

## Joint Conference Committee Regulatory Affairs Status Report for March 2021 Meeting

### I. PENDING SURVEYS

- |   |  |
|---|--|
| 1. <b>Joint Commission Triennial Accreditation Survey</b> (Hospitals and Nursing Care Center) | <b>ANTICIPATED MARCH</b>                   |
| 2. <b>Joint Commission Stroke and TBI Certification Visits</b>                                | <b>ANTICIPATED APRIL</b>                   |
| 3. <b>4A SNF COVID Mitigation Plan Review:</b> CDPH will review compliance with our plan      | Unannounced every 6-8 weeks beginning July |
| 4. <b>Commission on Cancer</b>  | <b>SURVEY Scheduled for MAY 6, 2021</b>    |

### II. COMPLETED SURVEYS

No completed surveys to report this month

### III. PLANS OF CORRECTION

No new plans of correction this month

### IV. OPEN SITE VISITS – Investigation commenced by CDPH

		COMPLAINT: submitted by public.	FRI: Facility Reported Incident.	ABUSE: Long Term Care CMS self report
1.	<b>FRI</b> Patient Death following Med Error	4/17/2020	Ongoing – Anticipate Deficiencies	
2.	<b>FRI</b> Wrong Site Surgery OR Kidney Stent	4/5/2020	Documents Requested	
3.	<b>Privacy Breach</b> 7L	3/15/2020	Pending Visit	
4.	<b>Privacy Breach</b> HIS	4/24/2020	Pending Visit	
5.	<b>ABUSE</b> Allegation of Staff to Resident Abuse 4A	6/1/2020	Documents Requested	
6.	<b>FRI</b> Wrong Site Surgery OR Kidney Stent	6/8/2020	Documents Requested and onsite survey commenced	
7.	<b>HAPI</b> Stage III Mid-Coccyx (Unavoidable) H44	6/17/2020	Documents Requested	
8.	<b>FRI</b> Fall with Injury H38	7/8/2020	Pending Visit	
9.	<b>ABUSE</b> Allegation of Staff to Resident Abuse 4A	7/17/2020	Documents Requested	
10.	<b>FRI</b> Patient to Patient Abuse, 7C	8/7/2020	Pending Visit	
11.	<b>FRI</b> Elopement, H42/44	8/7/2020	Pending Visit	
12.	<b>FRI</b> HAPI H38	8/19/2020	Pending Visit	
13.	<b>Privacy Breach</b> 3 ED Patient records stolen for a Social Workers car off campus	7/31/2020	Pending Visit	
14.	<b>FRI</b> Fall sustaining fractured mandible H54	8/13/2020	Pending Visit	
15.	<b>FRI</b> HAPI, H38	8/19/2020	Pending Visit	
16.	<b>FRI</b> Fall, 4A	9/8/2020	Pending Visit	
17.	<b>Privacy Breach</b> 3D – appointment information sent to wrong patient	9/8/2020	Pending Visit	
18.	<b>FRI</b> ED – Fall with injury	10/5/2020	Documents Requested	
19.	<b>FRI</b> 7C – Alleged Sexual Assault	10/13/2020	Pending Visit	
20.	<b>Privacy Breach</b> PHI sent via email to incorrect patient	10/4/2020	Pending Visit	
21.	<b>FRI</b> Alleged Sexual Assault 7C	10/21/2020	Pending Visit	
22.	<b>FRI</b> Fall with Injury H64	10/29/2020	Pending Visit	
23.	<b>FRI</b> Elopement H64 (Patient returned to ED)	10/31/2020	Documents Requested	
24.	<b>FRI</b> Alleged Sexual Assault 7B	11/10/2020	Pending Visit	

## Joint Conference Committee Regulatory Affairs Status Report for March 2021 Meeting

25. <b>Privacy Breach</b>	Test Result provided to patient and relative overheard	11/02/2020	Pending Visit
26. <b>FRI</b>	Retained Foreign Object H22	11/17/2020	Pending Visit
27. <b>FRI</b>	HAPI H34	11/17/2020	Pending Visit
28. <b>FRI</b>	COVID-19 Outbreak 4A	1/6/2021	Pending Visit
29. <b>FRI</b>	Power Outage >4hrs B100	1/13/2021	Pending Visit
30. <b>Privacy Breach</b>	Patient Labels Lost 4A	1/14/2021	
31. <b>FRI</b>	Fall with Injury 4A	2/10/2021	
<b>V. NEW FACILITY REPORTED INCIDENTS (FRI)</b>			
		<small>COMPLAINT: submitted by public.</small>	<small>FRI: Facility Reported Incident.</small>
			<small>ABUSE: Long Term Care CMS self report</small>
1. <b>FRI</b>	Retained Foreign Body (Glove tip) ED	2/20/2021	
2. <b>Privacy Breach</b>	PES Allegation that phi was released without consent	7/16/2020	
3. <b>Privacy Breach</b>	7B staff provided information via phone without consent	1/29/2021	
4. <b>Privacy Breach</b>	PACU medication given to wrong patient (drug was correct drug)	2/2/2021	
5. <b>Privacy Breach</b>	H76/78 Misplaced patient list and labels	2/10/2021	
6. <b>Privacy Breach</b>	7B appointment slip given to wrong patient	2/12/2021	
7. <b>Privacy Breach</b>	H25 medication given to wrong patient (drug was correct drug)	2/20/2021	
<b>VI. JOINT COMMISSION COMPLAINT</b>			
1. <b>Complaint</b>	Patient Discharged with HAPI Investigation incomplete at this time	2/8/2021	Response due to Joint Commission by 4/2/2021