <b>Abuse</b>	Resident to Resident 4A	4/7/2021	Pending Visit
41. <b>FRI</b>	Product/Devise – Blood Transfusion Event IR	4/3/2021	Pending Visit
42. <b>FRI</b>	Fall with Hip Fracture ED	4/13/2021	Pending Visit
43. <b>FRI</b>	HAPI Right Heel, H54	4/1/2021	Pending Visit
44. <b>FRI</b>	HAPI Left Heel H62	4/22/2021	Pending Visit
45. <b>Complaint</b>	Infection Control – 4M	6/10/2021	Pending Visit
46. <b>FRI</b>	Resident to Resident verbal abuse – 4A	5/28/2021	Pending Visit
47. <b>FRI</b>	AWOL: Building 5, ultrasound – 7C patient	6/11/2021	Pending Visit
48. <b>FRI</b>	HVAC Fan Malfunction, B-Wing, Building 5	6/12/2021	Documents requested
49. <b>Privacy Breach</b>	Patient's EKG results sent to wrong cell phone number	6/15/2021	Pending Visit
50. <b>Privacy Breach</b>	Ward 92 Staff gave the incorrect AVS to patient	5/13/2021	Pending Visit
51. <b>Privacy Breach</b>	Merged information records with a different patient	5/7/2021	Pending Visit

### V. NEW FACILITY REPORTED INCIDENTS (FRI)

COMPLAINT: submitted by public.

FRI: Facility Reported Incident.

ABUSE: Long Term Care CMS self report

1. <b>FRI</b>	Gastric perforation post ET insertion during intubation	7/17/2021
2. <b>FRI</b>	COVID-19 Outbreak-7B	7/25/2021
3. <b>FRI</b>	GI perforation post G-tube placement	7/25/2021
4. <b>Privacy Breach</b>	Billing Unit Employee accessed patient chart without consent	12/23/2015
5. <b>Privacy Breach</b>	Physician accessed patient chart without authorization	8/15/2016
6. <b>FRI</b>	Surgical Complication during spinal surgery	8/3/2021
7. <b>Complaint</b>	Consumer complaint regarding a physician	8/10/2021